

**EFFECT OF AMYLOID BETA (25-35) PEPTIDE ON
MITOCHONDRIAL RESPIRATORY FUNCTION IN
NEURONAL CELLS OVER-EXPRESSING APE1**

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BY

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CERTIFICATE

I declare that the dissertation entitled “EFFECT OF AMYLOID BETA (25-35) PEPTIDE ON MITOCHONDRIAL RESPIRATORY FUNCTION IN NEURONAL CELLS OVER-EXPRESSING APE1” has been prepared by me under the guidance of Dr. Anil K. Mantha, Assistant Professor, Centre for Biosciences, School of Basic and Applied Sciences, Central University of Punjab. No part of this dissertation has formed the basis for the award of any degree or fellowship previously.

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ABSTRACT

Effect of Amyloid beta (25-35) on Mitochondrial Respiratory Function in Neuronal Cells Over-Expressing APE1

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Alzheimer's disease (AD) is an important public health problem which affects millions of people worldwide. The major pathological hallmarks associated with AD are the accumulation of amyloid beta ($A\beta$) in senile plaques and neurofibrillary tangles (NFTs) made up of hyperphosphorylated tau proteins. Accumulating evidences point towards the role of oxidative stress and mitochondrial dysfunction in the pathogenesis of AD. Aging is considered as one of the greatest risk factor for AD. In order to maintain genome integrity, base excision repair (BER) pathway is the predominant pathway for repairing oxidized base lesions in neuronal cells. APE1 is the central enzyme of the BER-pathway, having both repair and redox activities and shown to enhance neuronal survival after oxidative stress. In my study, effect of $A\beta(25-35)$ on mitochondrial ROS/RNS levels and activities of respiratory complexes (I, III, & IV) in neuronal cells was studied with and without ectopic APE1 expression and the neuro-modulatory role of Ginkgolide B (from leaves of *G. biloba*) was evaluated. It was seen that $A\beta(25-35)$ increases the ROS/RNS levels in these cells which was decreased when pre-treated with Ginkgolide B (G.B) before treating with $A\beta(25-35)$. APE1 levels were found to be decreased on treating with $A\beta(25-35)$ and were increased on pre-treatment with G.B and subsequent treatment with $A\beta(25-35)$. These results indicate that ectopic APE1 expression in the mitochondria of the neuronal cells might overcome the oxidative damage caused by $A\beta(25-35)$. Also, phytochemical G.B has shown to modulate the mitochondrial complex activity upon $A\beta(25-35)$ -induced oxidative stress and modulate the ROS/RNS levels in the presence of APE1. Further studies are needed to understand the mechanism of action of APE1 in relation to the above results, which will be carried out during my Ph.D. work.

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LIST OF ABBREVIATIONS

Full Form	Abbreviation Used
[3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide]	MTT
Alzheimer's Disease	AD
Amyloid Beta	A β
Amyloid Precursor Protein	APP
Apurinic/Apyrimidinic Endonuclease-1	APE1
Base Excision Repair	BER
Bovine Serum Albumin	BSA
Concentration	conc
Degree Celsius	°C
Dimethyl Sulfoxide	DMSO
Ethylenediaminetetraacetate	EDTA
Ginkgolide B	G.B
Hour	hr
Huntington's Disease	HD
Micro Gram	μ g
Micro Litre	μ l

Micro Molar	μM
Micro Unit	μU
Milli Gram	mg
Milli Litre	ml
Milli Molar	mM
Minute	min
Molar	M
Nanometer	nm
Nitric Oxide	NO
Nuclear Respiratory Factor-1	NRF-1
Optical Density	OD
Oxidative Phosphorylation	OXPPOS
Parkinson's Disease	PD
Phosphate Buffered Saline	PBS
Reactive Nitrogen Species	RNS
Reactive Oxygen Species	ROS
Redox Effector Factor-1	Ref-1

CHAPTER - 1

INTRODUCTION

Neurodegenerative diseases represent a range of diseases affecting the central nervous system (CNS) characterized by selective neuronal vulnerability and degeneration in specific regions of the brain. This causes disabling and debilitating conditions involving either impairment of memory (dementia) or movement-related disabilities (ataxia), ultimately leading to death. The degeneration is caused due to abnormal accumulation and aggregation of proteins in specific parts of the brain intracellular or extracellular as insoluble or soluble forms (Takalo *et al.*, 2013). The exact reason as to why these proteins begin to accumulate is not known but research has revealed that this may happen due to a number of reasons, majorly due to disruption of ubiquitin-proteasome machinery, autophagy failure and oxidative stress (Keller *et al.*, 2000; Lin *et al.*, 2006; Salminen *et al.*, 2013a). Some of the common neurodegenerative diseases include Alzheimer's disease (AD), Parkinson's disease (PD), Huntington's disease (HD) and Amyotrophic lateral sclerosis (ALS).

AD is the most common neurodegenerative disease and leading cause of dementia in people above 65 years of age. It is a progressive brain disorder in which a person's memory, thinking ability and behaviour is affected with the deterioration worsening with time. AD is characterized by the presence of two major neuropathological hallmarks which are extracellular amyloid beta (A β) plaques and intracellular neurofibrillary tangles (NFTs) composed of tau causing neuronal dysfunction (Irvine *et al.*, 2008). Around 27 million people are estimated to be affected by this disease (Wimo *et al.*, 2006). As per the Annual Report (2010) of Alzheimer's and Related Disorders Society of India (ARDSI), the prevalence of AD in India is said to be 1 in 20 for people above 60 years of age. The cost of treating AD is estimated to be Rs.14,700 crores, posing a great burden on the society. Also, 1 in 85 people globally are likely to be affected by the disease by 2050 (Brookmeyer *et al.*, 2007).

Although the molecular mechanism(s) underlying the AD are not understood completely, various factors have been implicated in the development of AD. Accumulating evidences point towards the role of oxidative stress and mitochondrial dysfunction in the pathogenesis of AD and recognize them as an early event in AD

development. Aging is considered the greatest risk factor for AD and is linked to oxidative stress that causes the accumulation of somatic mutations in mtDNA over time and leads to genome instability and mitochondrial dysfunction. In order to counteract oxidative stress and maintain genome integrity, various DNA repair pathways exist, with base excision repair (BER) pathway being the predominant pathway for repairing oxidized base lesions in neuronal cells. Apurinic/apyrimidinic endonuclease 1 (APE1) is the central enzyme of the BER-pathway, having both repair and redox activities and shown to enhance neuronal survival after oxidative stress. Newer studies are revealing the role of APE1 in maintenance of mitochondrial genome repair and function. In this scenario, antioxidant-based therapy, which could reduce oxidative stress and modulate the activities of APE1, can serve as an effective treatment providing neuroprotection in AD.

In order to understand the underlying mechanisms of pathogenesis and progression of AD, a number of cell models have been developed. These include different neuronal cell lines that are commonly used for neuronal *in vitro* culture system, such as rat pheochromocytoma PC12, human neuroblastoma IMR-32 and SH-SY5Y cell lines. Further, cell transfections with wild-type amyloid-precursor proteins, tau or mutant forms of these molecules can be performed in these cell lines. By using differentiating factors like retinoic acid, these cells are directed into neuronal lineage and display synaptic structures, functional axonal vesicle transport, and express neurospecific proteins. (Nordin, 2013) (Neill *et al.*, 1994). This will help to elucidate the role of cells of a particular type, their signalling mechanisms and help to screen potent drugs having therapeutic value for effective treatment of AD.

Phytochemical *Ginkgo biloba* (*G. biloba*) has been shown to improve the oxidative phosphorylation (OXPHOS) performance in presence of A β -induced oxidative stress. It is shown that *G. biloba* reduces the ROS levels and increases the ATP production (Rhein *et al.*, 2010). Other phytochemicals like soy isoflavones, curcumin, resveratrol, and polyphenols (quercetin) have shown to modulate APE1/Ref-1's repair and redox activities making them effective molecules towards

prevention and treatment of cancer and degenerative diseases (Silva *et al.*, 2008; Singh *et al.*, 2010; Yang *et al.*, 2005a). But, how mitochondrial APE1 functions in the presence of *G. biloba* when oxidative stress is induced by A β is not known so far. It is required to elucidate the function of APE1 at the molecular level that will give insights about how APE1 helps in maintaining mitochondrial integrity and finding out new therapeutics for treating AD.

Objectives of the study:

1. To study the effect of A β (25-35) on mitochondrial ROS/RNS levels and activities of respiratory complexes (I, III, & IV) in SH-SY5Y and IMR-32 neuronal cells.
2. To evaluate the neuronal survival mechanism(s) through over-expression of APE1 upon A β (25-35)-induced oxidative stress in SH-SY5Y and IMR-32 neuronal cells.
3. To identify the neuro-modulatory role of Ginkgolide B (from leaves of *G. biloba*) on mitochondrial functions after A β (25-35)-induced oxidative stress in SH-SY5Y and IMR-32 neuronal cells with and without APE1 ectopic-expression.

Significance of the study:

AD is an important public health problem which affects millions of people Worldwide. The major pathological hallmarks associated with AD are the accumulation of A β in senile plaques and NFTs made up of hyperphosphorylated tau. Although the molecular mechanism(s) underlying the disease is not identified completely, various factors have been implicated in the development of AD. Accumulating evidences point towards the role of oxidative stress and mitochondrial dysfunction in the pathogenesis of AD and recognize them as an early event in AD development. It is not known whether accumulation of A β is the cause or outcome of declining mitochondrial function in AD. This study is aimed to provide insight into

understanding the pathogenesis of AD linking A β -induced oxidative stress, mitochondrial dysfunction, role of APE1 and *G. biloba* towards AD-therapeutics. The study will allow us to understand how APE1 ectopic-expression affects the neuronal cells in overcoming the oxidative stress induced by A β (25-35) using the SH-SY5Y and IMR-32 human neuroblastoma cells. The present study will also provide insights into how the phytochemical Ginkgolide B (from leaves of *G. biloba*) may help in modulating/protecting APE1's repair activity by reducing mitochondrial oxidative stress, and further which will reduce A β -induced dysfunction of mitochondrial respiratory system of the neuronal cells in maintaining cellular energy demands.

Thus, from the knowledge gained with this study and combining these parameters of oxidative damage with those of redox state, DNA-repair activity and antioxidant defence may help in designing future studies and serve as a useful therapeutic tool towards the treatment of AD.

CHAPTER - 2

REVIEW OF LITERATURE

2. Alzheimer's Disease (AD)

AD is an important public health problem which affects millions of people Worldwide. It is characterized by a gradual memory loss and deterioration of cognitive functions and presence of neuritic plaques containing A β and NFTs containing phosphorylated-tau protein.

AD is characterized by 3 distinct major neuropathological hallmarks: intracellular NFTs, extracellular plaques and neuronal loss (Butterfield *et al.*, 2003). NFTs are aggregates of the hyperphosphorylated form of microtubule-associated protein, tau that accumulate intracellularly within the neuronal cells. The normal function of tau is to stabilize microtubules by phosphorylation and dephosphorylation of the protein in healthy neurons. But, the phosphorylated form of tau cannot bind to microtubules and instead polymerizes with other tau molecules, forming straight filaments causing a failure of neuronal transport that eventually leads to cell death. It has been shown that the number and distribution of cortical tangles correlate positively with cognitive deficits and thus, serves as a good marker of disease progression (Braak *et al.*, 1998).

The major neuropathological hallmark of AD i.e. A β plaque, made up of a peptide of 39-42 amino acids, accumulates extracellularly in the neurons. The A β peptide is produced by the sequential cleavage of amyloid precursor protein (APP), which exists in the brain predominantly in three different isoforms viz. APP695 (expressed by neurons), APP751 and APP770 (expressed in glial cells). At extracellular sites within the brain parenchyma, A β forms deposits due to aggregation of mostly A β (1–42) peptide, which are associated with dystrophic neurites, activated microglia and reactive astrocytes. It has been reported that there exists a correlation between elevated levels of total A β peptide in the brain and cognitive decline as seen in AD (Revett *et al.*, 2013).

The areas displaying high densities of plaques and tangles in AD patients have degenerating neurons and synapses which lead to cognitive decline in these patients.

The regions of the brain which are badly affected are cerebral cortex, temporal lobe, parietal lobe, frontal cortex, cingulate gyrus and brainstem nuclei (locus coeruleus). It is found that glutamatergic neurons (present in hippocampus) are severely affected. Likewise, there is a reduction in activity of the cholinergic neurons in AD. It has been observed that there is an increase in glutamatergic synapses and choline acetyltransferase activity in individuals with mild cognitive impairment and a subsequent reduction in the enzyme activity during AD progression. This is thought to be due an initial compensatory mechanism in AD patients that worsens as AD progresses (Francis, 2003; Revett *et al.*, 2013).

2.1. Global burden of AD

It is indicated that 26.6 million persons Worldwide are currently living with AD (11.4–59.4 million). About 43% of prevalent cases of AD need a high level of care like that of a nursing home. It is projected that by the year 2050, 1 in 85 persons would be living with AD Worldwide and the Worldwide prevalence will quadruple to 106.2 million. The increase is a result of the aging of the World's population. It is projected that by the year 2050, the World's population of persons at least or above 80 years of age will increase by a factor of about 3.7 according to United Nations (UN) population division. If the development and progression AD could be delayed by 1 year, there would be nearly 9.2 million fewer cases of the disease in 2050 (Brookmeyer *et al.*, 2007).

2.1.1. AD Statistics in relation to Indian context

It is predicted that developing countries like India and China will be worst hit by AD in the next decade. It is estimated that around 50 % of AD cases occur in developing countries and which will rise to about 70 % by 2025. According to ARDSI, about 3.7 million Indians were suffering from dementia in 2010. This number is expected to double by 2030. A very few number of studies have been conducted in relation to Indian context. Recently, a 10-year follow-up study (2001-2011) conducted in Indian population found that incidence rates per 1000 person-years for AD was 11.67 for those aged above 55 years and higher (15.54) for people above 65 years of

age. The World age standardized incidence rate was 21.61 per 100,000 in Indian population, and standardized against the age distribution for the year 2000 U.S. Census (9.19 per 1000 person-years) in people above 65 years of age (Mathuranath *et al.*, 2012). Thus, the above statistics suggest that AD incidence rates were much higher in rural north India, comparable with China, and marginally lower than that reported from the western World.

2.2. Genetic basis of AD

Genetic mutations are the known cause of early-onset familial AD with a prevalence of less than 1% (Thies *et al.*, 2011). Thus, three forms of early-onset AD that are inherited as autosomal dominant traits involving 3 genes viz. APP on chromosome 21; presenilin 1 (PS1) on chromosome 14; presenilin 2 (PS2) on chromosome 1 (Roses, 1996) are recognized. Persons with Down's syndrome (trisomy 21) are at a greater risk of developing AD (Wilcock *et al.*, 2013). Additionally, an individual's chance for developing AD increases if he inherits an *APOE* ϵ 4 allele, one of the 3 common alleles (ϵ 2, ϵ 3, ϵ 4) of *APOE* gene, from his parents and has an increased risk if two *APOE* ϵ 4 alleles are inherited (Thies *et al.*, 2011). Mutations in *APP* gene, *PS1* and *PS2* genes are recognized that make a person susceptible to early-onset familial AD (Roses, 1996). Several Genome-Wide Association Studies (GWAS) have identified and implicated many genes in the etiology of AD (Chapuis *et al.*, 2013; Hollingworth *et al.*, 2011; Lambert *et al.*, 2009). Apart from the *APOE* gene, a number of new genes are implicated in the pathogenesis of late-onset AD (LOAD). Bridging integrator 1 (BIN1), also known as Amphiphysin 2, is now recognized as an important genetic risk factor after *APOE4* for LOAD (Tan *et al.*, 2013). BIN1 transcripts levels were observed to be elevated in AD brains showing BIN1 as a genetic susceptibility locus in AD (Chapuis *et al.*, 2013). Also, decreased expression of drosophila BIN1 ortholog *Amph* suppressed tau-mediated neurotoxicity highlighting the role of BIN1 in mediating AD risk and its role in modulating AD pathogenesis at the level of the tau pathway (Chapuis *et al.*, 2013). Thus, BIN1 can be thought of as a target for treatment of AD. In addition to *APOE* and *BIN1*, other susceptibility gene loci identified include phosphatidylinositol-binding clathrin assembly protein

(*PICALM*), ATP-binding cassette transporter (*ABCA7*), CD2-associated protein (*CD2AP*), clusterin (*CLU*), complement receptor 1 (*CR1*), CD33 antigen (*CD33*), ephrin receptor Eph-A1 (*EPHA1*) and cluster of membrane-spanning 4A (*MS4A*) genes (Hollingworth *et al.*, 2011; Lambert *et al.*, 2009; Schellenberg *et al.*, 2012; Tan *et al.*, 2013).

2.3. Other factors affecting AD-pathology

Although the neuropathological hallmarks of AD are known, the etiological factors involved in the pathogenesis of the disease are unknown. A number of studies have indicated some risk factors for the onset of disease, advancing age being the most important risk factor. Vascular factors are one of the major risk factors, which are also modifiable and can lower the onset of dementia by practicing healthy lifestyle habits. These include alcohol consumption, smoking, obesity, high body mass index (BMI), high blood pressure. Drinking alcohol poses a threat of developing AD at a later life. Heavy drinkers who are *APOE4* $\epsilon 4$ allele carriers are at a greater risk of developing AD at a later life than moderate alcohol drinkers (Anttila *et al.*, 2004). Many studies have found association between AD and cigarette smoking. For example, *APOE4* non-carriers are at a greater risk of developing AD (Ott *et al.*, 1998). People with high blood pressure in middle age are more susceptible to develop AD at a later stage in their life (Launer *et al.*, 2000). Obesity is also a risk factor for AD (Whitmer *et al.*, 2008). Higher BMI or obesity in middle age can increase the risk of developing AD at a later life (Kivipelto *et al.*, 2005). Higher serum cholesterol levels are also associated with development of AD at a later stage (Solomon *et al.*, 2007; Solomon *et al.*, 2009). Use of statins i.e. cholesterol lowering drugs poses a lower risk of AD (Haag *et al.*, 2009). In line with this, it was seen that people having a diet rich in polyunsaturated fatty acids and fish have a lower risk of AD (Huang *et al.*, 2005) as compared to those consuming saturated fatty acids in their diet (Laitinen *et al.*, 2006; Povova *et al.*, 2012). An association between diabetes and AD has been observed. Diabetic people are more prone to develop AD, with an increased risk if diabetes occurs in middle age (Xu *et al.*, 2009).

Mild cognitive impairment (MCI) is said to be a transitional stage between aging and development of AD (Petersen *et al.*, 1999). It can be described as a condition in which a person has memory impairment though it doesn't affect a person's daily activity. It is shown that those who have MCI are at a greater risk of developing AD at a later life (Petersen *et al.*, 1999). Also, people with moderate head injuries are at an increased risk of developing dementia than those without any head injuries. Those with severe head injuries are at the greatest risk of developing dementia at a later life.

Chronic brain inflammation is associated with AD. Activated microglia and reactive astrocytes are seen in close proximity to neuritic A β plaques in AD brain (Meda *et al.*, 2001). Studies have shown that complement system is activated in AD brains (Strohmeyer *et al.*, 2000; Webster *et al.*, 1997). In order to clear A β , the activated microglia and astrocytes secrete ROS, nitric oxide (NO), proteolytic components which further increase APP production and proteolytic processing of APP thus causing neuronal dysfunction (Rubio-Perez *et al.*, 2012). β -site APP-cleaving enzyme 1 (BACE1), a membrane-bound aspartic proteinase, is primarily expressed by the neurons and is associated with the generation of A β peptides from APP owing to its β -secretase activity (Sinha *et al.*, 1999). BACE1 expression has been observed in reactive astrocytes in AD brains while resting astrocytes do not display BACE1 at detectable levels, thus showing that activation of astrocytes may lead to development of AD (Rossner *et al.*, 2005). Another study showed that the transcription factor (TF) NF- κ B acts as a repressor in neuronal and non-activated astrocytes while NF- κ B acts as activator of BACE1 transcription in activated astrocytes and A β -exposed neuronal cells. Also, the presence of increased level of activated astrocytes with aging is well demonstrated, which may lead to increased processing of BACE1 causing increased A β resulting into chronic inflammation and subsequently astrocyte activation ending up forming a feedback loop (Bourne *et al.*, 2007). Thus, inflammation plays a major role in AD-pathology.

Impairment of neuronal housekeeping and protein quality control systems are implicated in the development of AD. One of these is autophagy, which is a lysosomal degradative process involving removal of toxic proteins and preventing protein aggregation. Recent studies have indicated Beclin-1 to be involved in autophagy regulation and modulation of APP metabolism (Salminen *et al.*, 2013b). Beclin-1 expression was also seen to be reduced in AD brain (Pickford *et al.*, 2008). Rapamycin is emerging as a potential neuroprotective agent for AD and functions via enhancement of autophagy (Cai *et al.*, 2013). A recent study has shown that A β (1-42) induced Beclin-1 expression was upregulated by Rapamycin and the Beclin-1-dependent autophagy can prevent neuronal cell death before occurrence of AD in PC12 cells (Xue *et al.*, 2013). Inhibition of Beclin-1-dependent autophagy was shown to speed up neuronal cell death. Thus, Beclin-1 dysfunction is associated with AD. Therefore, autophagy failure is a potential factor leading to accumulation of toxic A β plaques and tau in AD.

2.4. Processing of APP: The Amyloidogenic and Non-Amyloidogenic Pathways

The conventional view of AD is that much of the AD pathology is due to increased load of A β in the brain of AD patients (the 'Amyloid Hypothesis'). The A β protein is central to the amyloid cascade hypothesis, the prevailing hypothesis for more than 20 years, which explains the pathogenesis of AD. Though it does not pinpoint towards a specific A β species in the etiology of AD, but several studies have implicated soluble oligomers of A β , rather than monomers or insoluble amyloid fibrils, in causing neuronal dysfunction in AD.

The 37–43 amino acid A β is generated by proteolytic processing from its precursor, the β -amyloid precursor protein (APP) in a physiologically normal pathway. APP is a type I, single-pass transmembrane protein with large extracellular domains and belongs to a family of proteins which include amyloid precursor-like proteins (APLP1 and APLP2) in mammals and the amyloid precursor protein-like (APPL) in drosophila (O'Brien *et al.*, 2011). APP contains 40 or 42 amino acid sequence i.e. A β (1-40) or A β (1-42) and three sites for cleavage by various proteinases, which are

designated as α , β , and γ secretases (Nunan *et al.*, 2000). APP is expressed in all tissues ubiquitously and present on the plasma membrane, majority of which localizes to endoplasmic reticulum (ER), golgi apparatus (GA), mitochondria (Pagani *et al.*, 2011). Two pathways exist for processing of APP viz. amyloidogenic pathway and non-amyloidogenic pathway. In the amyloidogenic pathway, the activity of β -secretase (also known as BACE1) at the beginning of A β domain of APP results into a soluble N-terminal fragment (sAPP β) and an amyloidogenic C-terminal fragment of 99 amino acids (CTF99). Further γ -secretase cleaves this C-terminal fragment to mainly A β (1-40) and A β (1-42), which may accumulate in the mitochondria and other cellular compartments affecting the cellular functions and leading to mitochondrial dysfunction and hyperphosphorylation of tau. Non-amyloidogenic pathway is the major APP processing pathway in which the activity of α -secretase generates a large soluble N-terminal fragment (sAPP β) and a non-amyloidogenic C-terminal fragment of 83 residues (CTF83) owing to the cleavage within the A β domain. This C-terminal fragment is then cleaved by γ -secretase resulting into non-amyloidogenic peptide (P3) and APP intracellular domain (AICD) which are non-toxic and degraded rapidly and occurs in majority of individuals including non-demented and healthy individuals (Reddy *et al.*, 2008) as shown in **Figure 2.1**. In case of early-onset AD, mutations in *APP*, *PS1* and *PS2* are known to activate β - and γ -secretases leading to generation of A β but in case of sporadic AD, it is proposed that oxidative stress activates BACE1 which increases the production of A β (Reddy *et al.*, 2008). Although the mechanism of APP trafficking is not known, but APP is said to be axonally transported, endocytosed and sorted to different compartments of the cell, thus leading to A β generation and accumulation in different cellular compartments thus, impairing normal cellular function. A recent study pointed towards the role of Huntington associated protein 1 in regulating APP trafficking to the non-amyloidogenic pathway resulting into reduced A β levels (Yang *et al.*, 2012). As excessive BACE1 expression and APP processing can lead to uncontrolled production of A β , different regulatory mechanisms are present (Tonelli *et al.*, 2004; Zuchner *et al.*, 2005). Apart from the transcriptional control, a complex network of neurotransmitter systems and translational regulation is present. Among the different systems viz. glutamatergic,

adrenergic, serotonergic, cholinergic and dopaminergic systems; the cholinergic system is known to be affected in the early stages of AD. A study by Zuchner *et al.*, showed that downregulation of M2 acetylcholine receptor in the brains of AD patients affects a number of AD-relevant genes including BACE1 (Zuchner *et al.*, 2005).

In the amyloidogenic pathway, different lengths of A β are produced ranging from 37 to 43 amino acids. A β (1-40) is the most dominant species produced from the processing of APP. In comparison to A β (1-40), only a small amount of A β (1-42) is produced in human brain in the ratio of approximately 99 to 1. But, A β (1-42) is the main component of the amyloid deposits associated with AD and has a tendency to form aggregates spontaneously and thus, may form oligomers. Therefore, A β (1-42) is considered more neurotoxic than A β (1-40) (Lublin *et al.*, 2010). While both A β (1-40) and A β (1-42) are capable of forming amyloid fibrils but A β (1-42) is said to make the fibrils much faster than A β (1-40). Using ion-mobility spectrometry it was shown that when A β (1-40) and A β (1-42) are present together in a solution, the A β (1-40) and A β (1-42) monomers form dimers, trimers and tetramers. But, when present alone, A β (1-42) tends to form pentamers and hexamers (paranuclei) which on self-association form dodecamers, protofibrils and fibrils. A β (1-40) alone produces oligomer distribution upto tetramer level only. This pointed out that when present together, A β (1-40) inhibits the oligomerization of A β (1-42) and inhibits protofibril and fibril formation by A β (1-42). Thus, as A β (1-40) is the predominant species present in the human brain in \sim 10 times the level of A β (1-42) in a healthy human brain, the former inhibits the oligomerization of A β (1-42) and prevents the development of AD (Murray *et al.*, 2009). Studies have shown that mutations in *APP*, *PS1* and *PS2* may lead to enhanced accumulation of the more toxic A β (1-42) species (Gandy, 2005; Hutton, 2004; Lublin *et al.*, 2010; Vassar *et al.*, 1999).

Various studies have recognized four endogenously produced A β oligomeric assemblies viz. dimers, trimers, A β *56 and annular protofibrils (APFs) which may alter neuronal function in human and transgenic mice and have different consequences on neuronal survival (Larson *et al.*, 2012). In case of AD, soluble A β

monomers may form higher-order assemblies ranging from low-molecular weight oligomers (dimers and trimers) to A β *56, then to APFs and fibrils, which are the primary components of amyloid plaques, characteristic of AD. Taken together, recent studies provide the evidence that A β oligomers are the more toxic species than insoluble fibrillar deposits relevant to AD pathology.

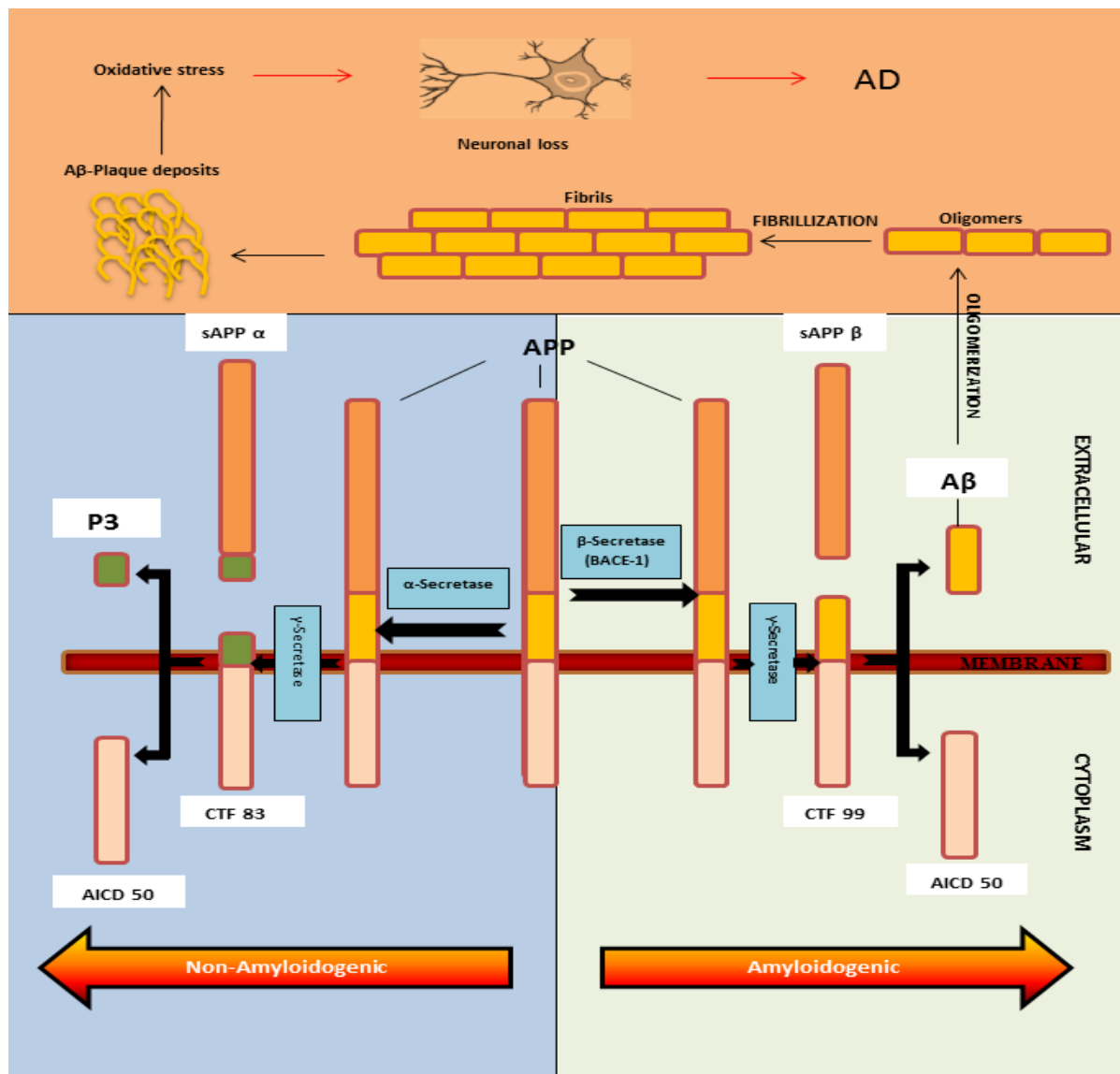


Figure 2.1. APP processing leads to the generation of cleavage products via the amyloidogenic and non-amyloidogenic pathways. Both oligomerization and aggregation of A β leads to the formation of senile plaques, a hallmark of AD phenotype (Kaur *et al.*, 2014).

2.6. Mitochondria and ROS

Mitochondria have an essential function in all cells. Apart from its role in ATP generation, it also controls cellular processes such as calcium (Ca^{2+}) homeostasis and apoptosis. During the process of respiration occurring in mitochondria, electrons of NADH and FADH_2 , intermediates of the Krebs's cycle, are transferred from complex I to IV and finally to molecular oxygen. The proton gradient generated by pumping of protons from the mitochondrial matrix into the intermembrane space is utilized by complex V to generate ATP. However, upto 2% of electrons which are transferred through the respiratory chain lead to the formation of ROS [superoxide anion (O_2^-), hydrogen peroxide (H_2O_2), and hydroxyl radical ($\text{OH}\cdot$)] at complex I and complex III (Starkov, 2008).

The superoxide anion might also react with other radicals such as nitric oxide (NO), producing reactive nitrogen species (RNS). NO and its derivatives (peroxynitrite, nitrogen dioxide or nitrosothiols) reversibly inhibit cytochrome oxidase in competition with oxygen (Brown, 2001). In healthy organisms, the endogenous antioxidant system such as superoxide dismutase (SOD), catalase (CAT), and glutathione reductase (GR) or glutathione peroxidase (GPx) detoxifies these harmful species. The aging process and age-associated neurodegenerative diseases, generation of high ROS/RNS levels, also described as "oxidative stress", lead to the accumulation of oxidized proteins, lipids and nucleic acids and impair cellular functions (Mattson *et al.*, 2006). Several of the proteins that are part of the respiratory chain (complex I-IV) and ATP synthase are encoded by mitochondrial DNA (mtDNA) rather than nuclear DNA. Thus, maintaining the integrity of mtDNA is essential for healthy cellular life (Trifunovic *et al.*, 2004).

When compared with other organs of the body, our brain is particularly vulnerable to oxidative stress due to three factors: **1)** high consumption of consumed oxygen; **2)** high levels of polyunsaturated fatty acids; and **3)** low extent of antioxidant defense compared to other organs (Clark *et al.*, 2010). Enhanced oxidative stress in the aging brain has been detected (Butterfield *et al.*, 1999). Elevated ROS levels in

the ageing brain has led to a theory that OXPHOS-generated ROS induce oxidative modifications of the respiratory chain and somatic mutations in the mtDNA which in turn lead to reduced OXPHOS function contributing to the pathogenesis of many neurodegenerative diseases like AD and PD (Marchi *et al.*, 2011). Linking aging with neurodegenerative diseases, the free radical theory of aging suggests that oxidative imbalance i.e. elevated levels of ROS have a role in the pathogenesis of many neurodegenerative diseases like AD (Clark *et al.*, 2010). There are a number of exogenous and endogenous sources of ROS, which increase the oxidative stress and lead to genome instability. Mitochondria are considered to be the major internal source of ROS. One study has showed that overexpression of antioxidant catalase, targeted to mitochondria reduces oxidative damage, thus highlighting the role of mitochondria as a source of these radicals (Schriner *et al.*, 2005). In line with this evidence, many studies have shown that mitochondria as a source as well as a target of ROS. The link between A β and free radical generation ultimately leading to increased ROS in neuronal cells has been well established (Behl *et al.*, 1994; Butterfield *et al.*, 2002; Hensley *et al.*, 1994; Manczak *et al.*, 2006).

Since post-mitotic cells like neurons of the central nervous system (CNS) are generally not replaced, thus DNA repair play an important role in maintaining brain homeostasis. ROS-induced oxidized DNA lesions represent a major type of neuronal DNA damage (Bosshard *et al.*, 2012). The O $_2^-$ and (OH \cdot) are produced during OXPHOS and present in high amounts in mitochondria. Apart from NO and its derivatives are also present which inhibit mitochondrial respiration. NO is mainly produced by the enzyme nitric oxide synthase (NOS), of which there are three known isoforms: nNOS [neuronal NOS], iNOS [inducible NOS], and eNOS [endothelial NOS] (Stuehr, 1999). NOS use substrates arginine, NADPH and oxygen, and produces citrulline, NADH and NO. It is shown that nM amount of NO specifically and reversibly inhibit cytochrome oxidase in competition with oxygen (Brown *et al.*, 1994). All these cause mutations in mtDNA and identification of these mutations in diseases characterized by neurological dysfunction suggests that neurons are particularly sensitive to mitochondrial dysfunction (Marchi *et al.*, 2011).

2.7. Role of mitochondria in AD-pathology

Mounting evidences are present which point to an association between aging and mitochondrial dysfunction. An earlier study pointed out that somatic mutation in mtDNA can lead to premature-onset of aging phenotypes (Trifunovic *et al.*, 2004). But it is not known whether the decline in mitochondrial function is a cause or outcome of aging. Of particular interest, a large number of evidences from studies involving experimental models and human samples suggest an association of APP and A β with mitochondria. In particular, a study involving a mouse model showed that A β , particularly A β (1-42), accumulates in mitochondria in the presence of a mutant APP gene and there is a decline in the activity of respiratory complexes III and IV. Also, A β was shown to accumulate in mitochondria very early before the extensive extracellular deposition takes place (Caspersen *et al.*, 2005). This points toward the involvement of mitochondria in pathogenesis of AD.

In addition, it is reported that age-related accumulation of somatic mutations in mtDNA can cause an increase in ROS production in the mitochondria (Greaves *et al.*, 2009; Larsson, 2010). It is known that oxidative stress induces an increased expression of BACE1 (Tamagno *et al.*, 2002; Tan *et al.*, 2013). Thus, it is speculated that with advanced age, an increase in ROS levels occur which increases A β levels owing to increased processing of APP by BACE1. A β induces production of free radicals (ROS & RNS) causing decline in mitochondrial function. Thus, a feedback loop exists in which age-related ROS levels cause increased production of A β and this A β further leads to increased production of ROS, resulting in memory impairment and cognitive decline associated with AD (Reddy *et al.*, 2012).

Several evidences point out that A β interacts with mitochondrial proteins and increase ROS levels finally leading to synaptic damage. Mounting evidences show that A β , in its soluble oligomeric form, disrupts the axonal mitochondrial transport and disturbs the mitochondrial fission-fusion balance (Pigino *et al.*, 2009; Wang *et al.*, 2010). Recently, it was shown that both monomers and oligomers of A β interact with mitochondrial fission protein, dynamin-related protein 1 (Drp1) and may be involved in

abnormal mitochondrial dynamics causing mitochondrial fragmentation leading to neuronal dysfunction in AD. In addition, expression levels of mitochondrial fission genes *Drp1* and *Fis1* (Fission 1) were increased and those of mitochondrial fusion genes *Mfn1* (Mitofusin 1), *Mfn2* (Mitofusin 2), *Opa1* (Optic atrophy 1) and *Tom40* were decreased in AD patients (Manczak *et al.*, 2011). This study also provided evidence that mitochondrial fragmentation may be initiated by interaction of A β with Drp1 causing abnormal mitochondrial dynamics, which increases as AD progresses. Thus, targeting these abnormal interactions can serve to minimize the neuronal damage caused by AD.

Poly (ADP-ribose) Polymerase-1 (PARP-1), a predominantly nuclear enzyme responsible for genome stability and transcriptional regulation, is thought to be involved in the pathogenesis of AD. Activation of PARP-1 by oxidative stress (induced by A β) is believed to be an early event in the pathogenesis of AD. Enhancement of PARP-1 activity and accumulation of PAR is observed in brains of AD patients (Strosznajder *et al.*, 2012). A recent study has established the role of PARP-1 in microglial activation by its interaction with NF- κ B (Kauppinen *et al.*, 2011). Recently, the mitochondrial localization of PARP-1 and its interaction with mitochondrial protein, Mitofilin has been established (Rossi *et al.*, 2009). It was shown that in the absence of PARP-1, there is an accumulation of mtDNA damage, suggesting that mitochondrial PARP-1 has a role in mtDNA damage repair/signaling. Overexpression of PARP-1, Bax, p53 and altered mitochondrial function in presence of oxidative stress induced by A β has also been shown recently (Bayrakdar *et al.*, 2014). Additional studies are needed to ascertain the role of PARP-1 and mitochondrial dysfunction in AD pathogenesis.

2.8. Oxidative Stress and DNA Repair

Human DNA is constantly under stress by the presence of endogenous and exogenous agents that induce DNA lesions and cause DNA instability. This instability leads to blockage of DNA replication and transcription (Bohr, 2002). Different DNA repair pathways are present in the cells to maintain genomic integrity. Depending

upon the type of DNA lesion that has been generated, repair takes place by a specific pathway. Bulky lesions induced by UV light are removed by nucleotide excision repair (NER) pathway, whereas DNA lesions generated by ROS are repaired by the BER-pathway (Seeberg *et al.*, 1995). Mismatches in DNA are processed by the mismatch repair pathway (MMR) and lesions such as interstrand cross-links are removed by recombinational DNA repair (Bohr, 2002).

2.8.1. APE 1: an emerging neuroprotective enzyme

In mammals and higher organisms different organs consist of various cell types, some of them are dividing while others are non-dividing. In adults, cell types such as myocytes, adipocytes, skin cells and neurons are non-dividing cells, i.e. terminally differentiated (Crescenzi *et al.*, 1995; Iyama *et al.*, 2013). BER is the major pathway for oxidative DNA base damage caused by ROS/RNS as well as for abasic (AP) sites and single strand breaks (SSBs). APE1 is a primary BER-pathway enzyme, and responsible for repair and removal of AP sites and strand breaks (Hegde *et al.*, 2008; Hegde *et al.*, 2012; Mantha *et al.*, 2013).

Human *APE gene* (~3 kb in size) is localized on chromosome 14q11.2-12 and consists of four introns and five exons (Fritz, 2000). The human *APE cDNA* is about 1.4 kb in length and encompasses a coding region of 954 nucleotides and encodes a protein comprising of 318 amino acids. APE1 is abundant (~10⁵ copies per cell) in eukaryotic cells and has a relatively long half-life [~8 hr] (Tell *et al.*, 2000). APE1 is a dual function protein. Its C-terminus displays repair activity and its N-terminal contains a bi-partite nuclear localization signal, NLS (Barnes *et al.*, 2009; Bhakat *et al.*, 2009; Zaky *et al.*, 2008) and displays redox activity responsible for transcriptional regulation through redox based mechanisms (Bhakat *et al.*, 2009; Jiang *et al.*, 2009; Tell *et al.*, 2009).

2.8.2. Role of APE1 in oxidative DNA damage repair

Two isoforms of APE, APE1 and APE2, have been illustrated in mammalian tissues (Hanna *et al.*, 2004). APE1 is the main AP endonuclease in mammalian cells

taking part in the BER processes both in nucleus and mitochondria (Hanna *et al.*, 2004). BER, an evolutionarily conserved process, is responsible for repairing most endogenous lesions like oxidized bases, AP sites and SSBs in both nuclear DNA and mitochondrial DNA. The basic BER-pathway involves enzymes viz. DNA glycosylase, APE1, DNA polymerase and DNA ligase. APE1 has both repair and redox activity that is involved in signal transduction. APE1 participates in several important cellular mechanisms such as apoptosis, proliferation, differentiation functioning as a transcriptional co-activator (Bhakat *et al.*, 2009b). Attempts to generate APE1-null mice were not successful and lead to an early-embryonic death (Izumi *et al.*, 2005; Xanthoudakis *et al.*, 1996). Further attempts to generate cell lines from APE1-null embryos failed, showing the essentiality of APE1 in maintaining cell viability. A study pointing towards the role of APE1 in neuronal cell survival showed that overexpression of APE1 in hippocampal and sensory cells exposed to H₂O₂ lead to an increase in cell viability (Vasko *et al.*, 2005). Up-regulation of APE1 in cerebral cortical region of AD patients was also seen (Davydov *et al.*, 2003). An immunohistochemical study pointing towards the role of APE1 in regulating cellular response towards oxidative stress showed that increased nuclear expression of APE1 is present in cerebral cortical regions of AD patients (Marcon *et al.*, 2009). Another study showed the colocalization of APE1 with A β in the senile plaques in AD hippocampus (Tan *et al.*, 2009). This study also showed that varying concentrations of A β (1-42) regulates APE1/Ref-1 expression, thus pointing towards the neuroprotective role of APE1 in response to oxidative stress. A number of evidences point towards the role of cyclin-dependent kinase 5 (Cdk5) in mediating neuronal loss. In line with this, it was shown that Cdk5 complexes with p35 and phosphorylates APE1 at Thr232, causing reduction in APE1's endonuclease activity and leading to accumulation of DNA damage and neuronal loss (Huang *et al.*, 2010).

2.8.3. APE1- a neuronal hub protein

APE1's key role in the BER-pathway and in trans-activation of various TFs is well documented but less is known about the signaling pathways through which APE1 executes its neuronal functions. A recent study of Mantha *et al.*, has identified several

key neuronal proteins those are involved in various cellular functions are interacting with APE1 in response to A β (25-35)-induced stress in PC12 and SH-SY5Y cells (Mantha *et al.*, 2012). APE1 interacting proteins that were differentially expressed after treatment of PC12 neuronal cells with A β (25–35) peptide included cytoskeleton elements such as tropomodulin 3 (Tmod3) and the tropomyosin alpha-3 chain; energy metabolism proteins such as pyruvate kinase M2 (PKM2); *N*-acetyltransferase; sulfotransferase1c; and stress-responsive proteins such as leucine-rich and death domains, anti-NGF 30 and heterogenous nuclear ribonucleoprotein-H1 (hnRNP-H1) (Mantha *et al.*, 2012). Most of these APE1-associated proteins are involved in intracellular signaling regulating cell growth thus, it suggests that this could be due to an adaptive cellular response to the stress generated by exposure to A β (25–35) peptide. The increased association of various cellular proteins with APE1 suggests that it may play a role in neuronal protection against endogenous/exogenous oxidative stress via its DNA repair function and also by its transcription factor-activation function. Thus, it can be interpreted that APE1 has a role in providing protection against oxidative stress and helps to maintain cellular redox balance. It can be interpreted that APE1 has a major role in overcoming the oxidative stress and maintaining neuronal cell viability and integrity.

2.8.4. Mitochondrial APE1

Role of mitochondrial APE is unclear till now. It is still debated whether the mitochondrial APE is an N-terminal truncated product of APE1 (Mitra *et al.*, 2007). The deletion of the N-terminal residues containing the nuclear localization signal (NLS) has been described to induce a 3-fold increase in the specific activity of APE1 (Chattopadhyay *et al.*, 2006) and APE1 activity is higher in mitochondrial fractions than in nuclear fractions. As mitochondrial genome is small, most of the mitochondrial proteins are encoded by the nuclear genome and transported to mitochondria. The transcriptional activity of nuclear respiration factor 1 (NRF1), was elevated after H₂O₂-induced oxidative stress and its downstream mitochondrial target genes were upregulated (Li *et al.*, 2012). The activation of NRF1 DNA-binding activity by APE1 was demonstrated in a study showing that nuclear APE1 modulates nuclear-encoded

mitochondrial-related genes by regulating NRF1 activity in a redox-dependent manner (Li *et al.*, 2012). Thus, it is indicated that in response to oxidative stress, APE1 modulates the expression of some nuclear-encoded mitochondrial genes and consequently modulates mitochondrial functions.

2.8.5. Mitochondrial BER

BER in the mitochondria helps to cope up with the oxidized DNA lesions generated due to the presence of free radicals and thus maintains mtDNA stability. The basic mechanism by which mitochondrial BER (mtBER) acts remain the same as that of nuclear BER, but some specific BER enzymes are present in the mitochondria and these are coded by nuclear genes (Bohr, 2002). For a very long time, it was considered that only short patch BER (SN-BER) occurs in the mitochondria which include removal of a DNA lesion and incorporation of a single nucleotide. But, now it is believed that owing to the rate at which oxidized base lesions are generated in mtDNA, long patch BER (LP-BER) may also take place in mitochondria (Akbari *et al.*, 2008; Hegde *et al.*, 2011; Mantha *et al.*, 2013; Szczesny *et al.*, 2008).

The first step of mtBER pathway involving recognition of a damaged base is performed by a DNA glycosylase. Two monofunctional DNA glycosylases (M-DG) i.e. uracil-DNA glycosylase 1 (UNG1) and MutY homolog (MYH); four bifunctional DNA glycosylases (B-DG) viz. 8-oxoguanine DNA glycosylase (OGG1), endonuclease III-like 1 (NTH1), *Nei*-like-1 (NEIL1), and *Nei*-like-2 (NEIL2) are present in the mitochondria (Alexeyev *et al.*, 2013; Banerjee *et al.*, 2011). Next step of the mtBER-pathway involves processing of the AP sites by APE1, which is the main AP endonuclease of the mammalian cell and this mtAPE1 is believed to be an N-terminal truncated product of APE1. It is also shown that deletion of the 33 N-terminal residues increases the specific activity of mtAPE1 by 3-folds (Chattopadhyay *et al.*, 2006). The next step involves insertion of correct nucleotides by DNA pol γ which is followed by ligation of single-strand nick by DNA ligase III. This mitochondrial ligase III is derived from *LIG3* gene and is known to be independent of X-ray repair cross-complementing protein 1 (XRCC1) while the nuclear variant of DNA ligase III interacts with XRCC1

(Gredilla *et al.*, 2010; Lakshmipathy *et al.*, 2000). XRCC1 acts as a SSB sensor protein and acts as a scaffold for BER proteins for SSB repair (Hegde *et al.*, 2008). XRCC1 also interacts and stimulates APE1 (Vidal *et al.*, 2001).

A recent study has shown that EXOG, a 5'-exo/endonuclease, is an essential component of BER/SSBR-pathway unique to the mitochondria and forms complex with APE1, DNA pol γ , DNA ligase III and involved in repairing endogenous SSBs in the mtDNA. Also, it was shown that depletion of EXOG increases ROS levels and induces apoptosis in normal cells (Tann *et al.*, 2011). 5' flap-endonuclease-1 (FEN1), part of DNA replication machinery, is shown to displace and cleave this 5' blocking group along with 4-6 nucleotides as a single stranded DNA flap. FEN1 is involved in repairing oxidative DNA damage via LP-BER in the mitochondria (Liu *et al.*, 2008). Cellular death and embryonic lethality in presence of gamma radiation-induced DNA damage was observed in *FEN1* gene knockout mice (Larsen *et al.*, 2003). The hDNA2, possessing nuclease, helicase and ATPase activities, is also involved in DNA replication and repair in the mitochondria. The hDNA2 forms a complex with pol γ and stimulates the polymerase activity. It is also involved in RNA primer removal during mtDNA replication. The hDNA2, owing to its nuclease property, can also process flap LP-BER intermediates. This points towards the synergistic roles of FEN1 and hDNA2 to process the 5' flap intermediates during DNA replication and repair in the mitochondria (Zheng *et al.*, 2008). Thus, all through these years we have gained knowledge about some of the repair pathways occurring in the mitochondria and identified different repair enzymes present in the mitochondria but much more needs to be understood towards establishing patho-physiologies which overtakes these repair processes.

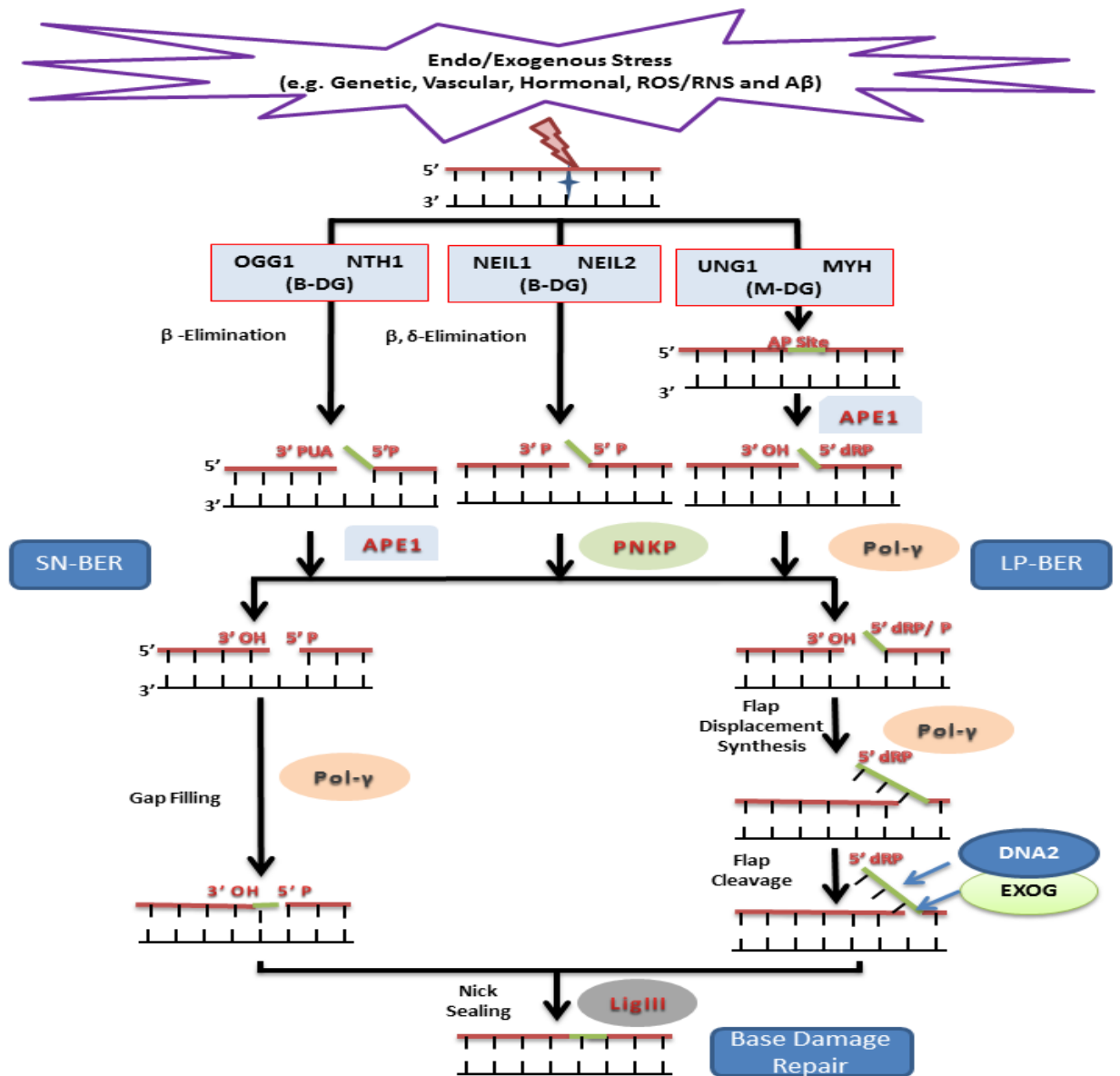


Figure 2.2. The basic mechanism of mitochondrial-BER (mtBER) remains the same as nuclear-BER pathway, but some specific enzymes are present in the mtBER pathway (Kaur *et al.*, 2014).

2.8.6. Phytochemical modulation

Human beings have used plant extracts for centuries for treating various types of ailments. As oxidative stress and mitochondria-derived oxidative stress is thought to play a role in pathogenesis of AD, a number of studies have shown that antioxidants may prevent or cure development and progression of AD. For the last few years scientists are trying to figure out the active ingredients present in the plant extracts responsible for the specific action and identify the molecular mechanism(s) by which these phytochemicals exert their action. Phytochemicals like resveratrol, isoflavones, curcumin, decursin, EGCG, L-carnitine and *Ginkgo biloba* extract have shown potent properties against neuronal disorders. It has been shown that resveratrol, a phytoalexin and a polyphenolic compound found in the seeds and wine made from grape cultivars, exerts protective effects against A β -induced neurotoxicity in rat hippocampal cells with the involvement of PKC (Han *et al.*, 2004). In addition, resveratrol oligomers from *V. amurensis* were shown to rescue A β -mediated oxidative stress in PC12 cells by inhibiting ROS production (Jang *et al.*, 2007). Resveratrol is also shown to regulate the redox activity of APE1/Ref-1 and is identified as a potent APE1 inhibitor (Yang *et al.*, 2005), upregulating AP-1 and NF- κ B DNA binding activities, highlighting its anti-melanoma potential (Yang *et al.*, 2005b).

Curcumin is the main active flavonoid derived from the rhizome of *Curcuma longa* (Zingiberaceae). Curcumin has potent anti-inflammatory property due to its antioxidant activity resulting in the scavenging of the ROS generated inside the body under stress conditions (Kunchandy *et al.*, 1990). Curcumin owing to its antioxidant, anti-inflammatory action suppresses the oxidative damage and decreases amyloid deposition (Zhang *et al.*, 2010). Curcumin has a strong ability to cross BBB, and shown to reduce aggregation of A β (1-40) and cause disaggregation of A β (1-40). In addition, curcumin prevented A β (1-42) oligomer formation and toxicity, making it an effective molecule for prevention and treatment of AD (Yang *et al.*, 2005). Thus, this curry spice has a great potential in alleviating oxidative stress and improving cognitive decline in AD.

Soy isoflavones which include genistein, daidzein and glycitein, are the major flavonoids found in soybean, which have been a traditional food in Asia for a very long time and has potent neuroprotective abilities. A study showed that isoflavones, specifically genistein and glycitein have an anti-fibrillization, anti-oligomerization and fibril destabilizing potential on A β (1-40) and A β (1-42) *in vitro* and glycitein, in particular, binds directly to A β monomers, oligomers and fibrils and exhibit highest affinity for A β (25-35) (Hirohata *et al.*, 2012). An earlier study showed that genistein could attenuate the oxidative stress induced by A β (25-35) and reduce the ROS levels, and inhibit cell apoptosis possibly through Nrf/HO-1 signal pathway in PC12 cells (Bagheri *et al.*, 2011). Thus, isoflavones can be employed towards effective therapy to directly target amyloid assemblies for the treatment of AD.

The consumption of green tea and incidence of dementia, AD and PD are inversely correlated (Hu *et al.*, 2007; Mandel *et al.*, 2008). Numerous animal model studies have suggested that EGCG exerts neuroprotective effects against age-related cognitive decline and neurodegenerative diseases. EGCG has emerged as a mitochondrial restorative compound which was demonstrated to restore MMP, ROS levels and ATP levels in a double transgenic mouse model of AD. Thus, EGCG was shown to lessen the A β -induced mitochondrial dysfunction, which is implicated during the onset and progression of AD (Dragicevic *et al.*, 2011). These studies point out that EGCG, owing to its anti-amyloidogenic and mitochondrial restorative property, has a tremendous potential in AD therapy.

G. biloba is an herbal compound being used in traditional Chinese medicine for thousands of years to treat a variety of ailments. It has been shown to reduce memory loss, enhance brain activity and to slow down the degenerative effects of AD (DeKosky *et al.*, 2008). The clinical studies have demonstrated that extracts of *G. biloba* provide therapeutic benefits to AD similar to prescription drugs such as Donepezil or Tacrin. The ginkgolides present in *G. biloba* possess activities relevant to the disease mechanisms in AD such as antioxidant, neuroprotective and cholinergic activities according to the studies conducted by Medical Research Council

of New Castle General Hospital, USA (Perry *et al.*, 1999). An earlier study showed that *G. biloba* extract (EGb 761) protects and rescues hippocampal cells against nitric oxide-induced toxicity, thus EGb 761 acts as NO scavenger with neuroprotective abilities (Bastianetto *et al.*, 2000). Various clinical studies have indicated that 3-to 6-month treatment with 120-240 mg of *G. biloba* has produced significant effect in AD patients except for few reports of bleeding complications, nausea, vomiting (Mahadevan *et al.*, 2008). Another study showed that EGb 761 and its constituents Ginkgolide B have protective effects against A β (1-42)-induced toxicity in SH-SY5Y cells (Shi *et al.*, 2009). A study provided evidence that *G. biloba* improved metabolic energy pathways and improved OXPHOS performance and restore A β induced mitochondrial failure (Rhein *et al.*, 2010). Very few antioxidants have been shown to increase OXPHOS performance other than *G. biloba*. Thus, *G. biloba* exhibits positive effects on the mitochondrial function, provides stabilization of mitochondria and has free radical scavenging properties, in addition to its neuroprotective abilities.

It is a prerequisite for the neuronal cell to counter the oxidative stress responses elicited by different agents and mechanisms. APE1-mediated intervention along with phytochemicals, thus, emerges a new field of study to tackle the AD. The present study is aimed to provide insights into a new research molecule(s) to help in designing/screening of potential molecules as therapeutics for AD and other degenerative diseases. This will help to elucidate the function of APE1 at the molecular level that will give insights about how APE1 helps in maintaining mitochondrial integrity and finding out new therapeutics for treating AD.

CHAPTER - 3

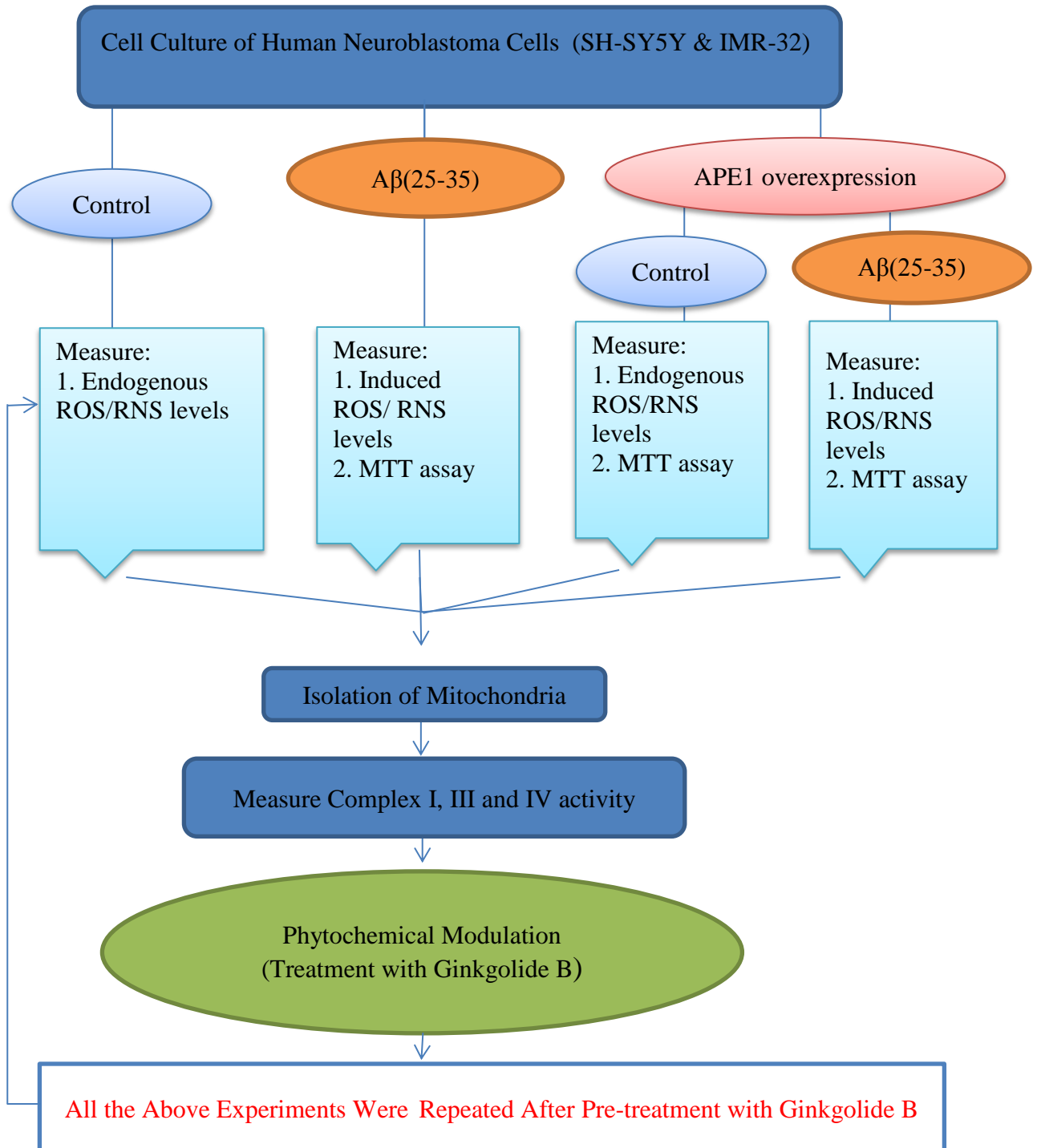
MATERIALS AND METHODS

3.1. Culturing of Human Neuroblastoma (SH-SY5Y) Cells. Human neuroblastoma SH-SY5Y cells generously gifted by Prof. Pankaj Seth, National Brain Research Centre (NBRC), Manesar were grown at 37 °C in complete medium: (1:1) DMEM/Ham's F12 supplemented with 10% fetal bovine serum (FBS)/10% horse serum (HS) and 1% penicillin-streptomycin (PS) and were maintained under 5% CO₂ atmospheric pressure as described earlier (Mantha *et al.*, 2012). When the cells became 70-80 % confluent, culture medium was removed and discarded. Then the cells were rinsed with phosphate buffered saline (PBS) to remove all traces of serum that contains trypsin inhibitor. After that, trypsin-EDTA 0.25 % (w/v) solution was added to the flask. It was then allowed to incubate until cells detached from the surface. Complete medium was added afterward for further culturing of the cells. Appropriate aliquots of the cell suspension were poured onto new culture flasks and maintained at 37 °C in a 5 % CO₂ humidified incubator, with few modifications (Mantha *et al.*, 2012).

3.2. Culturing of Human Neuroblastoma (IMR-32) Cells. The IMR-32 neuroblastoma cells procured from National Centre for Cell Science (NCCS), Pune were grown in DMEM medium containing 10 % FBS and 1% PS and were maintained at 37 °C in a 5 % CO₂ humidified incubator. When the cells became 70-80 % confluent, culture medium was removed and discarded. Then the cells were rinsed with PBS to remove all traces of serum that contains trypsin inhibitor. After that, trypsin-EDTA 0.25 % (w/v) solution was added to the flask. It was then allowed to incubate until cells detached from the surface. DMEM containing 10 % FBS and 1 % PS was added afterward for further culturing of the cells. Appropriate aliquots of the cell suspension were poured onto new culture flasks and maintained at 37⁰ C in a 5 % CO₂ humidified incubator, with few modifications (Mantha *et al.*, 2012).

3.3 Preparation of A β (25-35). A β (25-35) peptide (GenScript) stock solutions were prepared freshly each time before treatment at 1000 μ M in double-distilled deionized water and considered as soluble form (Mantha *et al.*, 2012).

EXPERIMENTAL APPROACH



3.4. Induction of Oxidative Stress. The SH-SY5Y and IMR-32 cells were seeded on 96-well plate at density of 1×10^5 cells per well in complete media as described earlier with few minor modifications (Mantha *et al.*, 2012). The plates were incubated at 37° C in a humidified and sterile atmosphere containing 5 % CO₂ for 12 hr. After incubation, the medium containing serum was discarded, and new serum-free medium was added to each of the wells and incubated at 37° C in a sterile atmosphere containing 5 % CO₂ for 24 hr. Afterwards, treatment with A β (25-35) peptide at a range of 0-80 μ M in the respective serum-free medium was done in both SH-SY5Y and IMR-32 cells. Then, the plates were incubated at 37° C in a humidified and sterile atmosphere containing 5 % CO₂ for 24 hr. Based on the findings, 20 μ M was selected for further experimentation.

3.5. Treatment with Ginkgolide B. The SH-SY5Y and IMR-32 cells were seeded on 96-well plate at density of 1×10^5 cells per well in complete media as described earlier with few minor modifications (Mantha *et al.*, 2012). The plates were incubated at 37° C in a humidified and sterile atmosphere containing 5 % CO₂ for 12 hr. After incubation, the medium containing serum was discarded, and new serum-free medium was added to each of the wells and incubated at 37° C in a sterile atmosphere containing 5 % CO₂ for 24 hr. Afterward, treatment with Ginkgolide B (Sigma Aldrich) in the range of 0-100 μ M in the respective serum-free medium was done in both SH-SY5Y and IMR-32 cells (Ahlemeyer *et al.*, 2003). The plates were then incubated at 37° C in a humidified and sterile atmosphere containing 5 % CO₂ for different time points 12, 24, 48 and 72 hr. Based on the findings, 20 μ M was found to be the optimum concentration for further experimentation.

3.6. Cell Viability Assay

Principle: The MTT assay was first described by Mosmann in 1983. MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide] assay is based on cleavage of tetrazolium rings of pale yellow MTT by mitochondrial dehydrogenase enzyme from viable cells resulting in formation of dark blue formazan crystals. The formazan crystals get accumulated in healthy cells as they are impermeable to the cell

membrane. So, these are then solubilised using 0.6% acidified DMSO. The number of surviving cells is directly proportional to the level of formazan product formed measured at 570 nm.

Assay: SH-SY5Y and IMR-32 cells which were seeded on 96-well plate at density of 1×10^5 cells per well and given treatments with A β and Ginkgolide B as indicated were assessed for cell viability using MTT to a final concentration of 0.5 mg/ml. On completion of respective incubation time of treatment, 10 μ l of MTT (5 mg MTT dissolved in 1 ml PBS) was added to each well containing 100 μ l of medium and incubated for 3 hr in dark at 37 °C. After 3 hr, the formazan crystals formed were solubilised by adding 100 μ l of 0.6% acidified DMSO to each of the wells (0.6 ml acetic acid per 100 ml of DMSO). The optical density of each well was measured at a wavelength of 570 nm using BioTek microplate reader according to the protocol described earlier (Dhiman *et al.*, 2012).

3.6. Measurement of ROS levels

Principle: The cell-permeant 2',7'-dichlorodihydrofluorescein diacetate (H₂DCFDA) (also known as dichlorofluorescein diacetate) is used as an indicator for ROS in cells. H₂DCFDA is a nonfluorescent chemically reduced form of fluorescein which is converted to highly fluorescent 2',7'-dichlorofluorescein (DCF) due to the cleavage of the acetate groups by intracellular esterases and oxidation. The H₂DCFDA fluorescence can be detected by a microplate reader at excitation wavelength of 478 nm and emission wavelength of 518 nm.

Assay: The treated and control SH-SY5Y and IMR-32 cells (1×10^5), cultured in 96-well plates were equilibrated in PBS and incubated in the dark for 30 min with 100 μ M of H₂DCF-DA (Invitrogen) [Ex_{478nm}/Em_{518nm}]. After washing twice with PBS, fluorescence was then read at the excitation wavelength of 478 nm and emission wavelength of 518 nm using BioTek Microplate reader as per the protocol described (Dhiman *et al.*, 2011).

3.7. Measurement of RNS levels

Principle: The reagent 4-amino-5-methylamino-2',7'-difluorofluorescein diacetate (DAF-FM) is used to measure the intracellular RNS levels in the cells. DAF-FM, which is essentially nonfluorescent and cell-permeant, is used to detect and quantify low concentrations of NO in cells. When it encounters NO inside the cells, it reacts with it to form a fluorescent benzotriazole. The DAF-FM fluorescence can be detected by a microplate reader at excitation wavelength of 478 nm and emission wavelength of 515 nm.

Assay: The treated and control SH-SY5Y and IMR-32 cells (1×10^5), cultured in 96-well plates were equilibrated in PBS and incubated in the dark for 30 min with 20 μ M of DAF-FM (Invitrogen) [Ex_{478nm}/Em_{515nm}]. After washing twice with PBS, fluorescence was then read at the excitation wavelength of 478 nm and emission wavelength of 515 nm using BioTek microplate reader as per the protocol described (Keil *et al.*, 2004).

3.8. Cell Transfection. SH-SY5Y and IMR-32 cells were grown overnight in 96-well plates and 100 mm² dishes. Then the cells plated in 96-well plates were transiently transfected with 0.2 μ g of plasmid DNA per well containing C-terminally FLAG-tagged full-length APE1 and 0.2 μ g of empty vector using Lipofectamine 2000 (Invitrogen). The cells in 100 mm² dishes were transiently transfected with 2 μ g of plasmid DNA per plate containing C-terminally FLAG-tagged full length APE1 and 2 μ g of empty vector per plate using Lipofectamine 2000 as described earlier (Mantha *et al.*, 2012). At 6 hr after transfection, the cells were transferred to complete medium for 18 hr. Afterward, the cells were given treatments as indicated for 24 hr, followed by determination of cell viability, ROS/RNS levels and isolation of mitochondria.

3.9. Western Blotting. APE1 ectopic-expression in SH-SY5Y and IMR-32 cells after transfection was confirmed by Western blotting. Firstly, whole-cell extracts (WCE) were prepared from SH-SY5Y and IMR-32 cells using NP40 buffer (0.1% Nonidet P40, 150 mM NaCl, 50 mM Tris-Cl, pH 7.4, and protease inhibitors). 100 μ g of WCE

prepared from control cells and cells ectopically expressing APE1 were separated by SDS electrophoresis. After transferring the proteins onto a nitrocellulose (NC) membrane overnight at 4 °C, 40 V, the membranes were blocked for 1 hr with 5% non-fat dry milk (NFDM) in 50 mM Tris-HCl (pH 7.4), containing 150 mM NaCl and 0.05% Tween 20 (TBST). The membrane was incubated with the mouse anti-APE1 mAb (1:2000) in 5% milk for overnight, and then washed 3 times for 15 min in TBST. The membrane was further incubated in secondary horseradish peroxidase-conjugated mouse Ab (1:3000) in the blocking buffer for 1 hr, then washed three times in TBST for 15 min. ECL reagent was used as chemiluminescent substrate followed by imaging and data analysis (Mantha *et al.*, 2012).

3.10. Composition of Lysis Buffer for Isolation of Mitochondria

The recipe of the lysis buffer contained the following at a final concentration dissolved in autoclaved water.

- 75 mM NaCl
- 1 mM NaH₂PO₄
- 8 mM Na₂HPO₄
- 250 mM Sucrose
- 0.05 % Digitonine
- Protease Inhibitor Cocktail (Sigma)

3.11. Isolation of Mitochondria. The control and treated SH-SY5Y and IMR-32 cells seeded in 100 mm² dishes were trypsinised and centrifuged at 2000 rpm for 10 min. The resulting cell pellet was resuspended in ice-cold lysis buffer as described above for 30 min on ice with vortexing after every 10 min. The cell suspension was centrifuged at 800 g for 10 min at 4 °C. The pellet was discarded, and the supernatant was again centrifuged at 20,000 g for 15 min at 4 °C. The resulting supernatant contained the cytosolic fraction while pellet contained the mitochondria. The mitochondrial pellet was resuspended in PBS and stored at 4 °C until use, followed by determination of protein content (Rhein *et al.*, 2010).

3.12. Determination of Protein Content. The amount of protein in the mitochondrial fraction of different treatment groups was determined using Bradford method. At first, a calibration curve was determined using Bovine Serum Albumin (BSA) as a standard. By adding required volume of the sample and bringing the volume up to 800 μ l with PBS, 200 μ l of 5X Bradford reagent was added and incubated at room temperature for 10 min. The absorbance of the samples was measured at 595 nm using BioTek microplate reader.

3.13. Measurement of APE1 Levels by ELISA (Enzyme-Linked Immunosorbent Assay). A 96-well microtiter plate was coated with 10 μ g of mitochondrial protein for 16 hr at 4 $^{\circ}$ C. Wells were washed and incubated with blocking buffer (phosphate-buffered saline, 2% BSA, 0.1% Tween 20) for 1 hr at 37 $^{\circ}$ C. Following washing, APE1 antibody (anti-mouse, 1:500) was added and incubated for 2 hr at 37 $^{\circ}$ C. The wells were washed, and secondary antibody (anti-mouse IgG-horseradish peroxidase, 1:1000) was added and incubated for another 1 hr at 37 $^{\circ}$ C. Blue coloration was observed on addition of TMB (3,3',5,5'-Tetramethylbenzidine) as a chromogenic substrate. The reaction was terminated after 10 min with 2 M H_2SO_4 , and the absorbance was read at 450nm using BioTek microplate reader (Dhiman *et al.*, 2009).

3.14. Determination of Complex I Activity. A total of 20 μ g of mitochondrial protein was used to estimate the NADH-Ubiquinone Oxidoreductase activity. Firstly, the mitochondrial protein was incubated with 800 μ l of water and incubated for 2 min at 37 $^{\circ}$ C. Then, 200 μ l of 50 mM Tris (pH-8.0) medium supplemented with 5 mg/ml BSA, 800 μ M NADH as donor, 1.2 mM KCN and 2 μ M antimycin was added. The basal activity was measured at 340 nm for 3 min. The reaction was started by the addition of 50 μ M of the acceptor decyl ubiquinone. The activity was measured at 30 $^{\circ}$ C by following the decrease in absorbance at 340 nm resulting from the oxidation of NADH for 3 min using BioTek microplate reader. The extinction co-efficient of NADH is 6.1 $mM^{-1}.cm^{-1}$ (Barrientos *et al.*, 2009; Rhein *et al.*, 2010).

3.15. Determination of Complex III Activity. A total of 10 µg of mitochondrial protein was used to estimate the Ubiquinol Cytochrome c Reductase activity. Firstly, decyl ubiquinol was freshly prepared by adding a few crystals of sodium borohydride to 10 mM of decyl ubiquinone in ethanol and mixed by pipetting till the solution becomes transparent. Few microliters of HCl was added to eliminate excess of sodium borohydride. Final pH of the solution was 2. The assay was carried out at 30 °C in a medium containing 80 µM decyl ubiquinol as the donor, 240 µM KCN, 4 µM rotenone, 200 µM ATP and 0.6 mM dodecyl β-D-maltoside. This was followed by incubation for 10 min at 30 °C. The reaction was started by addition of 40 µM oxidized cytochrome c. The activity was measured at 550 nm for 3 min using BioTek microplate reader. The extinction co-efficient of cytochrome c is 18.5 mM⁻¹.cm⁻¹ (Barrientos *et al.*, 2009; Rhein *et al.*, 2010).

3.16. Determination of Complex IV Activity. A total of 10 µg of mitochondrial protein was used to measure the Cytochrome C Oxidase activity. Briefly, cytochrome c (0.22 mM) was reduced using 5 µl of 0.1 M DTT. After 15 min of incubation, the colour changed from dark orange to pale purple which was measured by measuring absorbance at 550 nm and 565 nm. The ratio of 550/565 was between 10 to 20 indicating reduction of cytochrome c. The assay was carried out using 10 mM phosphate buffer (pH-6.5), 0.25 M sucrose, 1 mg/ml BSA and 2.5 mM dodecyl β-D-maltoside to permeabilize the outer membrane to cytochrome c. The reaction was started using 10 µg of mitochondrial protein. The absorbance was measured at 550 nm for 3 min using BioTek microplate reader. The extinction co-efficient of cytochrome c is 18.5 mM⁻¹.cm⁻¹ (Barrientos *et al.*, 2009; Rhein *et al.*, 2010).

The following formula was used to calculate the mitochondrial complex (I-IV) activity in using the mitochondrial protein obtained from control and treated SH-SY5Y and IMR-32 cells.

$$\text{Activity (U/ml)} = (\Delta A/\text{min} \times \text{dil} \times 1) / (\text{vol of enzyme}) \times \Delta \epsilon_{\text{mM}}$$

Where,

$\Delta A/\text{min}$ = $A/\text{min}_{(\text{sample})} - A/\text{min}_{(\text{blank})}$

dil = dilution factor of enzyme or sample

vol of enzyme = volume of enzyme or sample in ml

$\Delta_{\epsilon m M}$ = extinction co-efficient

Unit definition: One unit will oxidize 1.0 μmole of substrate per minute at pH 7.0 at 25 °C.

3.17. Statistical Analyses. Data were presented as Mean \pm Standard deviation. Results were analyzed using paired Student's t-test and Analysis of Variance for statistical evaluation of mean values for experimental and control samples. P values <0.05 were considered statistically significant.

CHAPTER - 4

RESULTS

4.1: A β (25-35)-induced oxidative stress and cell viability in SH-SY5Y cells: The SH-SY5Y cells were treated with various concentrations of A β (25-35) in a range of 0-80 μ M for 24 hr. It was observed that upto 10 μ M, there was no cytotoxic effect of A β (25-35) in these cells as compared with the control cells. Thereafter, a decrease in cell proliferation was seen with increasing concentration of A β (25-35) as compared to untreated cells, with a significant decrease of 18% and 28% at 40 μ M and 80 μ M respectively (**Figure 4.1** and **Table 4.1**). Based on the literature and available data, 20 μ M was chosen to be used throughout the study for further experimentation.

4.2: A β (25-35)-induced oxidative stress and cell viability in IMR-32 cells: The IMR-32 cells were treated with various concentrations of A β (25-35) in a range of 0-80 μ M for 24 hr. It was observed that there was a significant decrease in cell proliferation with increasing concentration of A β (25-35) from 10-80 μ M as compared to the untreated cells. A decrease in cell proliferation by 12% was seen on treatment with 20 μ M of A β (25-35) as compared to untreated cells, followed by a significant decrease of 16% and 32% at 40 μ M and 80 μ M respectively (**Figure 4.2** and **Table 4.2**). Based on the literature and available data, 20 μ M was chosen to be used throughout the study for further experimentation.

4.3: Assessment of cytotoxic response in SH-SY5Y and IMR-32 cells after treatment with Ginkgolide B (G.B): Different concentrations of G.B were used to treat SH-SY5Y and IMR-32 cells in a concentration range of 0-80 μ M for different time points i.e. 12, 24, 48 and 72 hr. A decrease in cell proliferation by 25 % (significant) and 8% was seen at higher concentrations (80 μ M) treated for 24 hr in SH-SY5Y and IMR-32 cell lines (**Figure 4.3** and **Table 4.3**) and (**Figure 4.4** and **Table 4.4**) respectively. When these cell lines were treated with G.B for 72 hr, there was a significant decrease in cell proliferation with increasing concentration of G.B in case of SH-SY5Y cells. Similar trend was seen in the case of IMR-32 cells treated for 72 hr, with a significant decrease at 80 μ M. Although the lower concentration studied

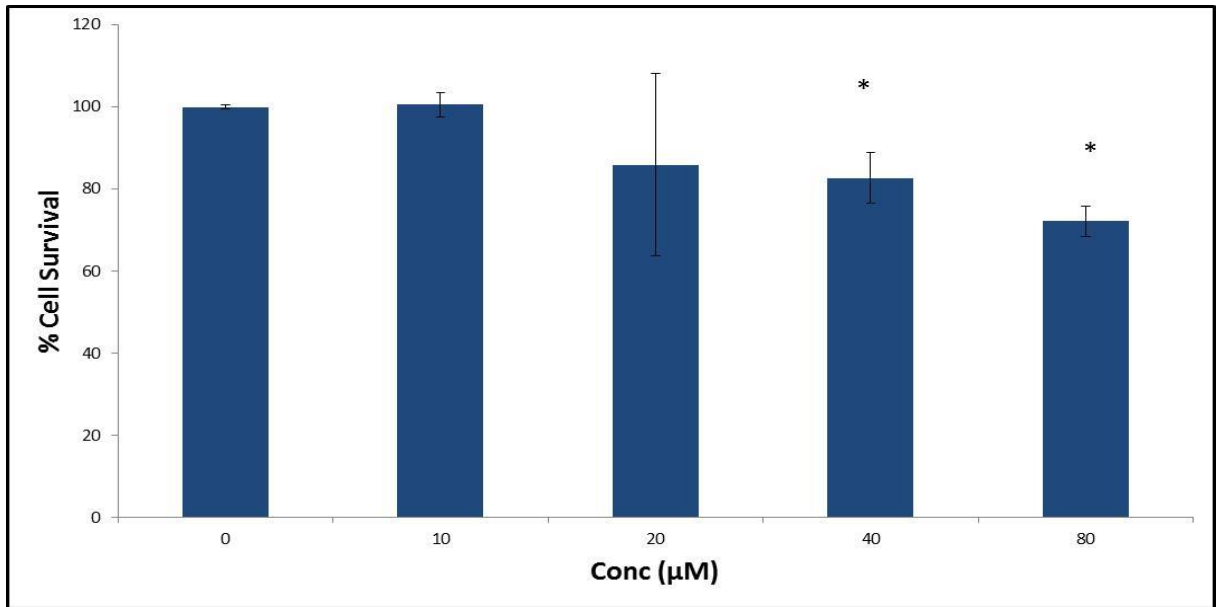


Figure 4.1: The percent cell viability in SH-SY5Y cells treated with various concentrations of oxidant A β (25-35) for 24 hr.

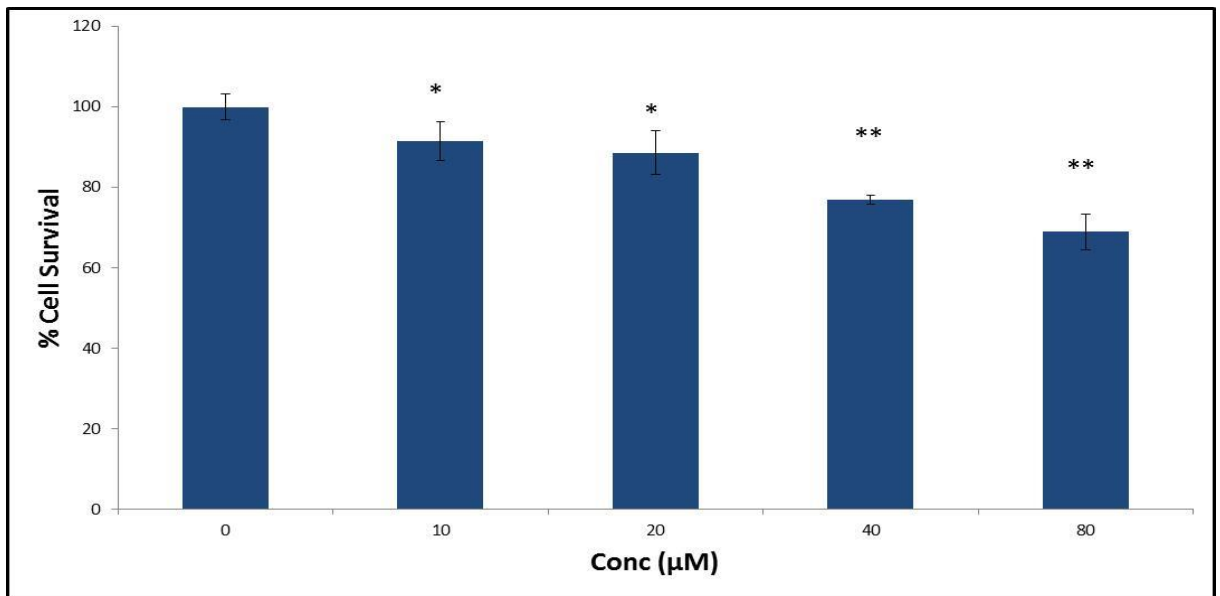


Figure 4.2: The percent cell viability in IMR-32 cells treated with various concentrations of oxidant A β (25-35) for 24 hr.

Table 4.1: The percent cell viability in SH-SY5Y cells treated with various concentrations of oxidant A β (25-35) for 24 hr.

Concentration of Aβ(25-35) (μM)	% Cell Viability
Control (0)	100 \pm 0.4
10	100 \pm 3
20	85 \pm 22
40	82 \pm 6*
80	72 \pm 3*

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells. The results were presented as mean \pm standard deviation (n=3).

Table 4.2: The percent cell viability in IMR-32 cells treated with various concentrations of oxidant A β (25-35) for 24 hr.

Concentration of Aβ(25-35) (μM)	% Cell Viability
Control (0)	100 \pm 3
10	91 \pm 5*
20	88 \pm 5*
40	76 \pm 1**
80	68 \pm 4**

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells. The results were presented as mean \pm standard deviation (n=3).

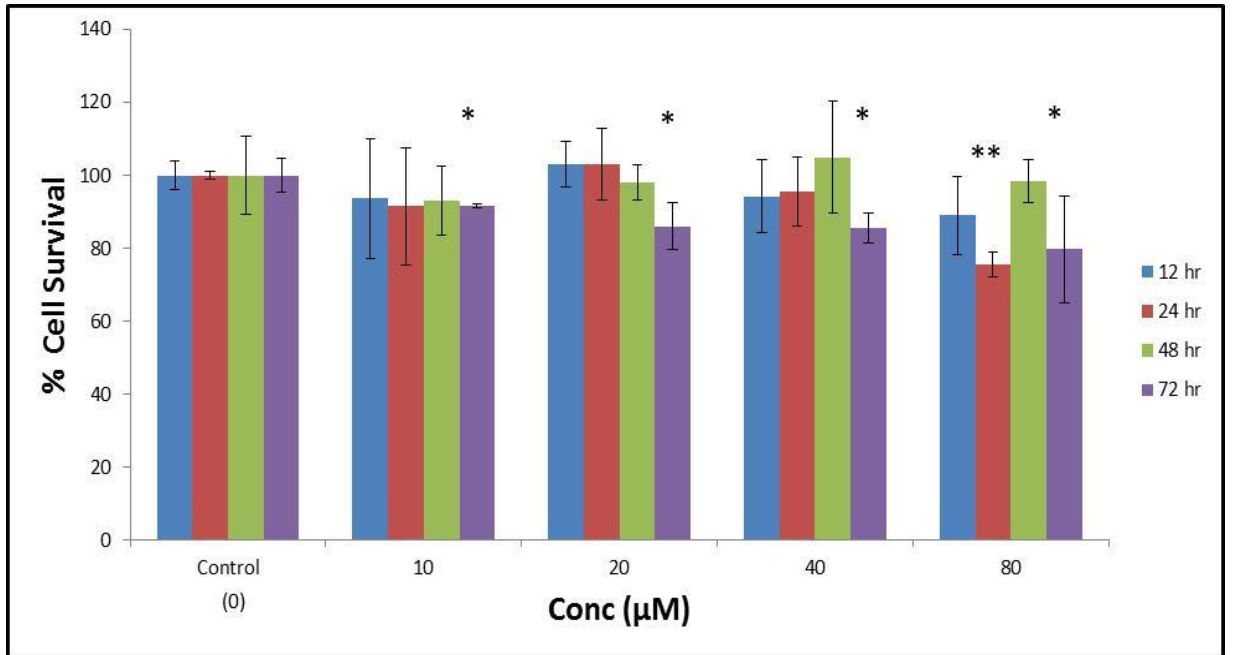


Figure 4.3: The percent cell viability of human neuroblastoma SH-SY5Y cells when treated with increased concentrations of Ginkgolide B for 12, 24, 48 and 72 hr.

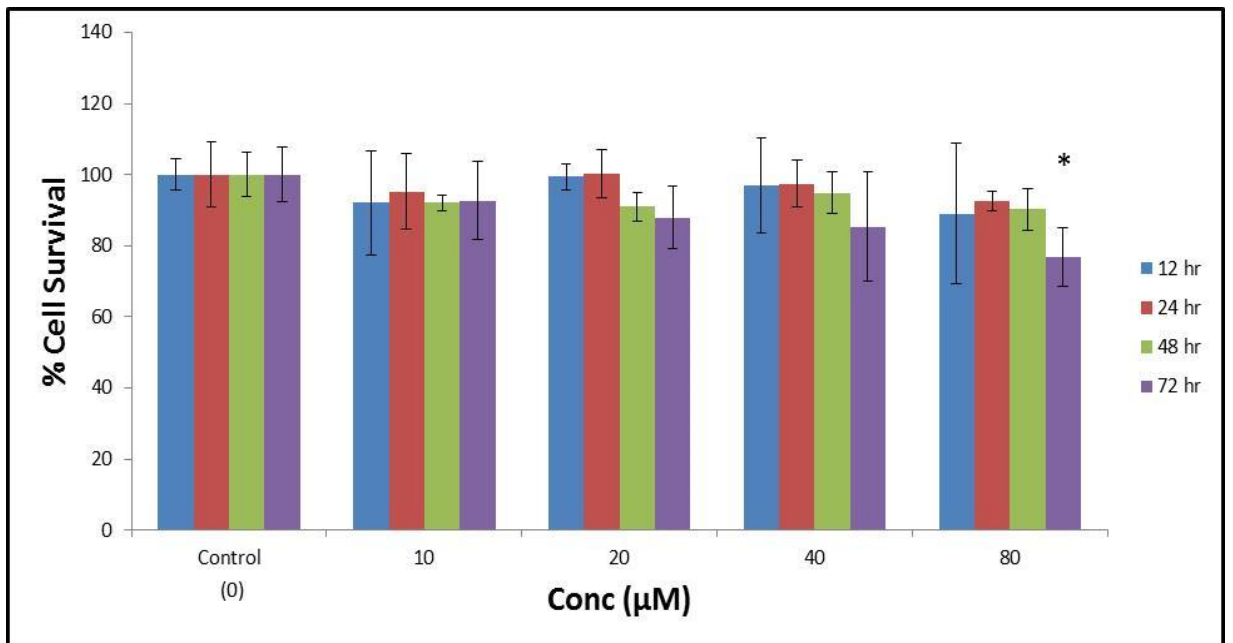


Figure 4.4: The percent cell viability of human neuroblastoma IMR-32 cells when treated with increased concentrations of Ginkgolide B for 12, 24, 48 and 72 hr.

Table 4.3: The percent cell viability of SH-SY5Y cells when treated with various concentrations of Ginkgolide B for different time periods.

Concentration of Ginkgolide B (μM)	% Cell Viability			
	12 hr	24 hr	48 hr	72 hr
Control (0)	100 \pm 4	100 \pm 1	100 \pm 11	100 \pm 7
10	94 \pm 16	91 \pm 16	93 \pm 9	93 \pm 11*
20	103 \pm 6	103 \pm 10	98 \pm 5	88 \pm 9*
40	94 \pm 10	95 \pm 9	105 \pm 15	85 \pm 15*
80	89 \pm 10	75 \pm 3**	98 \pm 6	77 \pm 8*

The student (t) test was performed to evaluate the significance of the results. The data were considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

Table 4.4: The percent cell viability of IMR-32 cells when treated with various concentrations of Ginkgolide B for different time periods.

Concentration of Ginkgolide B (μM)	% Cell Viability			
	12 hr	24 hr	48 hr	72 hr
Control (0)	100 \pm 4	100 \pm 9	100 \pm 6	100 \pm 7
10	92 \pm 14	95 \pm 10	92 \pm 2	92 \pm 11
20	99 \pm 3	100 \pm 7	90 \pm 4	87 \pm 9
40	96 \pm 13	97 \pm 6	94 \pm 6	85 \pm 15
80	89 \pm 20	92 \pm 2	90 \pm 6	76 \pm 8*

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells. The results were presented as mean \pm standard deviation (n=3).

did not show any cytotoxicity. Based on these results, two concentrations i.e. 20 and 40 μM were chosen for further assays.

4.4: Assessment of cell viability in SH-SY5Y and IMR-32 cells with pre-treatment with Ginkgolide B (G.B) and after the treatment of A β (25-35): The cell viability assay was performed further using the chosen concentrations of G.B and A β (25-35) in the SH-SY5Y and IMR-32 cell lines for 24 hr. The results showed that at 20 μM of G.B, there was a comparable cell proliferation as the control in SH-SY5Y cells and an increase in cell proliferation by 9% in IMR-32 cells. An increase in cell proliferation by 12% (significant) and 4% respectively was seen on treatment with G.B at 40 μM for 24 hr in SH-SY5Y and IMR-32 cells. On treatment with A β (25-35), there was a decrease in cell viability by 19% and 6% in SH-SY5Y and IMR-32 cells respectively. The cell viability in SH-SY5Y and IMR-32 cells was increased by 16% and 4% respectively on pre-treating the cells with G.B (20 μM) for 3 hr prior to the treatment with A β (25-35) for 24 hr; on comparison with A β (25-35)-treated cells (**Figure 4.5** and **Table 4.5**) and (**Figure 4.6** and **Table 4.6**). When compared with the respective G.B-treated SH-SY5Y and IMR-32 cells, the cell proliferation was seen to be decreased by 7% and 11% respectively in G.B(20 μM)+ A β -treated cells and by 24% and 22% significantly in G.B(40 μM)+ A β -treated cells.

4.5: Measurement of Intracellular ROS levels in SH-SY5Y and IMR-32 cells with pre-treatment with Ginkgolide B (G.B) and after the treatment of A β (25-35): This assay was performed to measure the intracellular ROS levels in SH-SY5Y cells in presence of oxidative stress induced by 20 μM of A β (25-35) and modulation by phytochemical G.B at two concentrations i.e. 20 and 40 μM . ROS levels were found to be significantly increased by 76% and 7% at 40 μM of G.B as compared to the respective control SH-SY5Y and IMR-32 cells. Treatment with A β (25-35) increased the ROS levels significantly by 80% and 41% respectively as compared to the

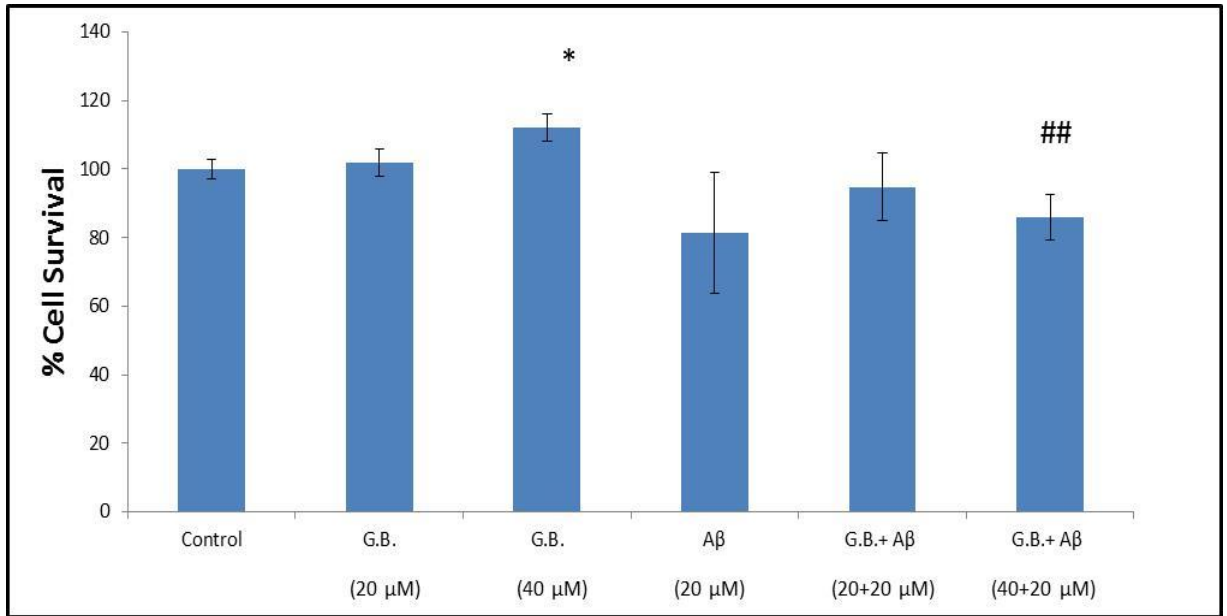


Figure 4.5: The percent cell viability in SH-SY5Y cells and cells those are treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) after 48 hr of experimental procedure.

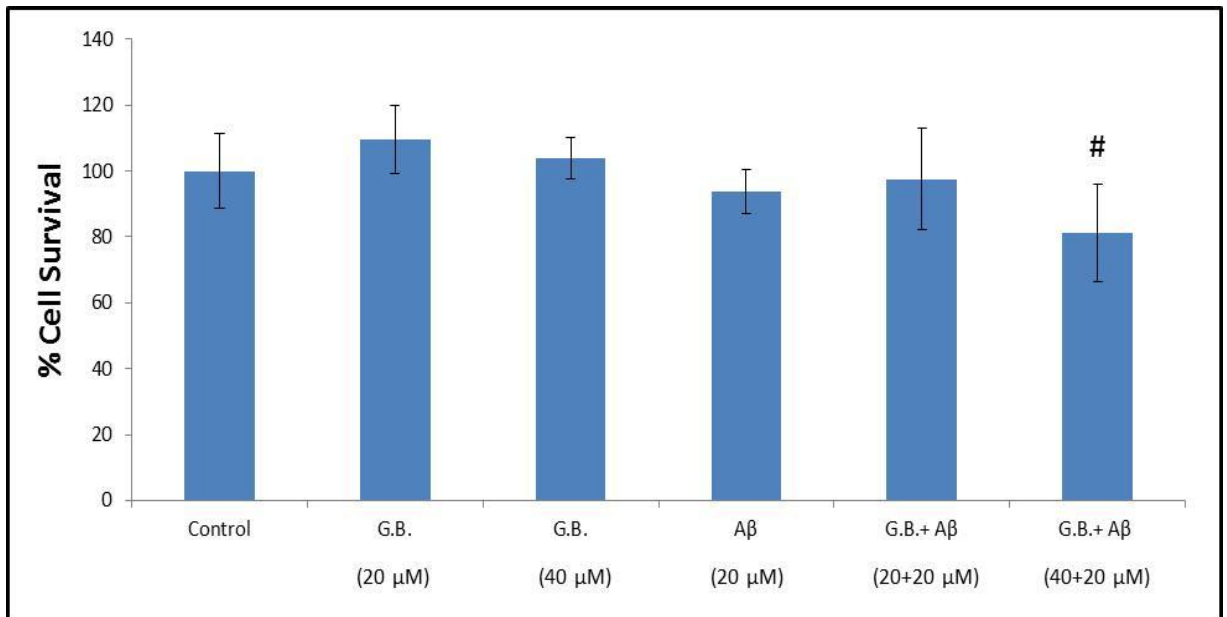


Figure 4.6: The percent viability in IMR-32 cells and cells those are treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) after 48 hr of experimental procedure.

Table 4.5: The percent cell viability in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) after 48 hr of experimental procedure.

Sample	Concentration (μ M)	% Cell Viability
Control	-	100 \pm 3
G.B	20	102 \pm 4
G.B	40	112 \pm 4*
A β	20	81 \pm 17
G.B + A β	20+20	95 \pm 10
G.B + A β	40+20	86 \pm 7 ^{##}

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells and # $p \leq 0.05$ and ## $p \leq 0.005$ when G.B+A β treated cells were compared with G.B. The results are presented as mean \pm standard deviation (n=3).

Table 4.6: The percent cell viability in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) after 48 hr of experimental procedure.

Sample	Concentration (μ M)	% Cell Viability
Control	-	100 \pm 11
G.B	20	109 \pm 10
G.B	40	104 \pm 6
A β	20	94 \pm 7
G.B + A β	20+20	97 \pm 15
G.B + A β	40+20	81 \pm 14 [#]

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at [#]p \leq 0.05 and ^{##}p \leq 0.005 when G.B+A β treated cells were compared with G.B. The results are presented as mean \pm standard deviation (n=3).

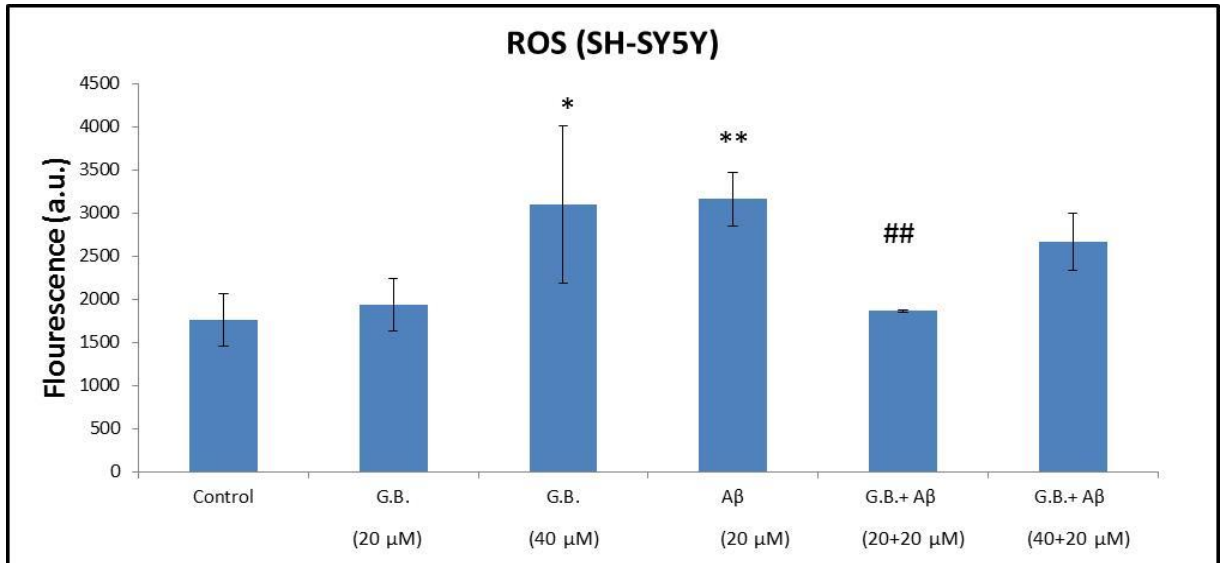


Figure 4.7(a): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

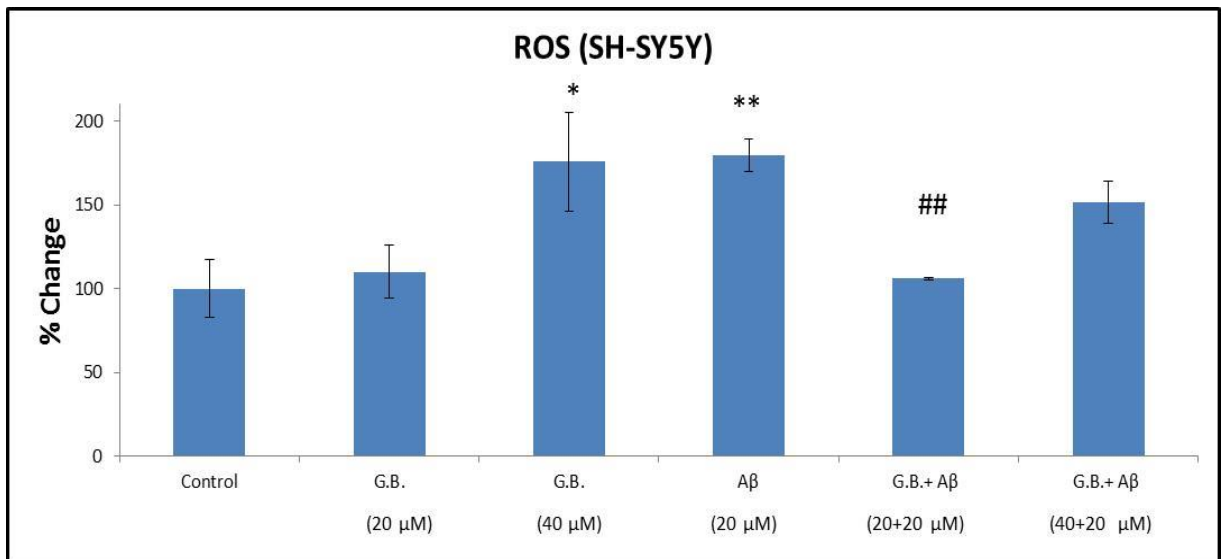


Figure 4.7(b): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.7: Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular ROS levels (a.u)	% Change
Control	-	1759 \pm 300	100 \pm 17
G.B	20	1937 \pm 301	110 \pm 15
G.B	40	3093 \pm 911*	176 \pm 29
A β	20	3161 \pm 313**	180 \pm 10
G.B + A β	20+20	1863 \pm 12 ^{##}	106 \pm 0.6
G.B + A β	40+20	2665 \pm 330	151 \pm 12

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B and A β -treated cells and # $p \leq 0.05$ and ^{##} $p \leq 0.005$ when G.B+A β treated cells were compared with A β -treated cells. The results are presented as mean \pm standard deviation (n=3).

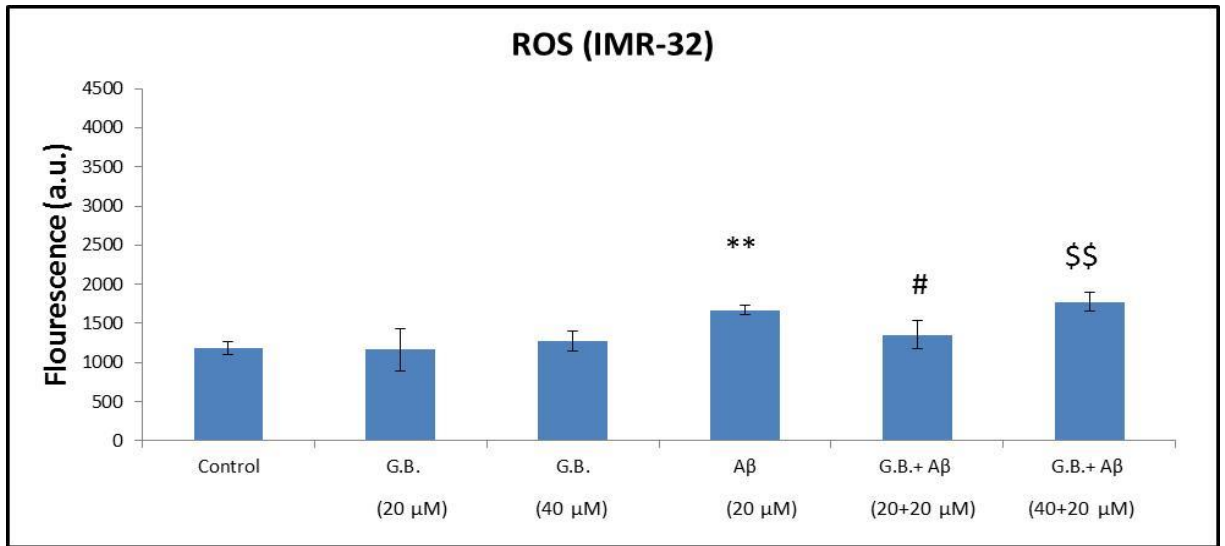


Figure 4.8(a): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

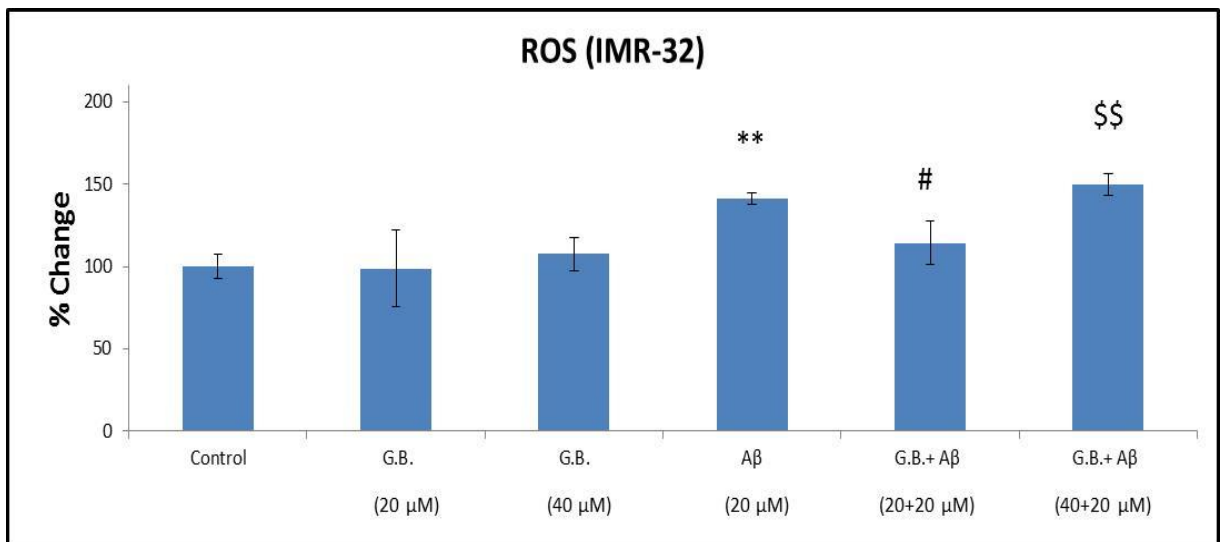


Figure 4.8(b): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.8: Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular ROS levels (a.u)	% Change
Control	-	1182 \pm 87	100 \pm 7
G.B	20	1168 \pm 271	99 \pm 23
G.B	40	1272 \pm 127	107 \pm 10
A β	20	1672 \pm 57 ^{**}	141 \pm 3
G.B + A β	20+20	1352 \pm 180 [#]	114 \pm 13
G.B + A β	40+20	1771 \pm 121 ^{\$\$}	150 \pm 7

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at *p \leq 0.05 and **p \leq 0.005 when untreated cells were compared with A β -treated cells and #p \leq 0.05 and ##p \leq 0.005 when G.B+A β treated cells were compared with A β -treated cells and \$p \leq 0.05 and \$\$p \leq 0.005 when G.B+A β are compared with G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

untreated SH-SY5Y and IMR-32 cells (**Figure 4.7** and **Table 4.7**) and (**Figure 4.8** and **Table 4.8**). On comparison with A β (25-35)-treated SH-SY5Y and IMR-32 cells, the G.B(20 μ M)+A β treated cells showed significantly decreased ROS levels by 41% and 19% respectively. The G.B(40 μ M)+A β treated cells showed decreased ROS levels by 26% and an increase of 6% as compared to their respective A β (25-35)-treated SH-SY5Y and IMR-32 cells. It was observed that there was a decrease in ROS levels by 4% and 14% in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated SH-SY5Y cells respectively. While, an increase in ROS levels by 15% and 39% (significant) in G.B(20 μ M)+A β treated cells and G.B(40 μ M)+A β treated IMR-32 cells was seen, on comparing with the respective G.B treated cells.

4.6: Measurement of Intracellular RNS levels in SH-SY5Y and IMR-32 cells with pre-treatment with Ginkgolide B (G.B) and after the treatment of A β (25-35): The fluorescent DAF-FM assay was used to measure the RNS levels in SH-SY5Y cells after treatment with G.B and A β (25-35) for 24 hr. Treatment with A β (25-35) showed an increase in RNS levels by 169% and 197% (significant) as compared to their respective SH-SY5Y and IMR-32 control cells. When the SH-SY5Y and IMR-32 cells were treated with G.B at a concentration of 20 μ M, there was an increase in RNS levels by 30% and a decrease by 12% was observed respectively as compared with the untreated control cells. An increase of 178% (significant) was seen on treating the SH-SY5Y cells with 40 μ M of G.B as compared with the untreated control cells while not much increase in RNS levels was seen in IMR-32 cells. On comparison with A β (25-35)-treated SH-SY5Y cells, there was a decrease by 45% and 9% respectively in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated cells while a decrease of 14% and 15% in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated IMR-32 cells was seen (**Figure 4.9** and **Table 4.9**) and (**Figure 4.10** and **Table 4.10**). An increase of 14% and a decrease by 12% was observed in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated SH-SY5Y cells respectively while a significant increase of 159% and 133% was observed in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated IMR-32 cells respectively when compared with their respective G.B-treated cells.

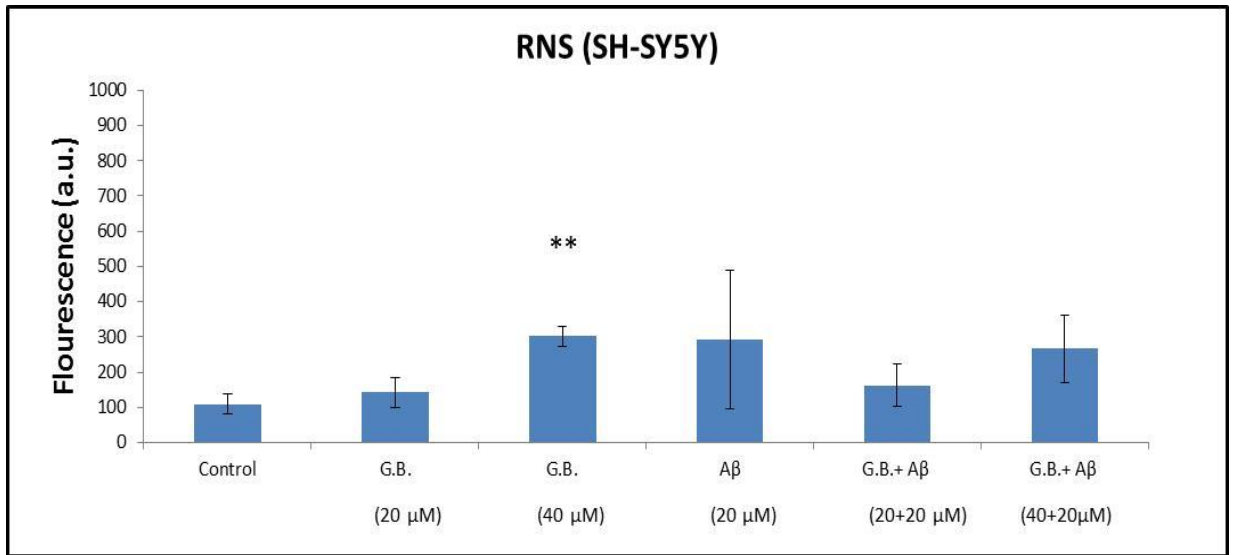


Figure 4.9(a): Measurement of intracellular RNS produced after Aβ(25-35)-induced oxidative stress in SH-SY5Y cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

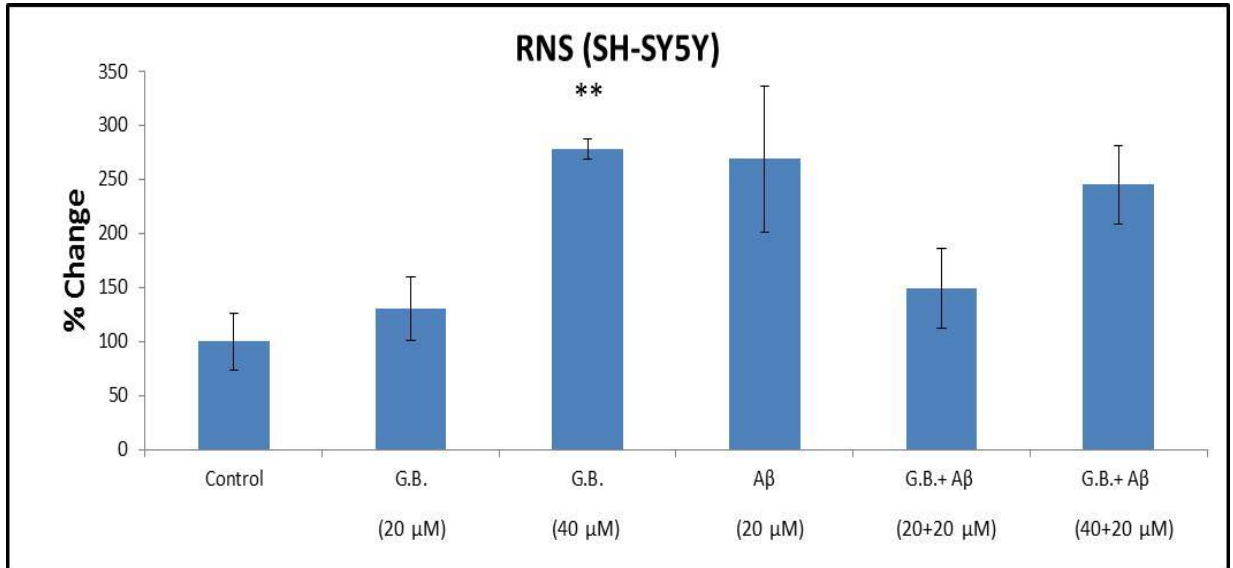


Figure 4.9(b): Measurement of intracellular RNS produced after Aβ(25-35)-induced oxidative stress in SH-SY5Y cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.9: Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular RNS levels (a.u)	% Change
Control	-	108 \pm 29	100 \pm 26
G.B	20	141 \pm 42	130 \pm 29
G.B	40	302 \pm 29**	278 \pm 9
A β	20	292 \pm 198	269 \pm 67
G.B + A β	20+20	162 \pm 60	149 \pm 37
G.B + A β	40+20	266 \pm 95	245 \pm 36

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

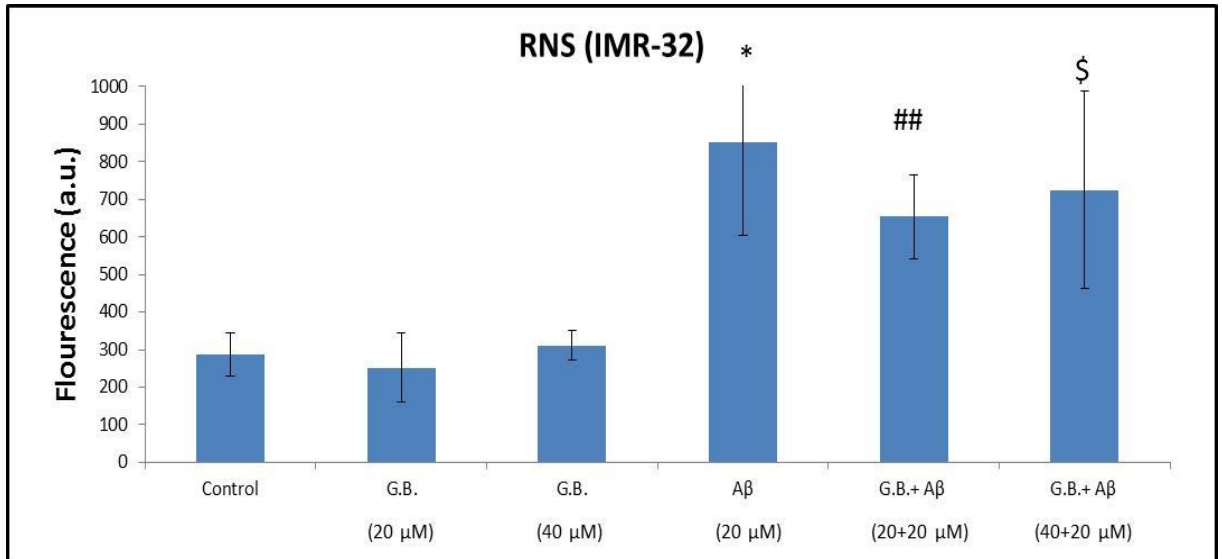


Figure 4.10(a): Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

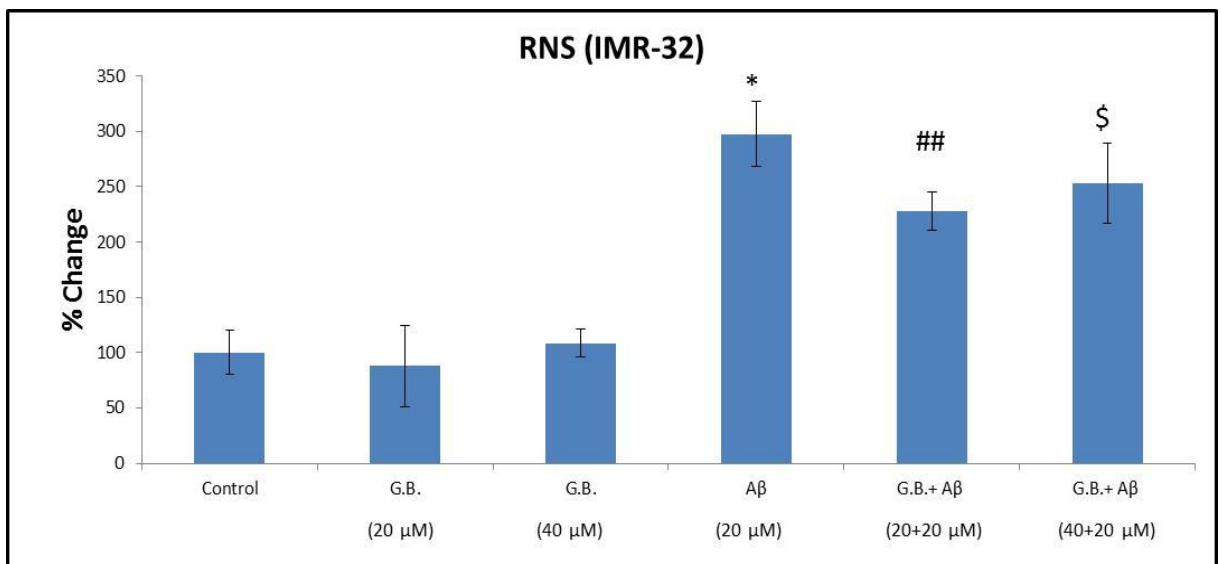


Figure 4.10(b): Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.10: Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in IMR-32 cells by DAF-FM and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular RNS levels (a.u)	% Change
Control	-	286 \pm 57	100 \pm 20
G.B	20	251 \pm 92	88 \pm 36
G.B	40	311 \pm 40	108 \pm 13
A β	20	852 \pm 249*	297 \pm 29
G.B + A β	20+20	653 \pm 110 ^{##}	228 \pm 17
G.B + A β	40+20	724 \pm 263 ^{\$}	253 \pm 36

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with A β -treated cells and # $p \leq 0.05$ and ## $p \leq 0.005$ when G.B+A β treated cells were compared with the respective G.B-treated cells and \$ $p \leq 0.05$ and \$\$ $p \leq 0.005$ when G.B+A β are compared with the respective G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

4.7: Measurement of APE1 levels on induction of oxidative stress by A β (25-35) and modulation by Ginkgolide B (G.B): ELISA was done to measure APE1 levels under different treatment conditions in SH-SY5Y cells. It was found that A β (25-35)-treated cells caused a significant decrease of 39% in APE1 levels as compared to control cells. On treating with G.B, there was a decrease of 20% as compared with untreated control cells. Pre-treatment with G.B along with exposure to A β (25-35) caused an increase in 95% of APE1 levels in G.B(20 μ M)+ A β treated cells when compared to control cells (**Figure 4.11** and **Table 4.11**). Thus, it points toward the modulation of APE1 by G.B in presence of A β (25-35)-induced oxidative stress. Subsequently, APE1 ectopic-expression studies were carried out to find out the neuro-modulatory role of G.B on mitochondrial functions after A β (25-35)-induced oxidative stress responses in SH-SY5Y and IMR-32 cells with ectopic APE1 expression.

4.8: Assessment of cell viability in SH-SY5Y and IMR-32 cells with ectopic APE1 expression with pre-treatment with Ginkgolide B (G.B) and after treating with A β (25-35): The cell viability assay was performed further after APE1 ectopic-expression in SH-SY5Y cells upon cell transfection (refer to the **Section 4.24**) using the chosen concentrations of G.B and A β (25-35) in the SH-SY5Y cells for 24 hr. The results showed that at 20 μ M of G.B, there was an increase of 21% and 22% in cell proliferation as compared with the respective APE1 control SH-SY5Y and IMR-32 cells and an increase of 28% (significant) and 32% in SH-SY5Y and IMR-32 cells respectively on treatment with 40 μ M of G.B. On treatment with A β (25-35), there was a decrease of 10% and 3% in SH-SY5Y and IMR-32 cells respectively. The cell viability was increased by 14% and 19% on pre-treating the respective SH-SY5Y and IMR-32 cells with G.B (20 μ M) while an increase of 13% and 12% G.B on pre-treating the respective SH-SY5Y and IMR-32 cells cells with G.B (40 μ M) for 3 hr prior totreating with A β (25-35) for 24 hr (**Figure 4.12** and **Table 4.12**) and (**Figure 4.13** and **Table 4.13**). The cell proliferation was seen to be decreased by 14% and 6% respectively in G.B(20 μ M)+A β treated SH-SY5Y and IMR-32 APE1 expressing cells

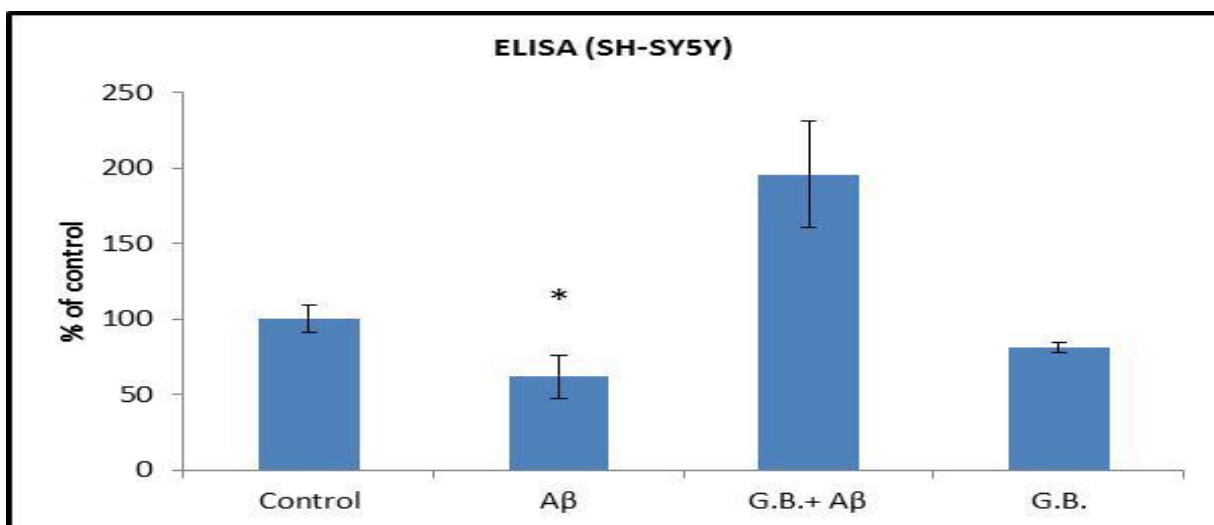


Figure 4.11: Measurement of APE1 levels performed by ELISA in order to check the APE1 levels in the presence of oxidant A β (25-35) and document the change in APE1 levels with the pre-treatment of Ginkgolide B (G.B) against A β -induced toxicity in SH-SY5Y cells.

Table 4.11: The APE1 levels as measured by ELISA after pre-treatment with Ginkgolide B (G.B) and treatment with oxidant A β (25-35) in SH-SY5Y cells.

Sample	Concentration (μ M)	APE1 levels (%)
Control	-	100 \pm 9
A β	20	61 \pm 14*
G.B + A β	20+20	195 \pm 35
G.B	20	80 \pm 3

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated cells were compared with A β -treated cells. The results are presented as mean \pm standard deviation (n=3).

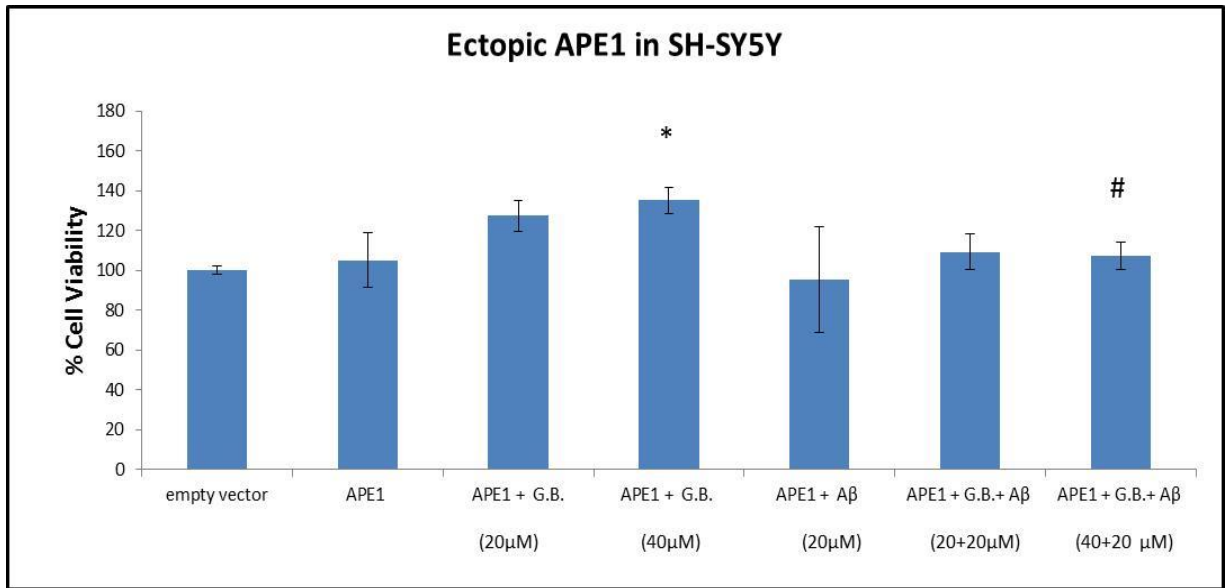


Figure 4.12: The percent cell viability in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with ectopic APE1 expression.

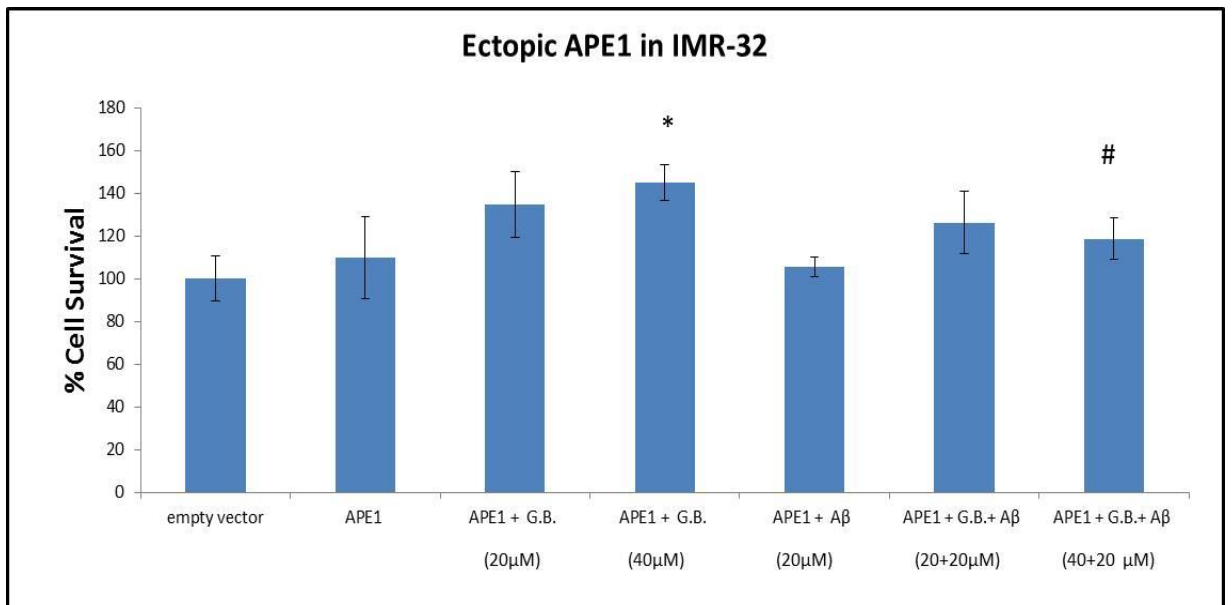


Figure 4.13: The percent cell viability in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with ectopic APE1 expression.

Table 4.12: The percent cell viability in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with ectopic APE1 expression.

Sample	Concentration (μ M)	% Cell Viability
Empty Vector	-	100 \pm 2
APE1	-	105 \pm 13
APE1 + G.B	20	127 \pm 8
APE1 + G.B	40	135 \pm 6*
APE1 + A β	20	95 \pm 26
APE1 + G.B + A β	20+20	109 \pm 9
APE1 + G.B + A β	40+20	107 \pm 7 [#]

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at *p \leq 0.05 and **p \leq 0.005 when untreated APE1 cells were compared with APE1+G.B treated cells; and [#]p \leq 0.05 and ^{##}p \leq 0.005 when APE1+G.B+A β treated cells were compared with the respective APE1+G.B treated cells. The results are presented as mean \pm standard deviation (n=3).

Table 4.13. The percent cell viability in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with ectopic APE1 expression.

Sample	Concentration (μ M)	% Cell Viability
Empty Vector	-	100 \pm 10
APE1	-	110 \pm 19
APE1 + G.B	20	134 \pm 15
APE1 + G.B	40	145 \pm 8*
APE1 + A β	20	105 \pm 4
APE1 + G.B + A β	20+20	126 \pm 15
APE1 + G.B + A β	40+20	118 \pm 10 [#]

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at *p \leq 0.05 and **p \leq 0.005 when untreated APE1 cells were compared with APE1+G.B treated cells; and [#]p \leq 0.05 and ^{##}p \leq 0.005 when APE1+G.B+A β treated cells were compared with the respective APE1+G.B treated cells. The results are presented as mean \pm standard deviation (n=3).

and significant decrease by 21% and 18% respectively in G.B(40 μ M)+A β treated SH-SY5Y and IMR-32 cells ectopically expressing APE1, when compared with respective G.B-treated cells.

4.9: Measurement of Intracellular ROS levels in SH-SY5Y and IMR-32 cells with ectopic APE1 expression with pre-treatment with Ginkgolide B (G.B) and after treating with A β (25-35):

The fluorescent H₂DCF-DA assay was performed to measure the intracellular ROS levels in SH-SY5Y cells after ectopic APE1 expression in the presence of oxidative stress induced by 20 μ M of A β (25-35) and modulation by phytochemical G.B at two concentrations i.e. 20 and 40 μ M. ROS levels in SH-SY5Y and IMR-32 cells were found to be decreased significantly by 25% and 27% after treating with 20 μ M and by significantly by 51% and 29% after treating with 40 μ M of G.B as compared to the respective APE1 control cells. Treatment of SH-SY5Y and IMR-32 cells with A β (25-35)-increased the ROS levels by 5% and decreased the ROS by merely 2%. On comparison with A β (25-35)-treated cells with APE1 ectopic-expression, the G.B(20 μ M)+A β cells showed decreased ROS levels by 8% and 14% in SH-SY5Y and IMR-32 cells respectively. Similarly, the G.B(40 μ M)+A β -treated SH-SY5Y and IMR-32 cells showed a decrease in ROS levels by 21% and 5% respectively (**Figure 4.14** and **Table 4.14**) and (**Figure 4.15** and **Table 4.15**). It was observed that there was an increase in ROS levels by 29% and 16% (significant) respectively in G.B(20 μ M)+A β -treated SH-SY5Y and IMR-32 cells with ectopic APE1 expression and a significant increase of 67% and 32% respectively in G.B(40 μ M)+A β treated APE1 overexpressing SH-SY5Y and IMR-32 cells, on comparing with the respective G.B treated cells ectopically expressing APE1 only.

4.10: Measurement of Intracellular RNS levels in SH-SY5Y and IMR-32 cells with ectopic APE1 expression with pre-treatment with Ginkgolide B (G.B) and after treating with A β (25-35):

The fluorescent DAF-FM assay was used to measure the RNS levels in APE1 expressing SH-SY5Y cells after treatment with A β (25-35) and G.B for 24 hr. Interestingly, a significant decrease of 23% was observed when ectopic APE1 expressing SH-SY5Y cells were compared with SH-SY5Y cells expressing

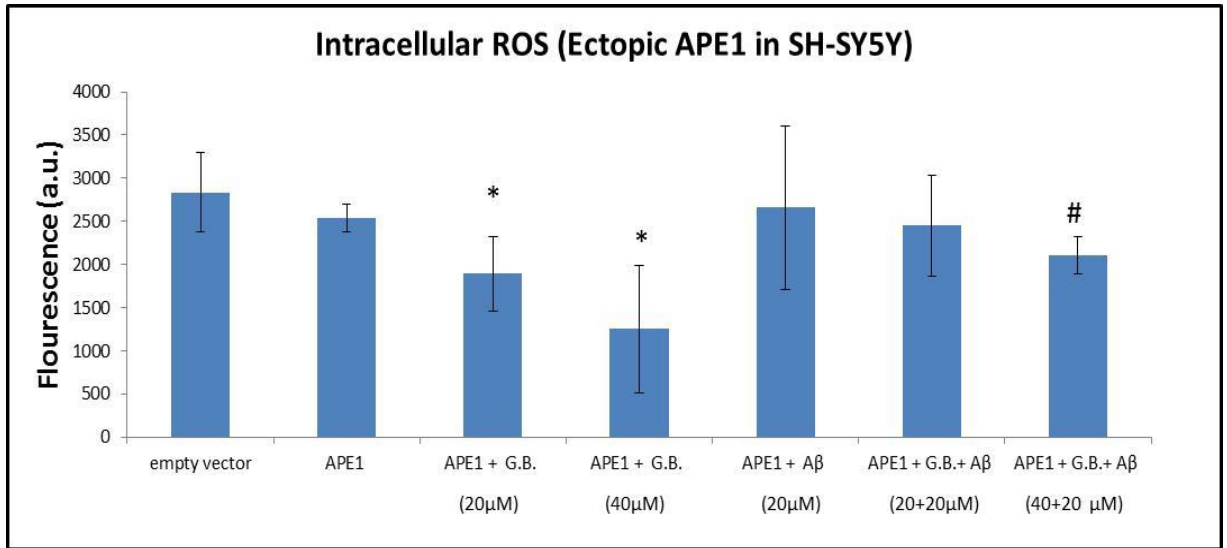


Figure 4.14(a): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

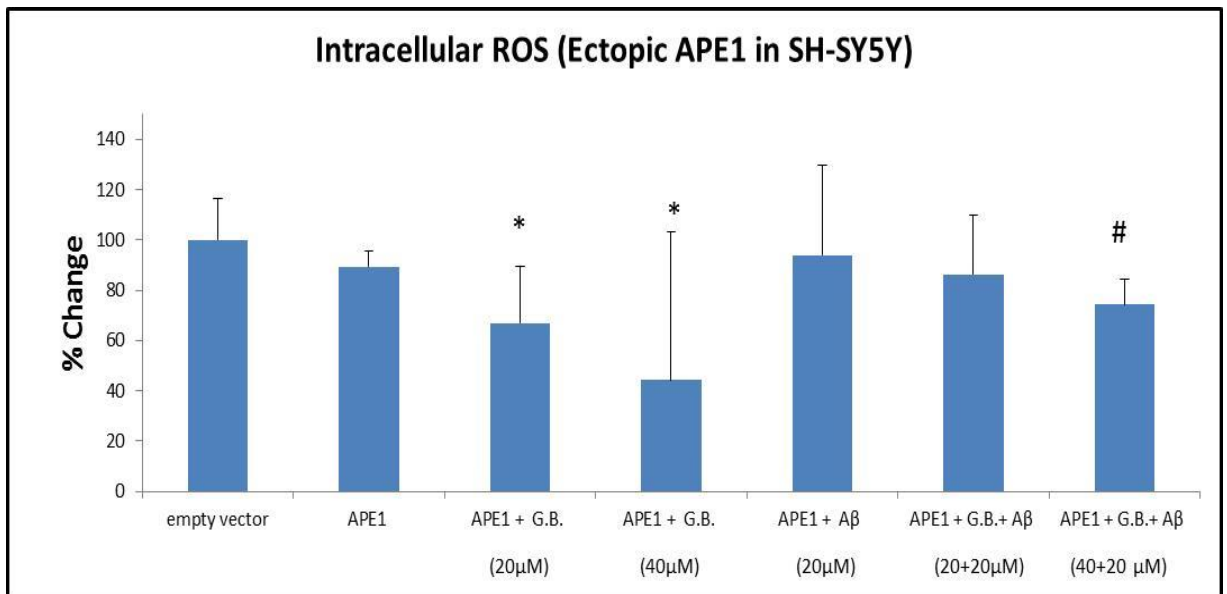


Figure 4.14(b): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.14: Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular ROS levels (a.u)	% Change
Empty Vector	-	2834 \pm 460	100 \pm 16
APE1	-	2536 \pm 157	89 \pm 6
APE1 + G.B	20	1897 \pm 430*	67 \pm 22
APE1 + G.B	40	1256 \pm 737*	44 \pm 58
APE1 + A β	20	2659 \pm 949	94 \pm 35
APE1 + G.B + A β	20+20	2449 \pm 579	86 \pm 23
APE1 + G.B + A β	40+20	2104 \pm 217 [#]	74 \pm 10

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated APE1 cells were compared with APE1+G.B treated cells; and [#] $p \leq 0.05$ and ^{##} $p \leq 0.005$ when APE1+G.B+A β treated cells were compared with the respective APE1+G.B treated cells. The results are presented as mean \pm standard deviation (n=3).

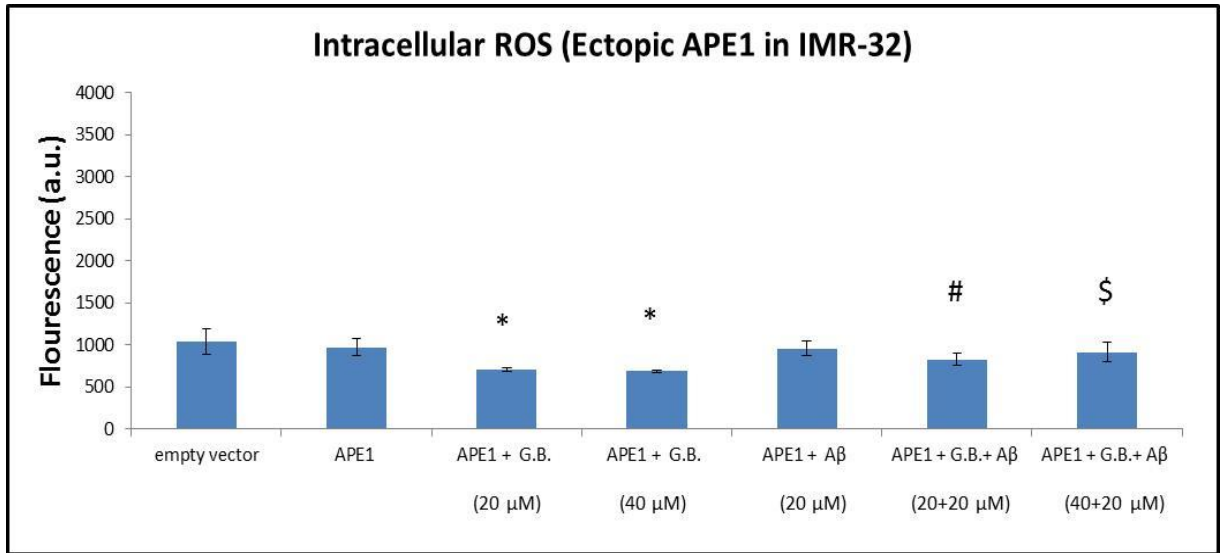


Figure 4.15(a): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

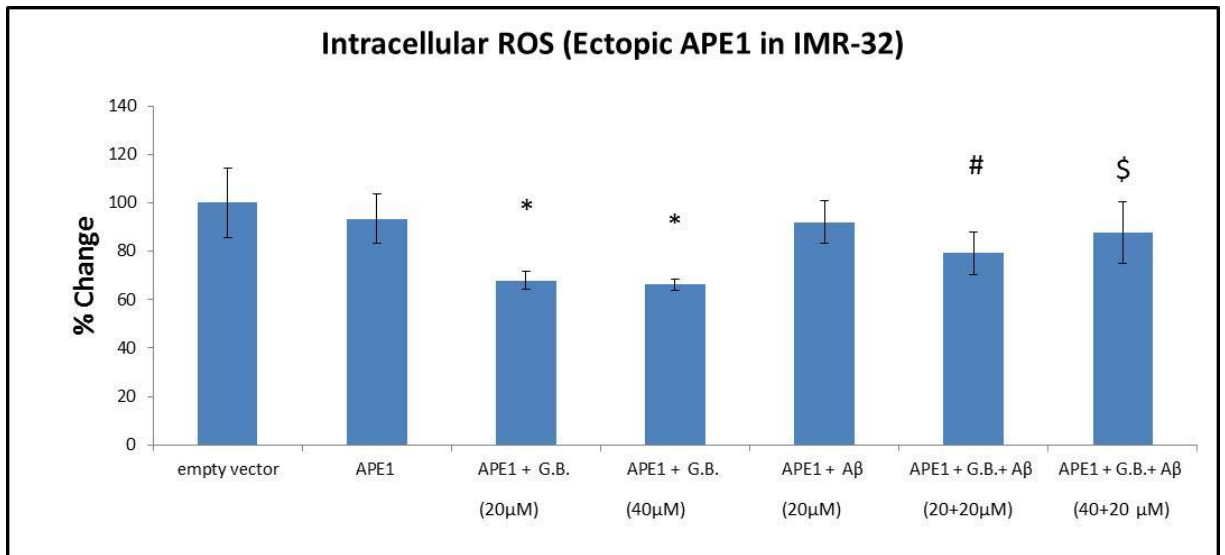


Figure 4.15(b): Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.15: Measurement of intracellular ROS produced after A β (25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by H₂DCFDA and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular ROS levels (a.u)	% Change
Empty Vector	-	1042 \pm 150	100 \pm 14
APE1	-	972 \pm 99	93 \pm 10
APE1 + G.B	20	707 \pm 26*	68 \pm 4
APE1 + G.B	40	689 \pm 17*	66 \pm 2
APE1 + A β	20	959 \pm 86	92 \pm 9
APE1 + G.B + A β	20+20	824 \pm 72 [#]	79 \pm 9
APE1 + G.B + A β	40+20	912 \pm 116 ^{\$}	87 \pm 13

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated APE1 cells were compared with APE1+G.B treated cells; [#] $p \leq 0.05$ and ^{##} $p \leq 0.005$ when G.B+A β treated cells were compared with the respective G.B-treated cells; and ^{\$} $p \leq 0.05$ and ^{\$\$} $p \leq 0.005$ when G.B+A β are compared with the respective G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

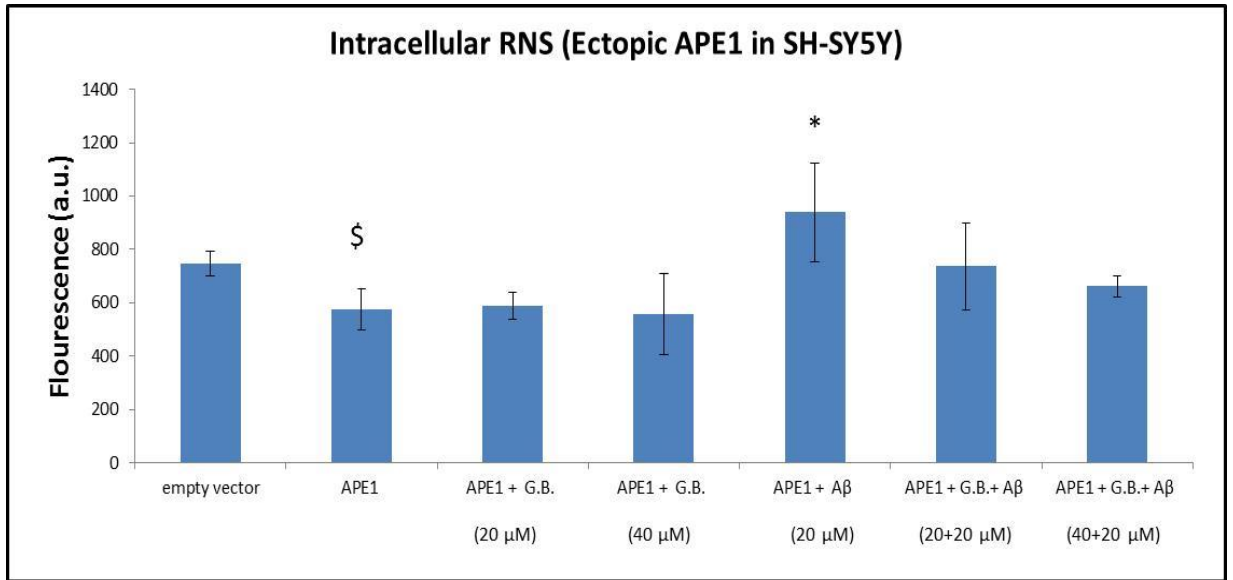


Figure 4.16(a): Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

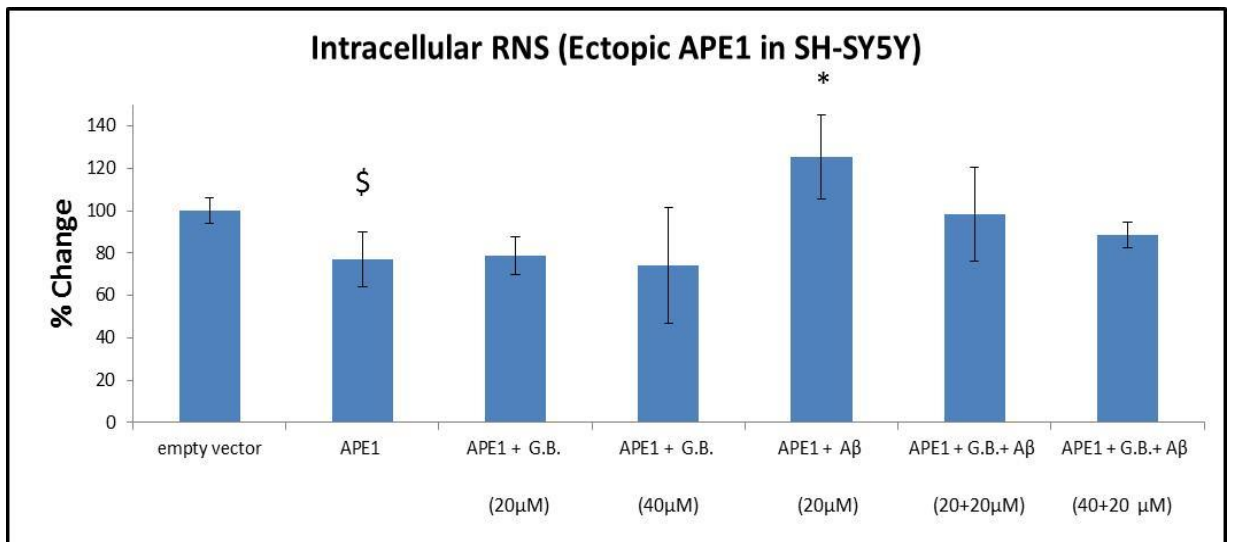


Figure 4.16(b): Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.16: Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in SH-SY5Y cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular RNS levels (a.u)	% Change
Empty Vector	-	748 \pm 46	100 \pm 6
APE1	-	576 \pm 76 ^{\$}	77 \pm 13
APE1 + G.B	20	588 \pm 51	78 \pm 9
APE1 + G.B	40	556 \pm 151	74 \pm 27
APE1 + A β	20	939 \pm 185 [*]	125 \pm 20
APE1 + G.B + A β	20+20	736 \pm 162	98 \pm 22
APE1 + G.B + A β	40+20	662 \pm 39	88 \pm 6

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at ^{\$}p \leq 0.05 and ^{\$\$}p \leq 0.005 when untreated cells with ectopic APE1 are compared with untreated cells expressing empty vector and *p \leq 0.05 and **p \leq 0.005 when untreated APE1 cells were compared with APE1+A β treated cells. The results are presented as mean \pm standard deviation (n=3).

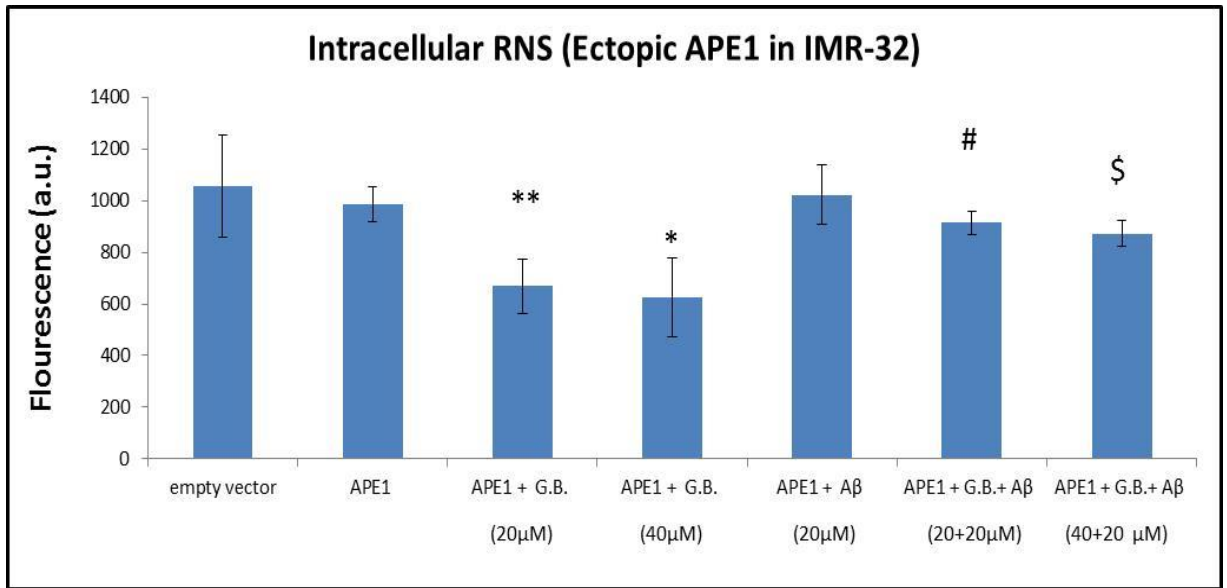


Figure 4.17(a): Measurement of intracellular RNS produced after Aβ(25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed in fluorescent intensity (a.u.).

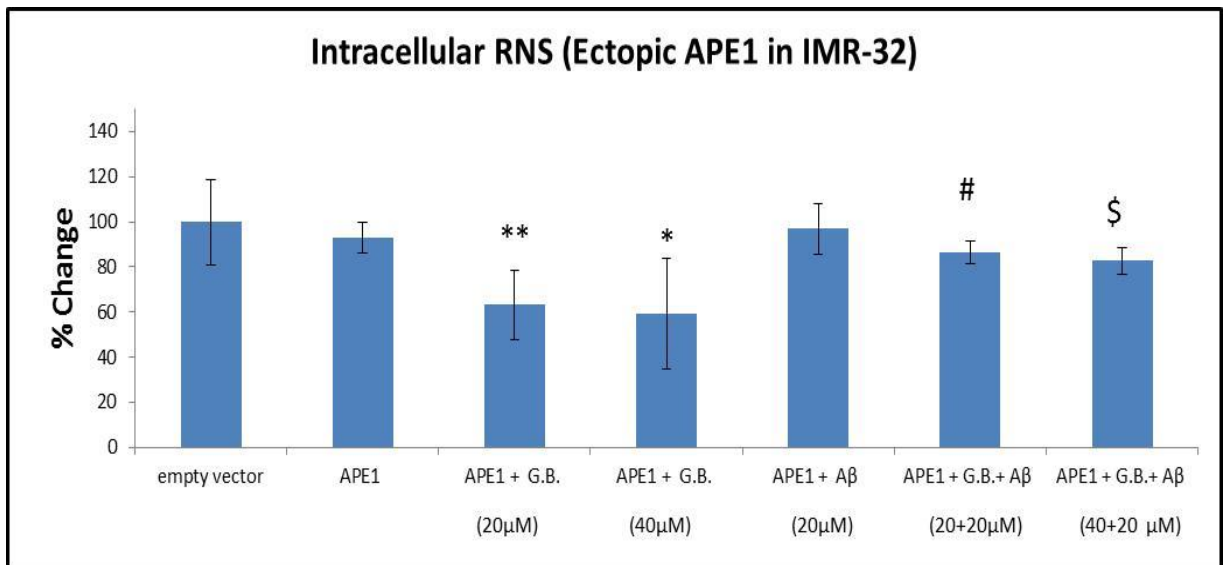


Figure 4.17(b): Measurement of intracellular RNS produced after Aβ(25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B) expressed as % change.

Table 4.17: Measurement of intracellular RNS produced after A β (25-35)-induced oxidative stress in IMR-32 cells with ectopic APE1 expression by DAF-FM and phytochemical modulation by Ginkgolide B (G.B).

Sample	Concentration (μ M)	Intracellular RNS levels (a.u)	% Change
Empty Vector	-	1056 \pm 199	100 \pm 19
APE1	-	983 \pm 67	93 \pm 7
APE1 + G.B	20	668 \pm 103**	63 \pm 15
APE1 + G.B	40	625 \pm 154*	59 \pm 24
APE1 + A β	20	1023 \pm 113	97 \pm 11
APE1 + G.B + A β	20+20	913 \pm 45#	86 \pm 5
APE1 + G.B + A β	40+20	873 \pm 51\$	82 \pm 6

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when untreated APE1 cells were compared with APE1+G.B treated cells; # $p \leq 0.05$ and ## $p \leq 0.005$ when G.B+A β treated cells were compared with the respective G.B-treated cells; and \$ $p \leq 0.05$ and \$\$ $p \leq 0.005$ when G.B+A β are compared with the respective G.B-treated cells. The results are presented as mean \pm standard deviation (n=3).

empty vector or control. Treatment with A β (25-35) in SH-SY5Y cells with APE1 ectopic-expression showed a significant increase in RNS levels by 63% as compared to the APE1 control SH-SY5Y cells (**Figure 4.16** and **Table 4.16**) and (**Figure 4.17** and **Table 4.17**). Not much increase was found in IMR-32 cells with APE1 ectopic-expression after treatment with A β (25-35). When the APE1 ectopically expressing in SH-SY5Y and IMR-32 cells were treated with G.B at a concentration of 20 μ M, there was an increase in RNS levels by 2% and decrease by 32% (significant) respectively and a decrease by 4% and 37% (significant) respectively was seen when the APE1 ectopically-expressing SH-SY5Y and IMR-32 cells were treated with 40 μ M of G.B as compared with the respective untreated APE1 control cells. On comparison with A β (25-35)-treated SH-SY5Y and IMR-32 cells with APE1 ectopic-expression, there was an decrease of 22% and 11% respectively in G.B(20 μ M)+A β treated APE1 expressing cells while decreased RNS levels by 30% and 15% respectively were seen in G.B(40 μ M)+A β treated APE1 expressing SH-SY5Y and IMR-32 cells (**Figure 4.16** and **Table 4.16**) and (**Figure 4.17** and **Table 4.17**). An increase of 25% and 36% (significant) and an increase of 19% and 39% (significant) was observed in G.B(20 μ M)+A β and G.B(40 μ M)+A β treated SH-SY5Y and IMR-32 cells respectively when compared with their respective G.B treated ectopically APE1 expressing cells.

4.11: Determination of Complex-I Activity in SH-SY5Y and IMR-32 cells with APE1 ectopic-expression after treatment with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B): The activity of complex-I in the mitochondria was found to be decreased by 33% and 22% in SH-SY5Y and IMR-32 cells respectively upon treatment with A β (25-35) as compared to control SH-SY5Y and IMR-32 cells. Treatment with G.B showed that the activity was increased by 19% and decreased by 11% as compared to the respective untreated control SH-SY5Y and IMR-32 cells respectively. A significant increase by 79% was observed when the SH-SY5Y cells were pre-treated with G.B for 3 hr prior to treating with A β (25-35) as compared to A β (25-35)-treated SH-SY5Y cells while in case of IMR-32 cells, there was a mere 2% increase in the activity. Further, there was an increase of 27% when ectopically APE1 expressing SH-SY5Y cells were compared with untreated control

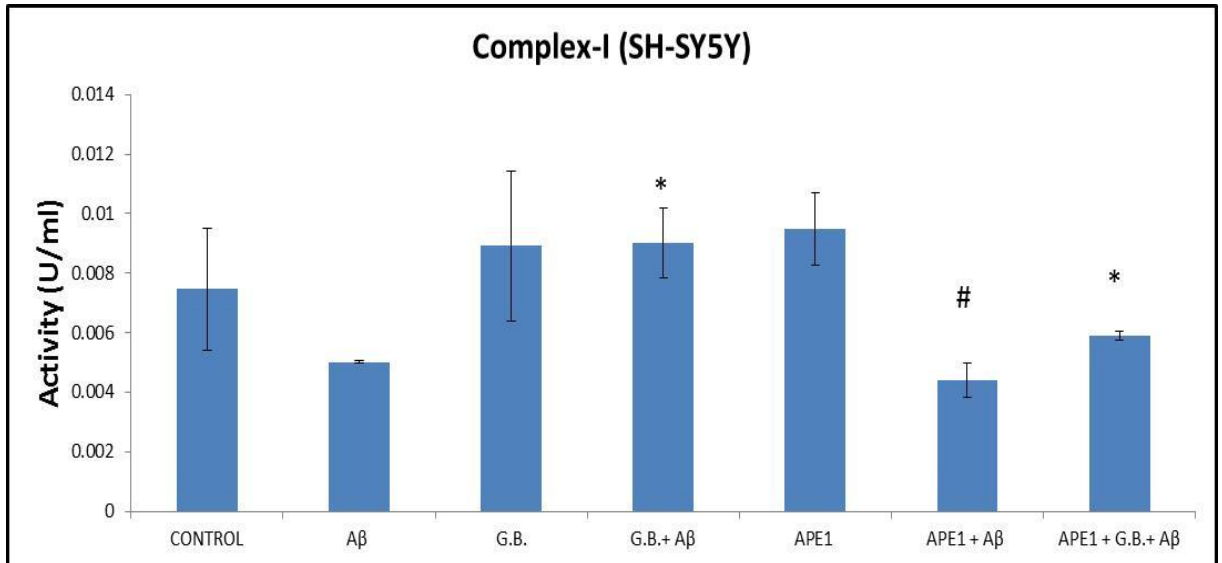


Figure 4.18(a): The mitochondrial complex-I activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

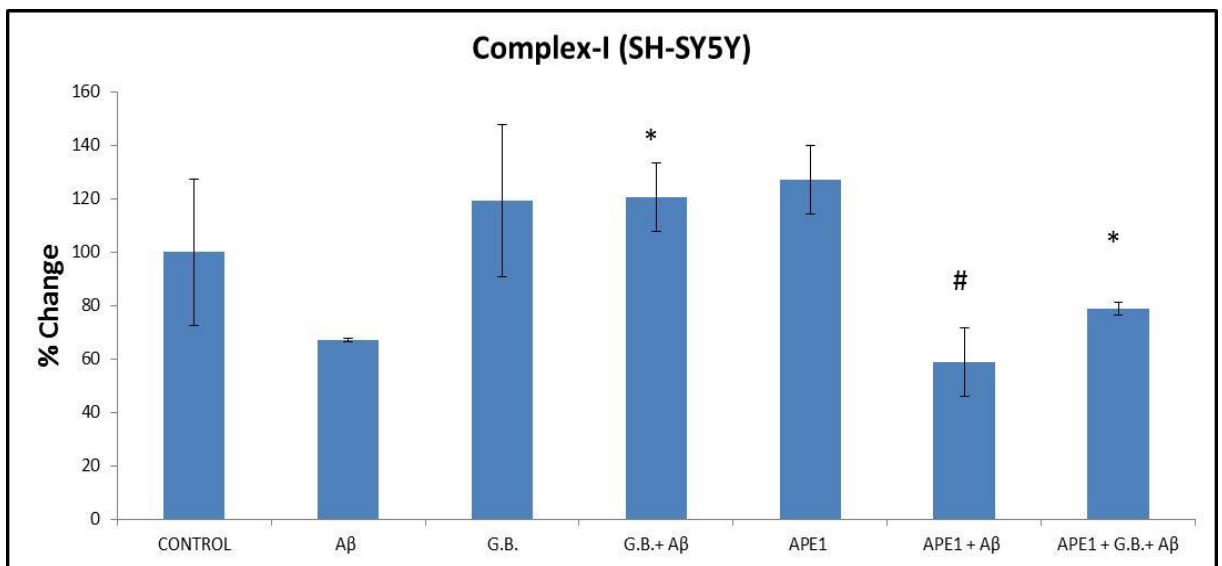


Figure 4.18(b): The mitochondrial complex-I activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.18: The mitochondrial complex-I activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.007 \pm 0.002	100 \pm 27
A β	20	0.005 \pm 0.000	67 \pm 0.6
G.B	20	0.008 \pm 0.002	119 \pm 28
G.B + A β	20+20	0.009 \pm 0.001*	120 \pm 13
APE1	-	0.009 \pm 0.001	127 \pm 13
APE1 + A β	20	0.004 \pm 0.0005 [#]	58 \pm 13
APE1 + G.B + A β	20+20	0.005 \pm 0.0001*	79 \pm 2.3

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and [#] $p \leq 0.05$ and ^{##} $p \leq 0.005$ when the respective A β -treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=2).

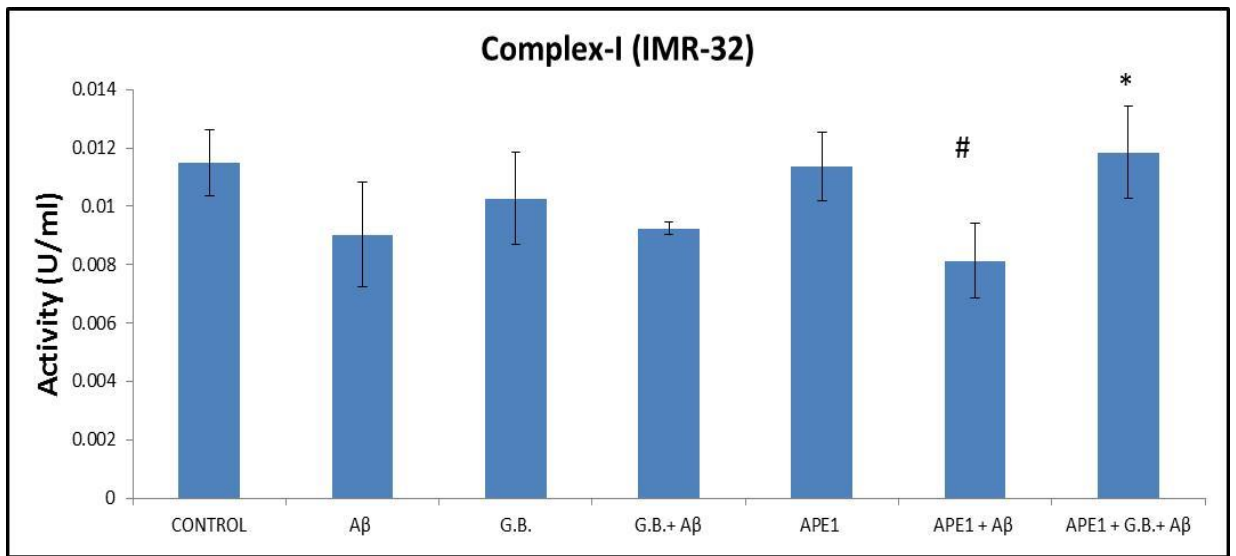


Figure 4.19(a): The mitochondrial complex-I activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

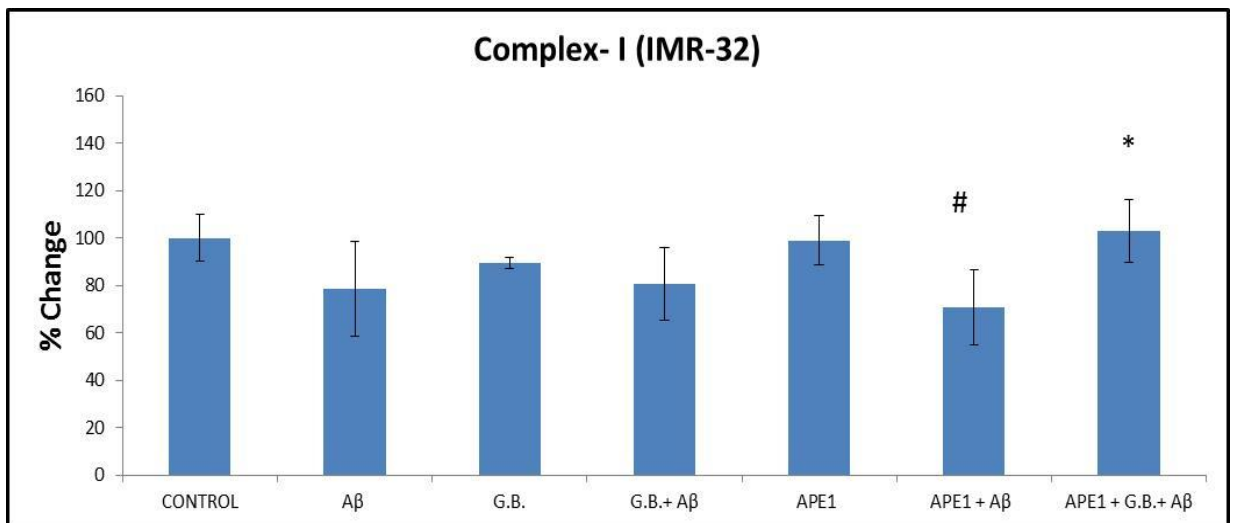


Figure 4.19(b): The mitochondrial complex-I activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.19: The mitochondrial complex-I activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.011 \pm 0.001	100 \pm 10
A β	20	0.009 \pm 0.001	78 \pm 20
G.B	20	0.01 \pm 0.001	89 \pm 15
G.B + A β	20+20	0.009 \pm 0.0002	80 \pm 15
APE1	-	0.01 \pm 0.001	99 \pm 10
APE1 + A β	20	0.008 \pm 0.001 [#]	71 \pm 16
APE1 + G.B + A β	20+20	0.011 \pm 0.001 [*]	103 \pm 13

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at * $p \leq 0.05$ and ** $p \leq 0.005$ when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and [#] $p \leq 0.05$ and ^{##} $p \leq 0.005$ when the respective A β -treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=3).

cells while the IMR-32 cells with ectopic APE1 expression showed comparable activity to that of the untreated controls (**Figure 4.18** and **Table 4.18**) and (**Figure 4.19** and **Table 4.19**). It was also observed that A β (25-35)-treatment to SH-SY5Y and IMR-32 cells with ectopic APE1 expression caused a significant decrease of 54% and 29% respectively as compared to the respective APE1 control cells. Furthermore, a significant increase of 34% and 46% respectively was observed when A β (25-35)-treated ectopically APE1 expressing SH-SY5Y and IMR-32 cells were pre-treated with G.B on comparison with only A β (25-35)-treated cells with ectopic APE1 expression.

4.12: Determination of Complex-III Activity in SH-SY5Y and IMR-32 cells with ectopic APE1 expression after treatment with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B): The activity of complex-III in the mitochondria was found to be increased by 52% and 33% in SH-SY5Y and IMR-32 cells respectively on treating with A β (25-35) as compared to control SH-SY5Y and IMR-32 cells, may be due to some compensatory mechanism operating in the cell. Treatment with G.B showed that the activity was increased significantly by 120% in SH-SY5Y cells as compared to the untreated control cells. Not much increase in activity was seen in case of IMR-32 cells. A significant decrease of 43% and 29% was observed when the SH-SY5Y and IMR-32 cells respectively were pre-treated with G.B for 3 hr prior to treatment with A β (25-35) as compared to A β (25-35)-treated cells. There was a significant increase of 79% and 203% respectively when ectopic APE1 expressing SH-SY5Y and IMR-32 cells were compared with the respective untreated control cells. It was observed that A β (25-35)-treatment to both SH-SY5Y and IMR-32 cells with ectopic APE1 expression caused an increase of 44% (significant) and 7% (**Figure 4.20** and **Table 4.20**) and (**Figure 4.21** and **Table 4.21**) respectively. Furthermore, a significant decrease of 37% and 11% respectively was observed when A β (25-35)-treated ectopic APE1 expressing SH-SY5Y and IMR-32 cells were pre-treated with G.B on comparison with only A β (25-35)-treated cells with ectopic APE1 expression, which is much higher to the activity as seen in untreated control cells.

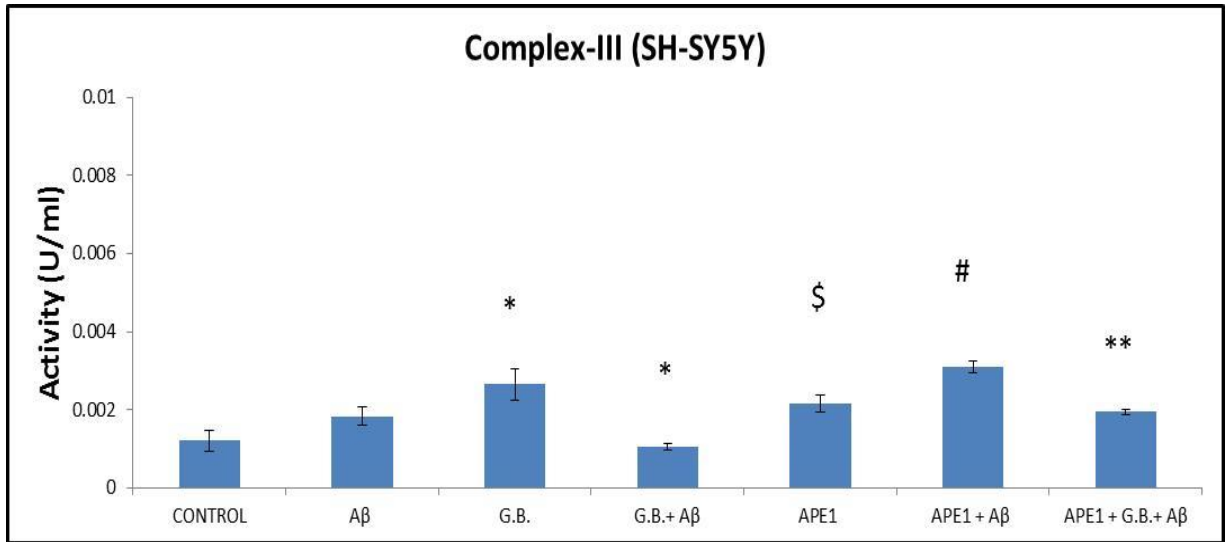


Figure 4.20(a): The mitochondrial complex-III activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

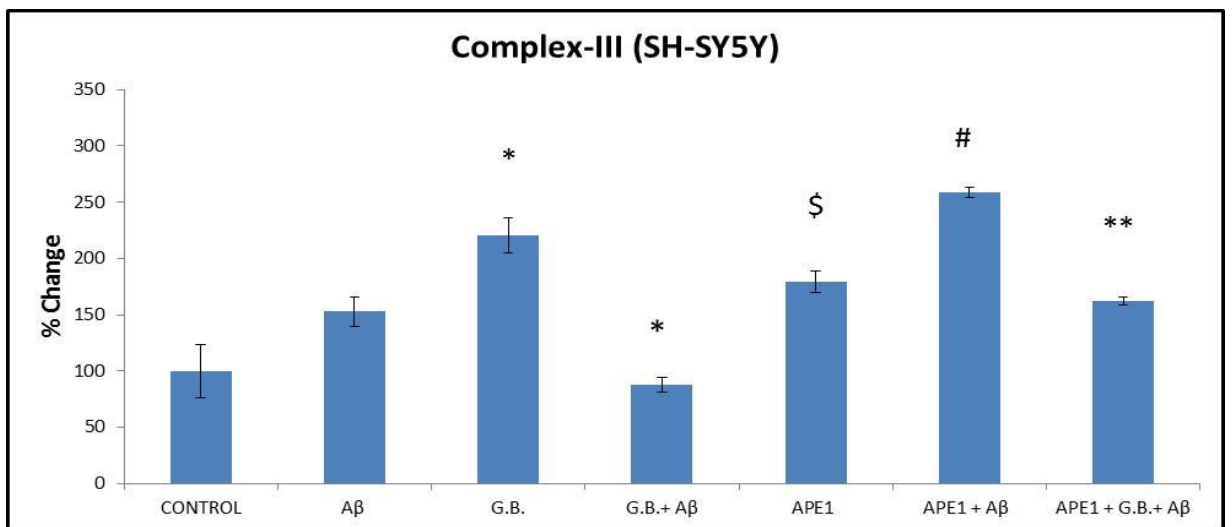


Figure 4.20(b): The mitochondrial complex-III activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.20: The mitochondrial complex-III activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.0012 \pm 0.0002	100 \pm 23
A β	20	0.0018 \pm 0.001	152 \pm 13
G.B	20	0.0026 \pm 0.0004*	220 \pm 16
G.B + A β	20+20	0.001 \pm 0.0000*	87 \pm 7
APE1	-	0.0021 \pm 0.00021 ^{\$}	179 \pm 10
APE1 + A β	20	0.0031 \pm 0.0001 [#]	258 \pm 4
APE1 + G.B + A β	20+20	0.0019 \pm 0.0000**	162 \pm 3

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at ^{\$}p \leq 0.05 and ^{\$\$}p \leq 0.005 when untreated cells were compared with untreated cells with ectopic APE1 expression; *p \leq 0.05 and **p \leq 0.005 when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and [#]p \leq 0.05 and ^{##}p \leq 0.005 when the respective A β -treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=2).

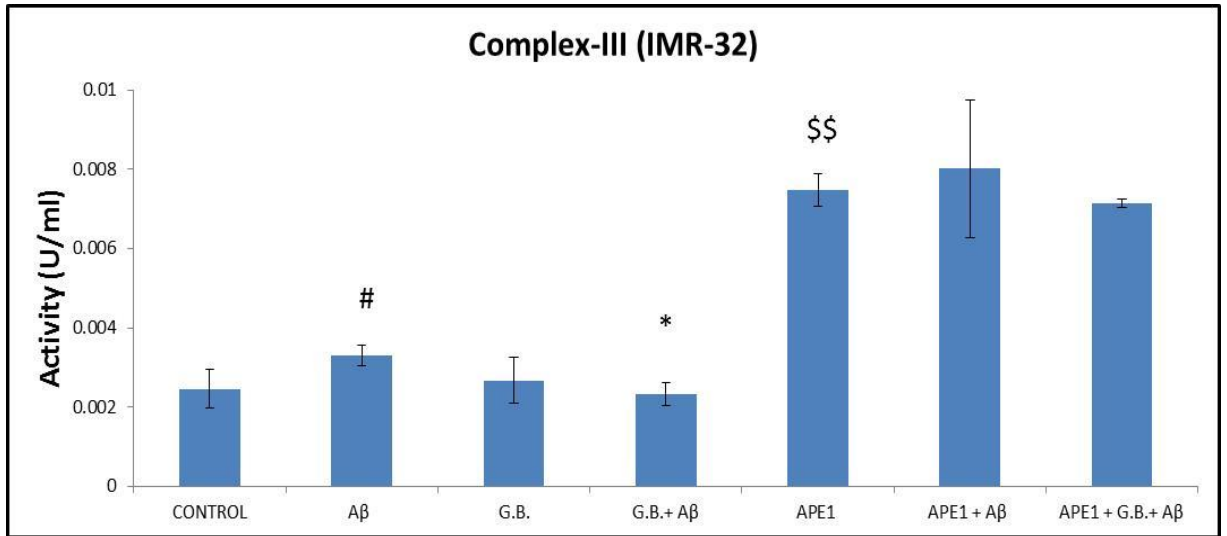


Figure 4.21(a): The mitochondrial complex-III activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

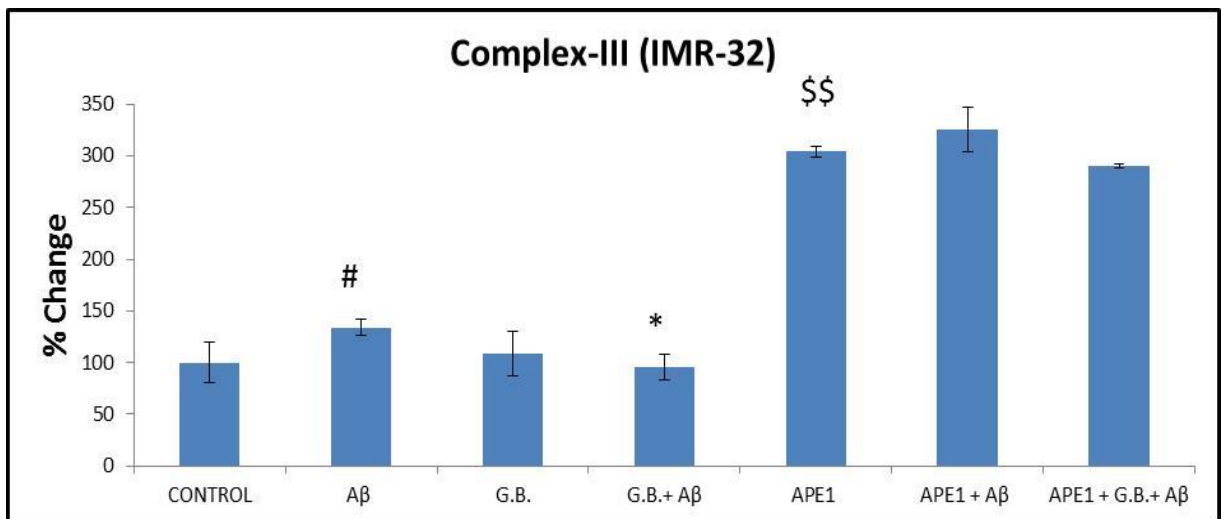


Figure 4.21(b): The mitochondrial complex-III activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.21: The mitochondrial complex-III activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.0024 \pm 0.0004	100 \pm 19
A β	20	0.0032 \pm 0.0002 [#]	133 \pm 8
G.B	20	0.0026 \pm 0.0005	108 \pm 21
G.B + A β	20+20	0.0023 \pm 0.0002 [*]	95 \pm 12
APE1	-	0.007 \pm 0.0004 ^{\$\$}	303 \pm 5
APE1 + A β	20	0.008 \pm 0.001	326 \pm 21
APE1 + G.B + A β	20+20	0.0071 \pm 0.0001	290 \pm 1

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at ^{\$}p \leq 0.05 and ^{\$\$}p \leq 0.005 when untreated cells were compared with untreated cells with ectopic APE1 expression; *p \leq 0.05 and **p \leq 0.005 when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and [#]p \leq 0.05 and ^{##}p \leq 0.005 when the respective A β treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=3).

4.13: Determination of Complex-IV Activity in SH-SY5Y and IMR-32 cells with ectopic APE1 expression after treatment with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B): The mitochondrial complex-IV activity calculated was seen to be significantly decreased by 59% (significant) and 57% respectively in A β (25-35)-treated SH-SY5Y and IMR-32 cells as compared with the respective untreated control cells. A significant increase by 111% and 155% was seen when the SH-SY5Y and IMR-32 cells were pre-treated with G.B prior to treating with A β (25-35) as compared to the respective A β (25-35)-treated cells. Further, SH-SY5Y cells treated alone with G.B had comparable activity to that of control SH-SY5Y untreated cells. While, an increase of 31% was seen in case of IMR-32 cells. When cells with ectopic APE1 expression were compared with untreated control, there was a decrease of 33% complex-IV activity. IMR-32 cells with ectopic APE1 expression had comparable activity to that of untreated control. The complex-IV activity was observed to be increased by 19% and decreased by 7% respectively in A β (25-35)-treated APE1 expressing SH-SY5Y and IMR-32 cells as compared to the respective APE1 control cells (**Figure 4.22** and **Table 4.22**) and (**Figure 4.23** and **Table 4.23**). Further, a significant increase in activity by 27% was seen in ectopically APE1 expressing SH-SY5Y cells treated with G.B+A β (25-35), which is comparable with that of untreated control SH-SY5Y cells and a 92% significant increase was seen in ectopically APE1 expressing IMR-32 cells treated with G.B+A β (25-35), which is much higher as compared to untreated IMR-32 control cells.

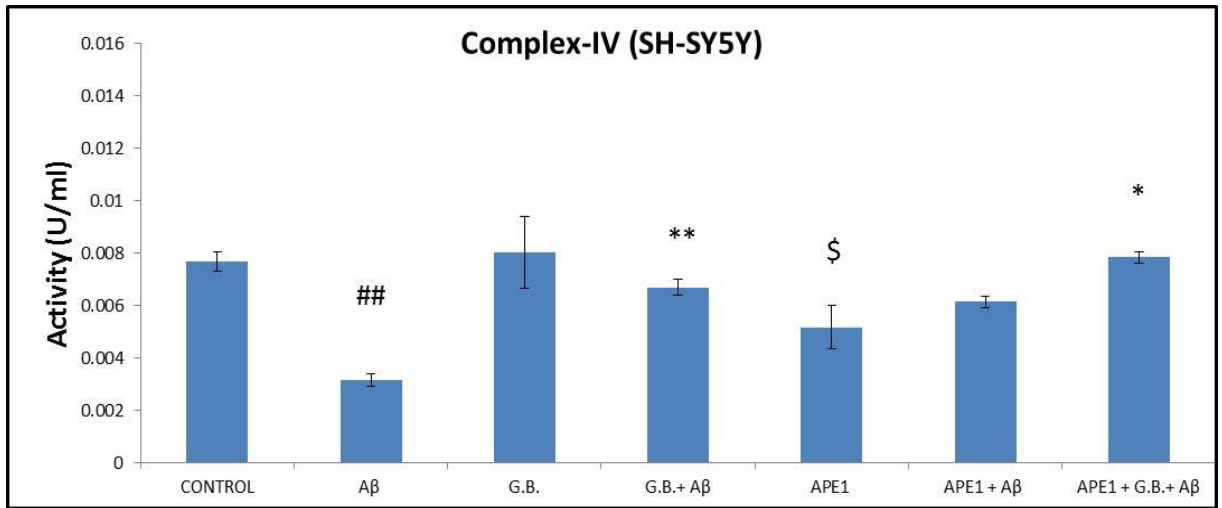


Figure 4.22(a): The mitochondrial complex-IV activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

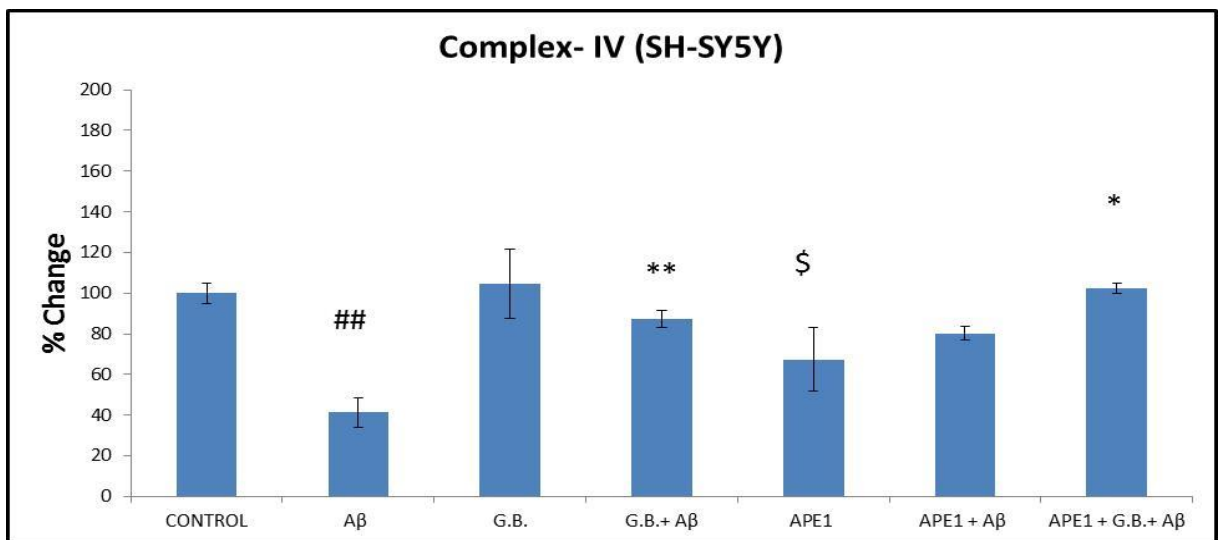


Figure 4.22(b): The mitochondrial complex-IV activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.22: The mitochondrial complex-IV activity in SH-SY5Y cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.0076 \pm 0.0003	100 \pm 5
A β	20	0.0031 \pm 0.0002 ^{##}	41 \pm 7
G.B	20	0.008 \pm 0.0013	104 \pm 17
G.B + A β	20+20	0.0066 \pm 0.0002 ^{**}	87 \pm 4
APE1	-	0.0051 \pm 0.0008 ^{\$}	67 \pm 16
APE1 + A β	20	0.0061 \pm 0.0002	80 \pm 3
APE1 + G.B + A β	20+20	0.0078 \pm 0.0002 [*]	102 \pm 2

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at ^{\$}p \leq 0.05 and ^{\$\$}p \leq 0.005 when untreated cells were compared with untreated cells with ectopic APE1 expression; *p \leq 0.05 and **p \leq 0.005 when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and [#]p \leq 0.05 and ^{##}p \leq 0.005 when the respective A β -treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=2).

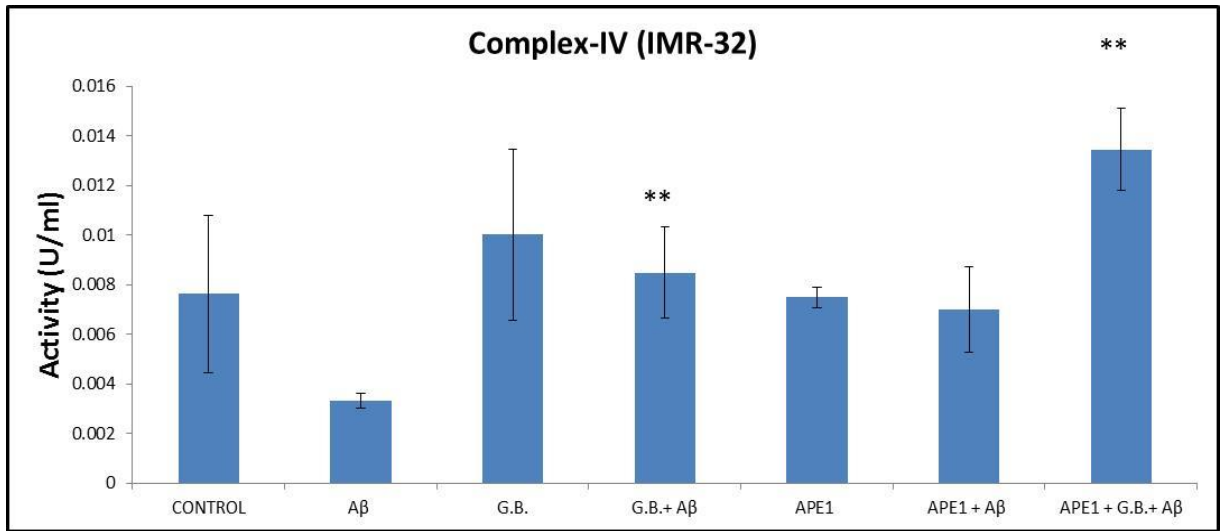


Figure 4.23(a): The mitochondrial complex-IV activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as activity in U/ml.

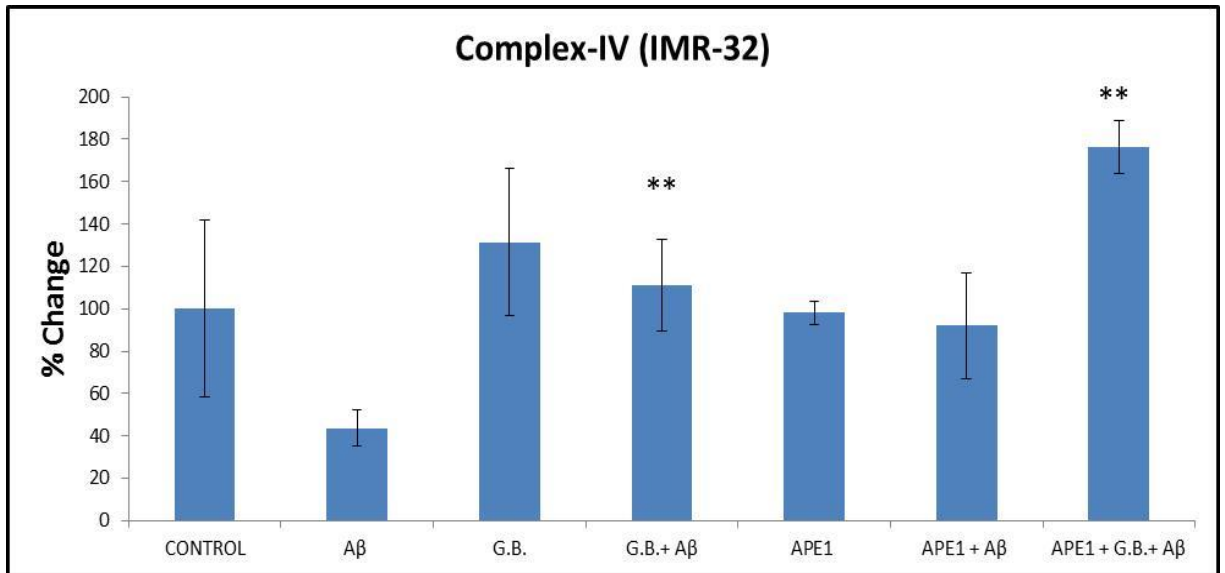


Figure 4.23(b): The mitochondrial complex-IV activity in IMR-32 treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression expressed as % change.

Table 4.23: The mitochondrial complex-IV activity in IMR-32 cells treated with the oxidant A β (25-35) and phytochemical Ginkgolide B (G.B) with and without ectopic APE1 expression.

Sample	Concentration (μ M)	Activity (U/ml)	% Change
Control	-	0.0076 \pm 0.003	100 \pm 41
A β	20	0.0033 \pm 0.0002	43 \pm 8
G.B	20	0.01 \pm 0.003	131 \pm 34
G.B + A β	20+20	0.0084 \pm 0.0018**	111 \pm 21
APE1	-	0.0074 \pm 0.004	98 \pm 5
APE1 + A β	20	0.007 \pm 0.0017	91 \pm 25
APE1 + G.B + A β	20+20	0.013 \pm 0.0016**	176 \pm 12

The student (t) test was performed to evaluate the significance of the results. The data was considered as statistically significant at $^{\$}p \leq 0.05$ and $^{\$\$}p \leq 0.005$ when untreated cells were compared with untreated cells with ectopic APE1 expression; $^*p \leq 0.05$ and $^{**}p \leq 0.005$ when the respective G.B+A β treated cells were compared with the respective A β -treated cells; and $^{\#}p \leq 0.05$ and $^{\#\#}p \leq 0.005$ when the respective A β -treated cells were compared with the respective untreated control cells. The results are presented as mean \pm standard deviation (n=3).

4.24: Western Blotting. APE1 ectopic-expression in SH-SY5Y and IMR-32 cells after cell transfection was confirmed by Western blotting. It was observed that as compared to the empty vector (**lane no. 1, Figure 4.24**), there was an increase in APE1 expression in IMR-32 and SH-SY5Y cells (**lane no. 2 & 3, Figure 4.24**) which were ectopically expressing APE1 upon cell transfection. APE1 expression was seen at 37kDa, the molecular weight of APE1, by using a marker.

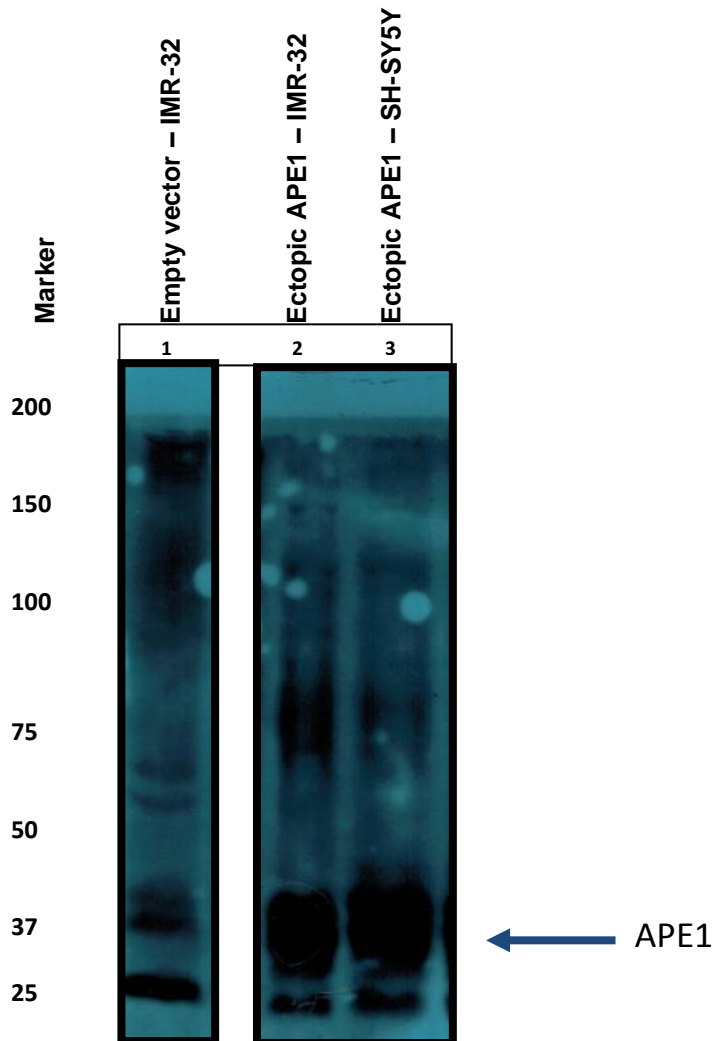


Figure 4.24: Confirmation of ectopic APE1 expression in SH-SY5Y and IMR-32 cells upon cell transfection by Western blotting.

CHAPTER - 5

DISCUSSION

The present study was aimed to provide insight into the understanding the pathogenesis of AD linking A β -induced oxidative stress, mitochondrial dysfunction, and the role of APE1. In this study, the aim was to see how APE1 ectopic-expression affects the neuronal cells in overcoming the oxidative stress induced by A β (25-35) using SH-SY5Y and IMR-32 human neuroblastoma cells. In addition, modulation/protection of APE1's activities by the phytochemical Ginkgolide B (from leaves of *G. biloba*) was also studied. It was hypothesized that the presence of Ginkgolide B (G.B) may help in reducing mitochondrial oxidative stress, and further this will reduce A β -induced dysfunction of mitochondrial respiratory system of the neuronal cells in maintaining cellular energy demands.

Mitochondria play an important role in the pathogenesis of neurodegenerative diseases and aging. Linking aging with neurodegenerative diseases, the free radical theory of aging suggests that oxidative imbalance i.e. elevated levels of ROS have a role in the pathogenesis of many neurodegenerative diseases like AD (Clark *et al.*, 2010). Various studies have attempted to find a link between the oxidative stress-related neurodegeneration and decreased mtDNA repair capacity in neuronal cells. Altered levels of free radical scavengers and oxidative stress with aging may lead to mitochondrial dysfunction, which may reduce the capacity of neuronal cells to repair mtDNA damage and protect against mutations in the mitochondrial genome.

Extensive studies in the recent years have linked many neurodegenerative studies to altered DNA repair mechanisms i.e. BER, double strand break (DSB) and single strand break (SSB) repair, mitochondrial defects and oxidative stress. While the presence of nucleotide excision repair (NER) pathway in mitochondria has not yet been clarified, emerging evidence reveals the existence of mismatch repair (MMR) and BER-pathway (Hegde *et al.*, 2012). Oxidative DNA damage repair is the major pathway observed in neurodegenerative diseases and the best-known DNA repair system studied in mitochondria. BER-pathway is the known to be the predominant pathway in mitochondria for removal of the oxidized base lesions generated in presence of oxidative stress. APE1 is the central enzyme of the BER-

pathway, acting on AP-sites and exerting its reduction–oxidation (redox) modification activity on some TFs including AP-1, NF- κ B and p53, thus regulating their DNA-binding activity (Bhakat *et al.*, 2009; Hegde *et al.*, 2012; Izumi *et al.*, 2003).

In order to induce oxidative stress in SH-SY5Y and IMR-32 cells, A β (25-35) peptide was used. On the basis of the cell viability assay, 20 μ M of A β (25-35) was used throughout the study for both SH-SY5Y and IMR-32 cells. On exposure to A β (25-35), the levels of ROS/RNS produced intracellularly were determined. It was found that in the presence of A β (25-35), there was a significant increase in the intracellular ROS levels in SH-SY5Y cells as compared to the control untreated SH-SY5Y cells. Similar trend was seen in the intracellular ROS levels in IMR-32 cells on exposure to A β (25-35). These results are consistent with various studies showing A β (25-35) as a ROS generator and as neurotoxic agent (Barger *et al.*, 1995; Jang *et al.*, 2005; Kang *et al.*, 2001).

Studies have shown that there is an over-production of NO owing to up-regulation of iNOS expression in neurons and glia. This is due to various inflammatory responses found in the brain under a variety of neurodegenerative diseases like AD and PD (Togo *et al.*, 2004). In my study, it was examined whether A β (25-35) induces NO within cells, by using a fluorescence probe, DAF-FM, which can directly detect NO. It was found that there was an increased NO production in presence of A β (25-35) in SH-SY5Y cells as compared to the control. Likewise, a similar significant increase in intracellular NO was found in IMR-32 cells on exposure to A β (25-35).

Many studies have indicated that polyphenols and flavonoids acting as antioxidants and their ability to scavenge ROS (Chen *et al.*, 2006; Lagoa *et al.*, 2011; Lin *et al.*, 2012). Some plants have been shown to exhibit health benefits, particularly for medicinal properties in the brain. *Ginkgo biloba* extracts have been reported to protect neurons against oxidative stress, but the underlying mechanisms are not fully understood (Ahlemeyer *et al.*, 2003; Maclennan *et al.*, 2002). In the present study, it was studied that the effect of Ginkgolide B, a terpene lactone component of

standardized extract EGb 761, on the intracellular ROS/RNS levels induced by A β (25-35). Two concentrations of G.B i.e. 20 μ M and 40 μ M were chosen based on the cell viability assay. It was found that G.B+A β treated cells showed attenuation of ROS production when compared with A β (25-35)-treated cells in both SH-SY5Y and IMR-32 cells. More attenuation of ROS was seen when cells were pre-treated with 20 μ M of G.B in both the cell lines. This result is in line with a finding that showed the neuroprotective effect of G.B against A β (25-35)-induced neurotoxicity leading to inhibition of ROS accumulation in SH-SY5Y cells (Shi *et al.*, 2009). Also, cells treated alone with 20 μ M of G.B showed comparable ROS levels as compared to the control in both SH-SY5Y and IMR-32 cells.

On studying the intracellular NO levels, it was found that there is a significant increase in NO levels when the SH-SY5Y cells were treated with 40 μ M of G.B alone as compared to the untreated cells whereas with 20 μ M of G.B there was much less increase in NO production as compared to the control SH-SY5Y cells. In support of this finding, there are reports which show that *Ginkgo biloba* increases NO production in human endothelial cells (Persson *et al.*, 2008). Next, A β (25-35) caused increased NO levels in both SH-SY5Y and IMR-32 cells as compared to their respective untreated control cells, which was brought down to a greater extent on pre-treating the cells with 20 μ M G.B than with 40 μ M G.B In case of IMR-32 cells, a significant increase in NO levels was seen in G.B+A β treated cells as compared to cells treated with G.B alone, for both 20 μ M and 40 μ M of G.B An earlier study showed that EGb 761 significantly reduces gentamycin-induced NO production in human cochlear cells (Yang *et al.*, 2011). Thus, pointing that A β increased the intracellular NO levels significantly in IMR-32 cells, which was brought down by pre-treating with G.B

APE1 plays a central role in the cellular response to oxidative stress. Attempts have been made to link increased levels of APE1 with protection in neuronal cells. One study has showed that there is an increased nuclear expression of APE1 in cerebral cortical regions of AD patients (Marcon *et al.*, 2009). Another study showed the colocalization of APE1 with A β in the senile plaques in AD hippocampus (Tan *et*

al., 2009). Also, APE1 immunostaining was found to be relatively low in control AD brain sections but was seen to be increased in regions of neuronal injury (Tan *et al.*, 1998). These results suggest that over-expression of APE1 in injured neurons may be part of a response to oxidative stress and an attempt to repair damaged DNA in AD.

APE1 is found to be present preferentially in nucleus with conditional distribution in the mitochondria. Considering the importance of the mitochondria in the cellular response to oxidative stress, studies have been conducted to find out the role of APE1 in the mitochondria. Recent studies have confirmed the existence of APE1 in the mitochondria (Chattopadhyay *et al.*, 2006; Li *et al.*, 2010). Under normal cellular condition, the level of APE1 is minimal, which increases in presence of oxidative stress in the cell. An earlier study showed that APE1 rapidly re-localizes into mitochondria following H₂O₂ activation and might exert a protective function (Frossi *et al.*, 2002).

In the present study, modulation of APE1 expression during A β (25-35)-induced oxidative stress was studied. Indirect ELISA was done to measure APE1 levels in the mitochondria under different treatment conditions in SH-SY5Y cells. An earlier study conducted by Tan *et al.*, showed that high concentrations of A β (1-42) caused reduction of APE1 expression leading to neuronal death while lower concentrations of A β (1-42) transiently induced APE1 expression and was associated with prolonged neuronal survival (Tan *et al.*, 2009). In my study, it was found that 20 μ M of A β (25-35) caused a significant decrease in mitochondrial APE1 levels as compared to control SH-SY5Y cells. Pre-treatment with G.B along with exposure to A β (25-35) caused an increase in APE1 levels in the mitochondria when compared to the control cells. In support of this finding, a recent study showed a decrease in APE1 levels against AlCl₃-induced neurotoxicity, which were up-regulated on treatment with the phytochemical resveratrol providing protection against neuroinflammation induced by AlCl₃ (Zaky *et al.*, 2013). Thus, it points towards the modulation of APE1 by G.B in the presence of A β (25-35)-induced oxidative stress. Subsequently, APE1 ectopic-expression studies were carried to find out the neuromodulatory role of G.B on

mitochondrial functions after A β (25-35)-induced oxidative stress in SH-SY5Y and IMR-32 neuronal cells with ectopic APE1 expression.

Although, studies have demonstrated that there is a reduction in the expression of APE1 in neural tissues after neuronal insult, the role of APE1 in regulating neurotoxicity remains to be identified. An earlier study showed that over-expression of wild-type APE1 in hippocampal and sensory cells resulted in a significant increase in cell viability after exposure to various concentrations of H₂O₂ (Vasko *et al.*, 2005). In the present study, cell viability was checked in SH-SY5Y and IMR-32 cells with ectopic APE1 expression in presence of the oxidant, A β (25-35). The results showed that A β (25-35) caused a decrease in cell survival when compared to the respective SH-SY5Y and IMR-32 cells ectopically expressing APE1. But, cell survival was increased in A β (25-35)-treated APE1 ectopically-expressing cells as compared to the respective A β (25-35)-treated SH-SY5Y and IMR-32 cells without APE1 expression. An increase in cell viability in untreated cells with APE1 expression as compared to untreated cells expressing empty vector was seen in both SH-SY5Y and IMR-32 cells. When SH-SY5Y cells with APE1 over-expression were treated with the phytochemical G.B, there was a rise in cell survival as compared to APE1 control cells. Similar trend was seen in IMR-32 cells when treated with the phytochemical G.B. On comparison with cells without APE1 ectopic-expression, it was found that treatment with G.B caused much increased cell viability in the respective SH-SY5Y and IMR-32 cells with ectopic APE1 expression.

Furthermore, cell viability assay was done to see modulation of APE1 by G.B in presence of A β (25-35) showed that pre-treatment with G.B at both the concentrations of 20 μ M and 40 μ M prior to treating with A β (25-35) increased the cell survival in both SH-SY5Y and IMR-32 cells with ectopic APE1 expression much more than in the respective cells without APE1 expression given the same treatment. This shows that pre-treatment with G.B might modulate the activity of APE1 providing neuro-protection to cells from the damage caused by A β (25-35)-induced oxidative stress, leading to increased neuronal survival.

To see how APE1 modulates oxidative stress in the cell, intracellular ROS levels were measured. The results showed that ectopic APE1 expression in both SH-SY5Y and IMR-32 cells decreased the ROS levels as compared to cells expressing the empty vector. In both SH-SY5Y and IMR-32 cells, there was attenuation of ROS levels when cells with ectopic APE1 expression were treated with G.B (20 and 40 μ M) as compared to APE1 control cells. There were comparable ROS levels as compared to APE1 control cells in the presence of oxidative stress induced by A β (25-35) in both the cell lines which are expressing APE1. When compared with cells without ectopic APE1 expression, it was seen that APE1 expression lead to attenuation of ROS levels on treatment with A β (25-35) in both SH-SY5Y and IMR-32 cells. Also, similar trend was seen on treatment with G.B. Pre-treatment with G.B at both the concentrations of 20 μ M and 40 μ M prior to treating with A β (25-35) caused a decrease in ROS levels in both SH-SY5Y and IMR-32 cells with ectopic APE1 expression as compared to the respective cells without ectopic APE1 expression. These results advocates for neuroprotective role of APE1 in regulating neurotoxicity induced by A β (25-35) in the presence of the phytochemical G.B.

Subsequently, intracellular RNS levels were also measured in SH-SY5Y and IMR-32 cells after ectopic APE1 expression. A decrease in RNS levels in untreated cells with APE1 over-expression as compared to untreated cells expressing empty vector was seen in both SH-SY5Y and IMR-32 cells. It was observed that A β (25-35) caused a significant increase in NO production in SH-SY5Y cells when compared to the SH-SY5Y untreated cells ectopically expressing APE1. But, comparable NO levels were seen when IMR-32 cells with ectopic APE1 expression treated with A β (25-35) were compared to the IMR-32 cells ectopically expressing APE1. NO production was seen to be decreased in A β (25-35)-treated APE1 expressing cells as compared to the respective A β (25-35)-treated SH-SY5Y and IMR-32 cells without APE1 expression. When SH-SY5Y cells with ectopic APE1 expression were treated with the phytochemical G.B, there was a decrease in RNS levels as compared to APE1 control cells. Similar trend was seen in IMR-32 cells when treated with G.B. On comparison with cells without ectopic APE1 expression, it was found that treatment

with G.B caused much decreased RNS levels in respective SH-SY5Y and IMR-32 cells with ectopic APE1 expression. Pre-treatment with G.B at both the concentrations 20 μ M and 40 μ M prior to treating with A β (25-35) caused a decrease in NO levels in both SH-SY5Y and IMR-32 cells with ectopic APE1 expression as compared to the respective cells without ectopic APE1 expression. This points out that G.B treatment prevents the oxidative damage in both SH-SY5Y and IMR-32 cells by modulating APE1 function in response to A β (25-35)-induced oxidative stress responses.

A general decline in CNS function is associated with normal aging. In relation to this, certain mutations in mitochondrial genes have been implicated in the etiology of various age-related neurodegenerative diseases like AD. The pathogenesis resulting from mtDNA mutations is believed to be involved in impaired OXPHOS with a concomitant increase in ROS production. In order to study the effect of A β (25-35) at the mitochondrial level at OXPHOS performance, it was planned to see the modulation of ETC activities of complex-I, III & IV by APE1 in the presence of G.B.

Numerous studies have shown that G.B protects against aging-associated mitochondrial dysfunction and reduces oxidative stress in the mitochondria (Abdel-Kader *et al.*, 2007; Shi *et al.*, 2010). The results obtained showed that complex-I activity in the mitochondria was reduced in both SH-SY5Y and IMR-32 cells in the presence of A β (25-35)-induced stress. This was seen to be increased after treating the cells with G.B. When treated with G.B alone, the SH-SY5Y cells had more activity while the IMR-32 cells showed comparable activity as compared to their respective control cells. In support of this finding, a recent study performed by Rhein *et al.*, showed increased activity of complex-I on treatment with G.B+A β (25-35) and comparable activity on treatment with G.B alone in SH-SY5Y cells (Rhein *et al.*, 2010).

Further, ectopic APE1 expression studies were conducted under the same treatment conditions as above for determining complex-I activity. A previous study has provided evidence that APE1 regulates the mitochondrial function against

oxidative stress due to its role in redox regulation (Li *et al.*, 2012). The activity of complex-I was more in untreated SH-SY5Y cells with ectopic APE1 expression as compared to untreated control SH-SY5Y cells without APE1 expression, showing the neuroprotective effect of APE1 in these cells. Comparable activity of complex-I was found in IMR-32 cells with ectopic APE1 expression as compared to IMR-32 cells without APE1 expression. A significant decrease in the activity of complex-I was seen in both SH-SY5Y and IMR-32 cells with ectopic APE1 expression in the presence of A β (25-35)-induced stress as compared to APE1 control cells. On pre-treating with G.B, the decrease in activity of complex-I in the presence of A β (25-35)-induced stress was subsequently found to be increased significantly in both SH-SY5Y and IMR-32 cells. This activity as measured in the presence of G.B+A β (25-35) was comparable to the untreated IMR-32 control cells. Thus, it shows that APE1 has a neuromodulatory effect on complex-I activity in bringing the activity of complex-I to the basal level in the presence of G.B and protecting the cellular energy levels through OXPHOS.

Interesting results were found when complex-III activity was determined. It was found that there was an increased complex-III activity when cells (SH-SY5Y and IMR-32) were given A β (25-35) treatment as compared to the respective controls. This result is in line with a previous finding done on SH-SY5Y cells (Rhein *et al.*, 2010). This could be due to some compensatory mechanism to rescue A β (25-35)-induced mitochondrial defects. Treatment with G.B caused an increase in the activity in SH-SY5Y cells, but comparable activity in IMR-32 cells as compared to the respective control cells. Pre-treatment with G.B prior to treating with A β (25-35) led to comparable activity as compared with the control cells in both the cell lines (SH-SY5Y and IMR-32), bringing the complex-III activity down to the basal level. Further, same treatments in ectopic APE1 expression showed that there was a significant increase in the complex-III activity in APE1 expressing SH-SY5Y and IMR-32 cells as compared to the respective cells without APE1 over-expression. Again, an increase in complex-III activity was seen in the presence of A β (25-35) in both the cells (SH-SY5Y and IMR-32) with ectopic APE1 expression. Pre-treatment with G.B, prior to treating with A β (25-35) showed a rise in complex-III activity in both IMR-32 and SH-SY5Y

cells, which was much more than the basal level activity. This points towards the protection of complex-III activity by APE1 in presence of increased ROS (due to electron leakage occurring at complex-III) in presence of G.B.

Lastly, complex-IV activity was determined in the SH-SY5Y and IMR-32 cells with and without ectopic APE1 expression. The activity of complex-IV was found to be reduced significantly in the presence of A β (25-35)-induced stress responses in SH-SY5Y cells as compared to the untreated control SH-SY5Y cells. Similar trend was seen in the IMR-32 cells. There was a comparable activity in G.B treated SH-SY5Y cells but much increase in complex-IV activity in G.B treated IMR-32 cells when compared to their respective controls. There was a significant up-regulation of complex-IV activity in G.B+A β (25-35)-treated cells as compared to only A β (25-35)-treated respective cells. Comparable activity of complex-IV was found in IMR-32 cells with ectopic APE1 expression as compared to cells without APE1 expression. But, a decrease in complex-IV activity was found in SH-SY5Y cells with ectopic APE1 expression as compared to cells without APE1 expression. The activity was further increased upon treatment with A β (25-35) in SH-SY5Y cells as compared to APE1 control SH-SY5Y cells. In case of IMR-32 cells, A β (25-35) treatment led to comparable activity as APE1 control IMR-32 cells. Pre-treatment with G.B, prior to treating with A β (25-35) showed a rise in complex-IV activity in IMR-32 cells and comparable activity to that of untreated control in SH-SY5Y cells.

Oxidative stress, especially the mitochondria-derived oxidative stress is thought to have an important role in the pathogenesis of AD. Our experimental data suggests that ectopic APE1 expression helps the neuronal cells in overcoming the oxidative stress induced by A β (25-35) in SH-SY5Y and IMR-32 cells. Also, the phytochemical G.B has shown to modulate APE1 activities by reducing mitochondrial oxidative stress, and further reduces A β -induced dysfunction of mitochondrial ETC-associated with OXPHOS of the neuronal cells in maintaining cellular energy demands. Thus, from the knowledge gained with this study and combining these parameters of oxidative damage with those of redox state of the neuronal cells, DNA-

repair activity, antioxidant defence, and neuroprotective role played by APE1 may help in designing future studies and also serve as a useful therapeutic tool towards the treatment of AD in combination with phytochemical modulation.

SUMMARY AND CONCLUSION

Mitochondria have a central role in aging-related neurodegenerative diseases like AD. Many lines of evidence have suggested that oxidative damage in association with mitochondrial dysfunction play a major role in AD progression and development. In case of late-onset, sporadic AD, an increase in ROS levels with increasing age has been thought to play a role in AD progression and development, while mitochondrial damage through oxidative stress play a significant role in the progression of familial AD. Various studies have attempted to find a link between the oxidative stress-related neurodegeneration and decreased mtDNA repair capacity in neuronal cells. But, the mechanistic link between oxidative damage, altered DNA repair mechanisms and mitochondrial dysfunction in AD development and progression is still unclear. To address this issue, the present study was designed to understand how ectopic-expression of APE1, the major BER-pathway enzyme, supports the neuronal cells in overcoming the oxidative stress induced by A β (25-35) *in vitro* using the neuroblastoma (SH-SY5Y and IMR-32) cell lines. In addition, it was determined whether the phytochemical Ginkgolide B (from leaves of *G. biloba*) helps in modulating/protecting APE1's functions in reducing oxidative stress and A β -induced dysfunction of mitochondrial ETC of the neuronal cells in maintaining cellular energy demands.

The results of the present study demonstrated that in the presence of A β (25-35), there was a significant increase in the intracellular ROS levels in both SH-SY5Y and IMR-32 cells, which is consistent with various studies showing A β (25-35) as a ROS generator and as neurotoxic (Barger *et al.*, 1995; Jang *et al.*, 2005; Kang *et al.*, 2001). Likewise, a similar increase in intracellular NO was found in SH-SY5Y and IMR-32 cells on exposure to A β (25-35). The neuroprotective effects of Ginkgolide B, the terpene lactone component of standardized extract EGb 761, were evaluated on the intracellular ROS/RNS levels induced by A β (25-35) in both the cell lines. Attenuation of ROS production was seen in G.B+A β treated SH-SY5Y and IMR-32 cells. The increase in intracellular NO in response to A β (25-35) in both SH-SY5Y and IMR-32 cells was brought down to a greater extent by pre-treating the cells with G.B.

Further, modulation of APE1 expression during A β (25-35)-induced oxidative stress was studied using indirect ELISA. The results demonstrated that there is a significant decrease in mitochondrial APE1 levels in presence of A β (25-35). Pre-treatment with G.B along with exposure to A β (25-35) caused an increase in APE1 levels in the mitochondria. This points towards the modulation of APE1 by G.B in presence of A β (25-35)-induced oxidative stress. Subsequently, ectopic APE1 expression studies were carried to find out the neuro-modulatory role of G.B on mitochondrial functions after A β (25-35)-induced oxidative stress in SH-SY5Y and IMR-32 cell lines with ectopic APE1 expression. The results showed that cell survival was increased in A β (25-35)-treated APE1 expressing cells as compared to the respective A β (25-35)-treated SH-SY5Y and IMR-32 cells without ectopic APE1 expression. When SH-SY5Y and IMR-32 cells with APE1 expression were treated with the phytochemical G.B, there was a rise in cell survival rate. A recent study has showed that pre-treatment with G.B caused attenuation of ROS levels and increased cell viability in SH-SY5Y cells in response to stress induced by bupivacaine (Li *et al.*, 2013). Subsequently, on comparison with cells without ectopic APE1 expression, it was found that treatment with G.B caused much decreased ROS/RNS levels in the ectopic APE1 expressing SH-SY5Y and IMR-32 cells, pointing towards the modulatory role of APE1 in regulating neurotoxicity induced by A β (25-35) in the presence of the phytochemical G.B. In support of this finding, an earlier study has shown that the *G. biloba* extract (EGb 761) protects against the A β (1-42)-induced oxidative stress in SH-SY5Y cells by blocking the A β (1-42)-induced apoptosis and ROS accumulation (Shi *et al.*, 2009). Another study has shown EGb 761 restores the A β (1-42)-induced mitochondrial failure in SH-SY5Y cells.

Further, effect of A β (25-35)-induced stress and modulation by G.B on mitochondrial function i.e. complex (I, III & IV) activity was studied with and without APE1 expression. Earlier studies have shown that EGb 761, which contains G.B, protects against aging-associated mitochondrial dysfunction and reduces oxidative stress in the mitochondria (Abdel-Kader *et al.*, 2007; Rhein *et al.*, 2010; Shi *et al.*, 2010). The results of my study showed that complex-I activity was reduced in both

SH-SY5Y and IMR-32 cells in presence of A β (25-35)-induced stress, which was increased after treating the cells with G.B. When treated with G.B alone, the SH-SY5Y cells had more activity while the IMR-32 cells showed comparable activity as compared to their respective control cells. After ectopic -expression of APE1, the activity of complex-I was found to be more as compared to untreated control SH-SY5Y cells without APE1 expression, showing the protective effect of APE1 in these cells. On pre-treating with G.B, the decrease in activity of complex-I in presence of A β (25-35) was subsequently found to be increased significantly in both SH-SY5Y and IMR-32 cells with ectopic- APE1 expression, pointing towards the modulatory effect of APE1 on complex-I activity in bringing the activity of complex-I to the basal level in the presence of G.B.

In relation to complex-III, an increase in the activity was found when cells (SH-SY5Y and IMR-32) were given A β (25-35) treatment as compared to the respective control. This could be due to some compensatory mechanism to rescue A β (25-35)-induced mitochondrial defects. Treatment with G.B caused an increase in the activity in SH-SY5Y cells, but comparable activity in IMR-32 cells as compared to the respective control cells. In support of this finding, a recent study showed that pre-treatment with G.B preserved the mitochondrial complex-III activity in SH-SY5Y cells (Li *et al.*, 2013). Further, same treatments with ectopic APE1 expression showed that there is an increase in complex-III activity was seen in presence of A β (25-35) in both cells lines studied (SH-SY5Y and IMR-32) with ectopic APE1 expression. Pre-treatment with G.B, prior to treatment with A β (25-35) showed a rise in complex-III activity in both IMR-32 and SH-SY5Y cells, which was much more than the basal level activity. This points toward the protection of complex-III activity by APE1 in presence of increased ROS (due to electron leakage occurring at complex-III) in the presence of G.B.

On evaluation of complex-IV activity, it was observed that there was decreased activity in A β (25-35)-treated SH-SY5Y and IMR-32 cells. An increase in complex-IV activity was seen when the SH-SY5Y and IMR-32 cells were pre-treated with G.B

prior to treating with A β (25-35) as compared to the respective A β (25-35)-treated cells. This is in accordance with an earlier study showing the beneficial effect of standardized *G. biloba* extract (LI 1370) on SH-SY5Y cells in response to A β (1-40)-induced stress in APP cells (Rhein *et al.*, 2010). Further, an increase in the activity was seen when IMR-32 cells were treated with G.B alone while the activity was close to the basal level in case of SH-SY5Y cells. Further, an increase in the complex-IV activity was observed in A β (25-35)-treated APE1 over-expressing SH-SY5Y cells while decreased activity was seen in IMR-32 cells. Further, an increase in activity was seen in APE1 ectopically-expressing SH-SY5Y and IMR-32 cells treated with G.B+A β (25-35), which was close to the basal level in case of SH-SY5Y cells and much higher than the basal level in IMR-32 cells. This suggests that G.B together with APE1 has shown to ameliorate the complex-IV activity in response to oxidative stress induced by A β (25-35).

The experimental data presented here suggests that APE1 ectopic-expression helps the neuronal cells in overcoming the oxidative stress induced by A β (25-35) in human neuroblastoma (SH-SY5Y and IMR-32) cells. Phytochemical G.B has shown to modulate the ROS/RNS levels in presence of APE1. The study demonstrates that A β (25-35) interferes with mitochondrial function as seen from the decline in complex-I & IV activity in presence of A β (25-35). Phytochemical G.B together with APE1 has shown to modulate the A β (25-35)-induced oxidative stress and restores OXPHOS. Thus, G.B may act as an antioxidant, reducing ROS/RNS generated by electron leakage at complexes I & III. The precise mechanism of mode of action of G.B on OXPHOS performance needs further evaluation. Further studies are needed to establish the mechanism of action of APE1 in relation to the above results. The results of the present study suggest that mitochondrial targeted therapies may be effective in AD therapeutics. Thus, therapies targeting basic mitochondrial processes, such as energy metabolism or free-radical generation hold great promise.

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Abstract Alzheimer's disease (AD) is an important public health problem which affects millions of people worldwide. The major pathological hallmarks associated with AD are the accumulation of amyloid beta (A β) in senile plaques and neurofibrillary tangles (NFT) made up of hyperphosphorylated tau proteins. New findings suggest that oligomeric A β is a more toxic species than fibrillar A β relevant to AD pathology. Although the molecular mechanism(s) underlying the disease is not identified completely, various factors have been implicated in the development of AD. Accumulating evidences point towards the role of oxidative stress and mitochondrial dysfunction in the pathogenesis of AD and recognise them as an early event in AD development. Ageing is considered the greatest risk factor for AD and is linked to

oxidative stress which causes accumulation of somatic mutations in mitochondrial DNA (mtDNA) over time and leads to genome instability and mitochondrial dysfunction. Recent studies on AD patients and transgenic mouse models suggest that amyloid precursor protein (APP) and A β localise to mitochondria, interact with mitochondrial proteins, disrupt electron transport chain (ETC), increases reactive oxygen species (ROS) level, impair axonal mitochondrial trafficking, thus leading to synaptic damage and cognitive decline associated with AD. It is not known whether accumulation of A β is the cause or outcome of declining mitochondrial function in AD. In order to counteract oxidative stress and maintain genome integrity, various DNA repair pathways exist, with base excision repair (BER) pathway being the predominant pathway for repairing oxidised base lesions in neuronal cells. APE1 is the central enzyme of the BER pathway, having both repair and redox activities and shown to enhance neuronal survival after oxidative stress. Newer studies are revealing the role of APE1 in maintenance of mitochondrial genome repair and function. In this scenario, antioxidant-based therapy, which could reduce oxidative stress and modulate the activities of APE1, can serve as effective treatment providing neuroprotection in AD. This review summarises some recent developments in understanding the pathogenesis of AD linking A β -induced oxidative stress, mitochondrial dysfunction, role of APE1 and phytochemicals towards AD therapeutics.

Keywords
(separated by “-”)

Alzheimer's disease - Oxidative stress - Amyloid beta -
Mitochondria - APE/Ref-1 - Phytochemicals

Oxidative Stress Events and Neuronal Dysfunction in Alzheimer's Disease: Focus on APE1-/Ref-1-Mediated Survival Strategies

Navrattan Kaur, Bibekananda Sarkar, Sunil Mittal, 6
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Abstract

Alzheimer's disease (AD) is an important public health problem which affects millions of people worldwide. The major pathological hallmarks associated with AD are the accumulation of amyloid beta (A β) in senile plaques and neurofibrillary tangles (NFT) made up of hyperphosphorylated tau proteins. New findings suggest that oligomeric A β is a more toxic species than fibrillar A β relevant to AD pathology. Although the molecular mechanism(s) underlying the disease is not identified completely, various factors have been implicated in the development of AD. Accumulating evidences point towards the role of oxidative stress and mitochondrial dysfunction in the pathogenesis of AD and recognise them as an early event in AD development. Ageing is considered the greatest risk factor for AD and is linked to oxidative stress which causes accumulation of somatic mutations in mitochondrial DNA (mtDNA) over time and leads to genome

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23 instability and mitochondrial dysfunction. Recent studies on AD patients
24 and transgenic mouse models suggest that amyloid precursor protein
25 (APP) and A β localise to mitochondria, interact with mitochondrial pro-
26 teins, disrupt electron transport chain (ETC), increases reactive oxygen
27 species (ROS) level, impair axonal mitochondrial trafficking, thus leading
28 to synaptic damage and cognitive decline associated with AD. It is not
29 known whether accumulation of A β is the cause or outcome of declining
30 mitochondrial function in AD. In order to counteract oxidative stress and
31 maintain genome integrity, various DNA repair pathways exist, with base
32 excision repair (BER) pathway being the predominant pathway for repair-
33 ing oxidised base lesions in neuronal cells. APE1 is the central enzyme of
34 the BER pathway, having both repair and redox activities and shown to
35 enhance neuronal survival after oxidative stress. Newer studies are reveal-
36 ing the role of APE1 in maintenance of mitochondrial genome repair and
37 function. In this scenario, antioxidant-based therapy, which could reduce
38 oxidative stress and modulate the activities of APE1, can serve as effective
39 treatment providing neuroprotection in AD. This review summarises some
40 recent developments in understanding the pathogenesis of AD linking
41 A β -induced oxidative stress, mitochondrial dysfunction, role of APE1 and
42 phytochemicals towards AD therapeutics.

43 **Keywords**

44 Alzheimer's disease • Oxidative stress • Amyloid beta • Mitochondria •
45 APE/Ref-1 • Phytochemicals

66 **1 Introduction**

67
68 In 1907, Alois Alzheimer, a German psychiatrist
69 and neuropathologist, described the hallmark
70 lesions associated with 'presenile dementia',
71 which later came to be known as Alzheimer's dis-
72 ease AD [1], a progressive and always fatal disor-
73 der characterised clinically by memory loss and
74 behavioural abnormalities. The hallmarks
75 described were extracellular plaques composed
76 of amyloid beta (A β) and intracellular neurofi-
77 brillary tangles (NFTs) made up of a protein
78 called tau (τ). Since then, although it has been
79 more than 100 years that the neuropathological
80 hallmarks of the disease have been described, the
81 underlying molecular mechanism(s) of the patho-
82 genesis of AD are yet to be elucidated. Since
83 1992, the 'A β cascade hypothesis' has been the
84 main model describing the pathogenesis of
85 AD. According to it, accumulation of A β , owing
86 to increased processing of amyloid precursor

protein (APP), induces biochemical, histological
and clinical changes associated with the disease
[2]. Various modifications of the model have
taken place over the time and currently, oligom-
ers, the soluble form of A β , rather than insol-
uble fibrillar A β , are considered to be the more
toxic species and relevant to AD pathogenesis
[3]. Many studies have proposed that oxidative
stress plays an important role in the pathogenesis
of AD and consider it to be one of the early
changes associated with AD [4]. Also, mitochon-
drial dysfunction is seen as an early event in the
pathogenesis of AD [5]. Thus, these studies have
led to the formulation of a new hypothesis, viz.
'mitochondrial cascade hypothesis', indicating
the role of the mitochondria and its dysfunction
initiating late-onset AD pathologies particularly
in relation to sporadic AD [6].

Ageing is considered to be the greatest risk
factor for AD. Linking ageing with neurodegen-
erative diseases, the free radical theory of ageing

[AU1]

87 suggests that oxidative imbalance, i.e. elevated
88 levels of reactive oxygen species (ROS), has a
89 role in the pathogenesis of many neurodegenerative
90 diseases like AD [7]. The brain is particularly
91 vulnerable to oxidative stress due to a low
92 level of antioxidant system and high consumption
93 of oxygen [7]. There are a number of exogenous
94 and endogenous sources of ROS, which
95 increase the oxidative stress and lead to genome
96 instability. Mitochondria are considered to be the
97 major internal source of ROS. One study has
98 showed that overexpression of antioxidant catalase,
99 targeted to the mitochondria reduces oxidative
100 damage, thus highlighting the role of the
101 mitochondria as a source of these radicals [8]. In
102 line with this evidence, many studies have shown
103 the mitochondria as a source as well as a target of
104 ROS. The link between A β and free radical generation
105 ultimately leading to increased ROS in neuronal
106 cells has been well established [9–12]. There is an
107 emerging body of evidence revealing that A β enters
108 the mitochondria and induces generation of free
109 radicals causing oxidative damage, thus providing
110 a link between A β and mitochondrial dysfunction
111 in the pathogenesis of AD [13].

113 Postmitotic cells like neurons are terminally
114 differentiated cells which cannot be replaced.
115 Neurons are particularly sensitive to oxidative
116 damage. Accumulation of DNA base modifications
117 especially 8-oxo-7,8-dihydro-2'-deoxyguanosine
118 (8-OHdG) are the major factors leading to genome
119 instability [14, 15]. Base excision repair (BER) is
120 the predominant pathway in the nucleus as well as
121 in the mitochondria that removes these oxidised
122 base lesions [16, 17]. Amongst the BER enzymes,
123 apurinic/apyrimidinic endonuclease (APE1) is
124 known to be a multifunctional enzyme involved in
125 DNA repair and redox regulation of various
126 transcription factors (TFs), thus is also known as
127 redox effector factor 1, Ref-1 [17–19]. Elevated
128 nuclear expression of APE1/Ref-1 in cerebral
129 cortical regions of AD patients highlights the
130 role of APE1 in neurons in response to oxidative
131 stress [20]. But mitochondrial APE1's function is
132 still not clear. Recently, it was shown that
133 nuclear APE1 regulates nuclear-encoded
134 mitochondrial-related genes by

135 modulating the DNA-binding activity of nuclear
136 respiratory factor-1 (NRF1) in a redox-dependent
137 manner [21]. This indicates that APE1 regulates
138 the expression of some nuclear-encoded
139 mitochondrial constitutive genes and consequently
140 modulates mitochondrial functions in response to
141 oxidative stress.

142 There are a number of phytochemicals which
143 have shown their neuroprotective abilities in
144 rescuing the A β -induced oxidative stress in vitro
145 and in vivo. Some of them have also shown
146 modulation of APE1/Ref-1's repair and redox
147 activities, making them effective molecules for
148 prevention and treatment of cancers and
149 degenerative diseases [22–24]. Thus, phytochemicals
150 may serve as effective treatments providing
151 neuroprotection in AD. This review summarises
152 the available literature in the field and suggests
153 a link between oxidative stress, mitochondrial
154 dysfunction, APE1 and phytochemical-based
155 interventions towards AD therapeutics.

2 Neurodegenerative Diseases 156

157 Neurodegenerative diseases represent a range of
158 diseases affecting the central nervous system
159 (CNS) characterised by selective neuronal
160 vulnerability and degeneration in specific regions
161 of the brain. This causes disabling and
162 debilitating conditions involving either
163 impairment of memory (dementia) or
164 movement-related disabilities (ataxia),
165 ultimately leading to death. The degeneration
166 is caused due to abnormal accumulation
167 and aggregation of proteins in specific
168 parts of the brain intracellular or
169 extracellular as insoluble or soluble
170 forms. These diseases are also known
171 as protein conformational diseases as
172 the aggregated proteins bear a β -sheet
173 conformation that aid in protein
174 aggregation and fibril formation [25, 26]
175 which are the pathological hallmarks
176 associated with these diseases. The exact
177 reason as to why these proteins begin to
178 accumulate is not known but research has
179 revealed that this may happen due to a
180 number of reasons, majorly due to
181 disruption of ubiquitin-proteasome
182 machinery, autophagy failure and
183 oxidative stress. Some of the common
184 neurodegenerative

180 diseases include AD, Parkinson's disease (PD),
 181 Huntington's disease (HD) and amyotrophic lat-
 182 eral sclerosis (ALS). Although the mechanism of
 183 the pathogenesis of these diseases is not under-
 184 stood to date, some genetic and environmental
 185 factors have been implicated which confer sus-
 186 ceptibility to these diseases.

187 2.1 Alzheimer's Disease

188 AD is the most common neurodegenerative dis-
 189 ease and leading cause of dementia in people
 190 above 65 years of age. It is a progressive brain
 191 disorder in which a person's memory, thinking
 192 ability and behaviour is affected with the deterio-
 193 ration worsening with time. Around 27 million
 194 people are estimated to be affected by this dis-
 195 ease [27]. AD is characterised by the presence of
 196 two major neuropathological hallmarks which
 197 are extracellular A β plaques and intracellular
 198 NFTs composed of tau causing neuronal dys-
 199 function [28]. Ageing is the greatest risk factor
 200 for AD with majority of patients above 65 years
 201 of age [29]. But cases of early-onset of AD are
 202 also present in those 35–60 years of age [30].

203 Genetic mutations are the known cause of
 204 early-onset familial AD with a prevalence of less
 205 than 1 % [29]. Thus, three forms of early-onset
 206 AD which are inherited as autosomal dominant
 207 traits involving three genes, viz. APP on chromo-
 208 some 21, presenilin 1 (PS1) on chromosome 14
 209 and presenilin 2 (PS2) on chromosome 1 [30],
 210 are recognised. Mutations in any of these three
 211 genes can make an individual susceptible to
 212 AD. Apolipoprotein E, type ϵ 4 (APO ϵ 4), is a risk
 213 factor for late-onset familial and sporadic AD,
 214 which accounts for majority (>99 %) of the AD
 215 cases [31]. Several genome-wide association
 216 studies (GWAS) have identified and implicated
 217 many genes in the aetiology of AD [32–34].

218 Accumulating evidences have shown that
 219 oxidative stress and mitochondrial dysfunction
 220 are important events occurring during the devel-
 221 opment of AD. In relation to this, decline in
 222 mitochondrial function with advancing age
 223 owing to accumulation of somatic mutations in
 224 mtDNA is reported [35]. A β is also known to

induce free radicals [10, 11, 36] and cause
 decline in mitochondrial function. Oligomeric
 A β is considered to be more toxic than the insol-
 ule fibrillar A β [3], which localises to the mito-
 chondria and interacts with a number of
 mitochondrial proteins, causing synaptic dam-
 age and leading to memory impairment and cog-
 nitive decline, associated with AD [37, 38]. But
 the underlying mechanism(s) of the pathogene-
 sis of AD is not known as yet. At present, there
 is no cure for AD but symptomatic treatments
 are available to relieve the symptoms and slow-
 down the impairment of memory associated
 with AD.

2.2 Factors Governing/ Responsible for AD Pathology

AD, a disabling and fatal disease, has become an
 important public health problem and poses an
 enormous economic and social burden for
 affected individuals, their caregivers and society.
 Though the neuropathological hallmarks of AD
 are known, the etiological factors involved in the
 pathogenesis of the disease are unknown. A num-
 ber of studies have indicated some risk factors for
 onset of disease, advancing age being the most
 important risk factor. Other potential risk factors
 for AD are described in the following sections.

2.2.1 Genetic Factors

First, an individual having a family history of
 AD, is susceptible to develop it, especially if he is
 a first-degree relative of an affected person [29].
 In comparison to males, females are more prone
 to develop AD. Persons with Down's syndrome
 (trisomy 21) are at a greater risk of developing
 AD [39]. Additionally, an individual's chance for
 developing AD increases if he inherits an *APOE*
 ϵ 4 allele, one of the three common alleles (ϵ 2, ϵ 3,
 ϵ 4) of *APOE* gene, from his parents and has an
 increased risk if two *APOE* ϵ 4 alleles are inher-
 ited [29]. Mutations in *APP* gene and *PS1* and
PS2 genes are recognised to make a person sus-
 ceptible to early-onset familial AD [30]. Apart
 from the *APOE* gene, a number of new genes are
 implicated in the pathogenesis of late-onset AD

269 (LOAD). Bridging integrator 1 (BIN1), also
 270 known as amphiphysin 2, is now recognised as an
 271 important genetic risk factor after *APOE4* for
 272 LOAD [40]. BIN1 transcripts levels were
 273 observed to be elevated in AD brains, showing
 274 BIN1 as a genetic susceptibility locus in AD
 275 [32]. Also, decreased expression of drosophila
 276 BIN1 ortholog *Amph* suppressed tau-mediated
 277 neurotoxicity, highlighting the role of BIN1 in
 278 mediating AD risk and its role in modulating AD
 279 pathogenesis at the level of the tau pathway [32].
 280 Thus, BIN1 can be thought of as a target for treat-
 281 ment of AD. In addition to *APOE* and *BIN1*,
 282 other susceptibility gene loci identified include
 283 phosphatidylinositol-binding clathrin assembly
 284 protein (*PICALM*), ATP-binding cassette trans-
 285 porter (*ABCA7*), CD2-associated protein
 286 (*CD2AP*), clusterin (*CLU*), complement receptor
 287 1 (*CR1*), CD33 antigen (*CD33*), ephrin receptor
 288 Eph-A1 (*EPHA1*) and a cluster of membrane-
 289 spanning 4A (*MS4A*) genes [33, 34, 40, 41].

290 2.2.2 Vascular Factors

291 Drinking alcohol poses a threat of developing
 292 AD at a later life. Heavy drinkers who are
 293 *APOE4* $\epsilon 4$ allele carriers are at a greater risk of
 294 developing AD at a later life than moderate alco-
 295 hol drinkers [42]. Many studies have found asso-
 296 ciation between AD and cigarette smoking. For
 297 example, *APOE4* noncarriers are at a greater risk
 298 of developing AD [43]. People with high blood
 299 pressure in middle age are more susceptible to
 300 develop AD at a later stage in their life [44].
 301 Obesity is also a risk factor for AD [45]. Higher
 302 body mass index (BMI) or obesity in middle age
 303 can increase the risk of developing AD at a later
 304 life [46]. Higher serum cholesterol levels are
 305 also associated with development of AD at a
 306 later stage [47, 48]. Use of statins, i.e. chole-
 307 sterol lowering drugs, poses a lower risk of AD
 308 [49]. In line with this, it was seen that people
 309 having a diet rich in polyunsaturated fatty acids
 310 and fish have a lower risk of AD [50] as com-
 311 pared to those consuming saturated fatty acids in
 312 their diet [51, 52]. An association between dia-
 313 betes and AD has been observed. Diabetic peo-
 314 ple are more prone to develop AD, with an
 315 increased risk if diabetes occurs in middle age

[53]. All these factors are modifiable risk factors 316
 that can be modulated by adopting healthy eat- 317
 ing habits and an active life thus, lowering the 318
 risk of dementia and AD. 319

2.2.3 Head Trauma and Head Injury 320

321 Increased risk of AD development is associated
 322 with head injury and head trauma [29]. People
 323 with moderate head injuries are at an increased
 324 risk of developing dementia than those without
 325 any head injuries. Those with severe head inju-
 326 ries are at the greatest risk of developing demen-
 327 tia at a later life. Susceptibility of developing AD
 328 increases if a person carries an *APOE* $\epsilon 4$ allele
 329 and has suffered any head injury [54]. Thus, box-
 330 ers and football players are at greater risk of AD
 331 at a later life [29].

2.2.4 Mild Cognitive Impairment 332

333 Mild cognitive impairment (MCI) is said to be a
 334 transitional stage between ageing and develop-
 335 ment of AD [55]. It is a condition in which a per-
 336 son has memory impairment though it doesn't
 337 affect a person's daily activity. It is shown that
 338 those who have MCI are at a greater risk of devel-
 339 oping AD at a later life [55].

2.2.5 Autophagy Failure 340

341 Accumulation of $A\beta$ plaques and tau protein in
 342 neurons shows that the neuronal housekeeping
 343 and protein quality control systems are impaired
 344 in AD. One of these is autophagy, which is a lyso-
 345 somal degradative process involving removal of
 346 toxic proteins and preventing protein aggregation.
 347 Recent studies have indicated beclin-1 to be
 348 involved in autophagy regulation and modulation
 349 of APP metabolism [56]. Beclin-1 expression was
 350 also seen to be reduced in the AD brain [57].
 351 Rapamycin is emerging as a potential neuropro-
 352 tective agent for AD and functions via enhance-
 353 ment of autophagy [58]. A recent study has shown
 354 that $A\beta(1-42)$ -induced beclin-1 expression was
 355 upregulated by rapamycin and that the beclin-
 356 1-dependent autophagy can prevent neuronal cell
 357 death before occurrence of AD in PC12 cells [59].
 358 Inhibition of beclin-1-dependent autophagy
 359 was shown to speed up neuronal cell death.
 360 Thus, beclin-1 dysfunction is associated with

AD. Therefore, autophagy failure is a potential factor leading to accumulation of toxic A β plaques and tau in AD.

2.2.6 Brain Inflammation

Chronic brain inflammation is associated with AD. Activated microglia and reactive astrocytes are seen in close proximity to neuritic A β plaques in the AD brain [60]. Studies have shown that complement system is activated in the AD brains [61, 62]. The microglia, astrocytes along with complement system components, cytokines and chemokines are involved in inflammatory responses against A β . Microglia are cells of CNS involved in the protection of the brain as first-line defence against any invading pathogen and pathological conditions. On the other hand, astrocytes provide trophic support to neurons and are involved in A β clearance [63]. In order to clear A β , the activated microglia and astrocytes secrete ROS, nitric oxide (NO) and proteolytic components which further increase APP production and proteolytic processing of APP, thus causing neuronal dysfunction [63]. β -site APP-cleaving enzyme 1 (BACE1), a membrane-bound aspartic proteinase, is primarily expressed by the neurons and is associated with the generation of A β peptides from APP owing to its β -secretase activity [64]. BACE1 expression has been observed in reactive astrocytes in the AD brains while resting astrocytes do not display BACE1 at detectable levels, thus showing that activation of astrocytes may lead to the development of AD [65]. Another study showed that the TF NF- κ B acts as a repressor in neuronal and nonactivated astrocytes, while NF- κ B acts as activator of BACE1 transcription in activated astrocytes and A β -exposed neuronal cells. Also, the presence of increased level of activated astrocytes with ageing is well demonstrated, which may lead to increased processing of BACE1 causing increased A β resulting into chronic inflammation and subsequently astrocyte activation ending up forming a feedback loop [66]. Thus, inflammation plays a major role in AD pathology.

2.2.7 Hormones

Levels of reproductive hormones change with advancing age and are considered as risk factors for AD. Studies have shown that an elevated level

of luteinising hormone (LH) increases the risk for developing AD [67]. Subsequently, using a transgenic mouse model of AD, it was shown that leuprolide {a gonadotropin-releasing hormone (GnRH) agonist} lowered serum LH levels, improved working memory and decreased A β deposition [68]. A study has also suggested that spatial memory impairment observed in postmenopausal women or female rats after ovariectomy is attributed to high LH levels [69]. Thus, reduction in LH levels may serve as potential therapeutics for AD. Also, in males there is a significant reduction in testosterone and elevation in LH levels with ageing. This is a potential risk factor for development of AD in males. A study showed that gonadectomized (GDX) mice had increased levels of A β , and the levels of A β were significantly lowered on treatment with testosterone [70]. This suggests potential of androgen therapy for treating AD in hypogonadal men.

2.2.8 Pathogens

Intracellular bacterial pathogen *Chlamydomphila* (*Chlamydia*) *pneumoniae* is a risk factor for AD. Studies have shown presence of *Chlamydia* in the brains of LOAD patients [71]. Also, the presence of herpes simplex virus type 1 (HSV1) in the brain of *APOE4- ϵ 4* carriers is a risk factor for development of AD [72]. In a recent finding, association between periodontal disease and AD was established by the presence of elevated serum antibodies against periodontal infection, caused by bacteria, in individuals who later developed AD as compared to serum antibody levels in control individuals [73]. The mechanisms leading to the pathogenesis of AD due to the presence of these pathogens in the brain need to be understood to a greater extent.

2.2.9 Metal Exposure

Exposure to metals has been linked to AD from a very long time. A recent study has shown that elevated magnesium (Mg²⁺) in the brain has a synapto-protective effect and improves cognition deficits by reducing A β plaques and stabilising BACE1 expression in a transgenic mouse model of AD [74]. An earlier study had shown that reduced Mg²⁺ levels are present in AD patients

[75]. This shows that restoring brain Mg^{2+} levels has a potential to treat AD. Elevated levels of aluminium (Al) are seen in the serum of AD patients [76]. In line with this study, recently it was shown that Al may mediate liver toxicity in AD patients and lead to free copper (Cu^{2+}) in serum, as seen in AD patients [77]. This study has put forward a likely mechanism showing that Al toxicity to liver leads to abnormal synthesis of ceruloplasmin and ATPase7B causing increase in Cu^{2+} levels in serum, and these free Cu^{2+} may cause accumulation of $A\beta$ and neuronal dysfunction associated with AD [77]. Thus, reducing Al levels and reviving normal liver function can be thought of as a treatment for AD. Another significant study has showed that drinking silicon (Si)-rich mineral water reduced Al levels, leading to the removal of Al via urine and thus improved cognition in AD patients [78]. Lead (Pb) exposure has also been linked to the pathogenesis of AD. Pb is shown to increase APP expression and change methylation patterns of *APP* gene, making it hypomethylated in PC12 cells [79]. Another study showed that Pb exposure facilitates $A\beta$ fibril formation and increases $A\beta$ deposition in a transgenic mouse brain [80]. Another evidence supporting the role of Pb in the pathogenesis of AD showed increased fibrillation of tau protein on exposure to Pb via interaction with His330 and His362, the His mutants of wild-type tau [81]. Inorganic Cu^{2+} , present in drinking water and Cu^{2+} supplements, has also been linked to AD and cognitive impairment in the elderly [82]. A recent study has demonstrated that exposure to iron (Fe^{2+}) leads to upregulation of a disintegrin and metalloproteinase 10 (ADAM10) and increased transcription levels of BACE1, and these were reported to be associated with increased expression of APP- α -CTF and APP- β -CTF, respectively, in PC12 cells, suggesting that Fe^{2+} induces enhanced expression of ADAM10/BACE1 leading to altered APP carboxyl-terminal processing [83]. Increased intracellular calcium (Ca^{2+}) levels brought about by oligomeric $A\beta$ are associated with impaired synaptic plasticity. A study pointed towards the synaptic loss of phosphorylated (active) Ca^{2+} /calmodulin-dependent protein kinase II- α [p(Thr286)CaMKII], a critical

enzyme mediating synaptic events, in the MCI and AD hippocampal regions. The loss of p(Thr286) CaMKII was also observed in mice hippocampal regions on treatment with oligomeric $A\beta$. This was shown to be prevented by inhibiting the phosphatase calcineurin (CaN). Thus, dysregulated Ca^{2+} signaling is associated with AD and MCI [84]. Altered Zinc (Zn^{2+}) homeostasis is implicated in AD. Higher concentrations of releasable Zn^{2+} are present in synaptic vesicles as compared to extracellular fractions in AD hippocampus [85]. In line with this, Bjorklund et al. also showed that in the case of non-demented with AD neuropathology (NDAN) individuals, $A\beta$ oligomers are absent in hippocampal postsynapses along with lower total Zn^{2+} levels, thus leading to intact cognitive function in NDAN individuals [86]. Excess exposure to Zn^{2+} leads to enhanced APP processing, $A\beta$ accumulation and memory impairment as seen in transgenic mice and SH-SY5Y human neuroblastoma cells overexpressing the Swedish mutant form of human *APP* (*APP^{sw}*). Thus, Zn^{2+} overload has a toxic role in AD pathogenesis [87].

2.2.10 Air Pollution

There is a possible association between air pollution and development of AD. Studies have shown that air pollution causes accumulation of $A\beta$ (1-42), increase in cyclooxygenase-2 (COX-2) expression and brain inflammation that cause neuronal dysfunction leading to pathological hallmarks that are associated with AD [88]. Damage to olfactory bulb, olfactory mucosa and frontal regions of the brain, as observed in AD brains, is associated with exposure to air pollution [89]. Ozone (O_3) is a powerful gaseous air pollutant and oxidising agent. It is shown that memory impairment, motor deficiency and lipid peroxidation are caused by exposure to different doses of O_3 in rats [90]. Dysregulation of inflammatory processes, progressive neurodegeneration, chronic loss of brain repair in the hippocampus and brain plasticity changes occurred in rats on exposure to low doses of O_3 [91]. Thus, air pollution is an important environmental hazard which may play a role in development of AD. Additional studies are needed at the

548 population level to clearly understand the role of
549 air pollution in pathophysiology of AD.

550 2.3 Amyloid Proteins (Oligomers 551 and Fibrils)

552 The A β protein is central to the amyloid cascade
553 hypothesis, the prevailing hypothesis for more
554 than 20 years, which explains the pathogenesis of
555 AD. Though it does not pinpoint towards a specific
556 A β species in the aetiology of AD, several
557 studies have implicated soluble oligomers of A β ,
558 rather than monomers or insoluble amyloid
559 fibrils, in causing neuronal dysfunction in AD
560 [2, 92, 93].

561 Abnormal processing of APP in the neurons
562 leads to generation and accumulation of A β . APP
563 is a type I, single-pass transmembrane protein with
564 large extracellular domains and belongs to a family
565 of proteins which include amyloid precursor-like
566 proteins (APLP1 and APLP2) in mammals
567 and the amyloid precursor protein-like (APPL) in
568 drosophila [94]. APP contains a 40- or 42-amino
569 acid sequence, i.e. A β (1-40) or A β (1-42), and 3
570 sites for cleavage by various proteinases, which
571 are designated as α , β and γ secretases [95]. APP is
572 expressed in all tissues ubiquitously and present
573 on the plasma membrane, majority of which localises
574 to endoplasmic reticulum (ER), Golgi apparatus
575 (GA) and mitochondria [96]. Two pathways
576 exist for processing of APP, viz. amyloidogenic
577 pathway and non-amyloidogenic pathway as illustrated
578 in Fig. 1. In the amyloidogenic pathway, the
579 activity of β -secretase (also known as BACE1) at
580 the beginning of A β domain of APP results into a
581 soluble N-terminal fragment (sAPP β) and an
582 amyloidogenic C-terminal fragment of 99 amino
583 acids (CTF99). Further γ -secretase cleaves this
584 C-terminal fragment to mainly A β (1-40) and
585 A β (1-42), which may accumulate in the mitochondria
586 and other cellular compartments affecting the
587 cellular functions and leading to mitochondrial
588 dysfunction and hyperphosphorylation of tau.
589 Non-amyloidogenic pathway is the major APP
590 processing pathway in which the activity of
591 α -secretase generates a large soluble N-terminal
592 fragment (sAPP β) and a non-amyloidogenic

593 C-terminal fragment of 83 residues (CTF83)
594 owing to the cleavage within the A β domain. This
595 C-terminal fragment is then cleaved by γ -secretase
596 resulting into non-amyloidogenic peptide (P3) and
597 APP intracellular domain (AICD) which are non-
598 toxic and degraded rapidly and occurs in majority
599 of individuals including non-demented and healthy
600 individuals [97]. In case of early-onset AD, mutations
601 in APP, PS1 and PS2 are known to activate
602 β - and γ -secretases leading to generation of A β ,
603 but in case of sporadic AD, it is proposed that oxidative
604 stress activates β -secretase which increases
605 the production of A β [97]. Although the mechanism
606 of APP trafficking is not known, APP is
607 said to be axonally transported, endocytosed and
608 sorted to different compartments of the cell, thus
609 leading to A β generation and accumulation in
610 different cellular compartments thus, impairing
611 normal cellular function. A recent study pointed
612 towards the role of huntingtin-associated protein
613 1 in regulating APP trafficking to the non-amyloidogenic
614 pathway resulting into reduced A β levels [98]. As
615 excessive BACE1 expression and APP processing
616 can lead to uncontrolled production of A β , different
617 regulatory mechanisms are present [99, 100]. Apart
618 from the transcriptional control, a complex network
619 of neurotransmitter systems and translational
620 regulation is present. Amongst the different systems,
621 viz. glutamatergic, adrenergic, serotonergic,
622 cholinergic and dopaminergic systems, cholinergic
623 system is known to be affected in the early stages
624 of AD. A study by [99] showed that downregulation
625 of M2 acetylcholine receptor in the brains of AD
626 patients affects a number of AD-relevant genes
627 including BACE1 [99].

628 In the amyloidogenic pathway, different
629 lengths of A β are produced ranging from 37 to 43
630 amino acids. A β (1-40) is the most dominant species
631 produced from the processing of APP. In comparison
632 to A β (1-40), only a small amount of A β (1-42) is
633 produced in the human brain in the ratio of
634 approximately 99 to 1. But A β (1-42) is the main
635 component of the amyloid deposits associated with
636 AD and has a tendency to form aggregates
637 spontaneously and, thus, may form oligomers.
638 Therefore, A β (1-42) is considered more neurotoxic
639 than A β (1-40) [101]. While both A β (1-40) and
640 A β (1-42) are capable of forming

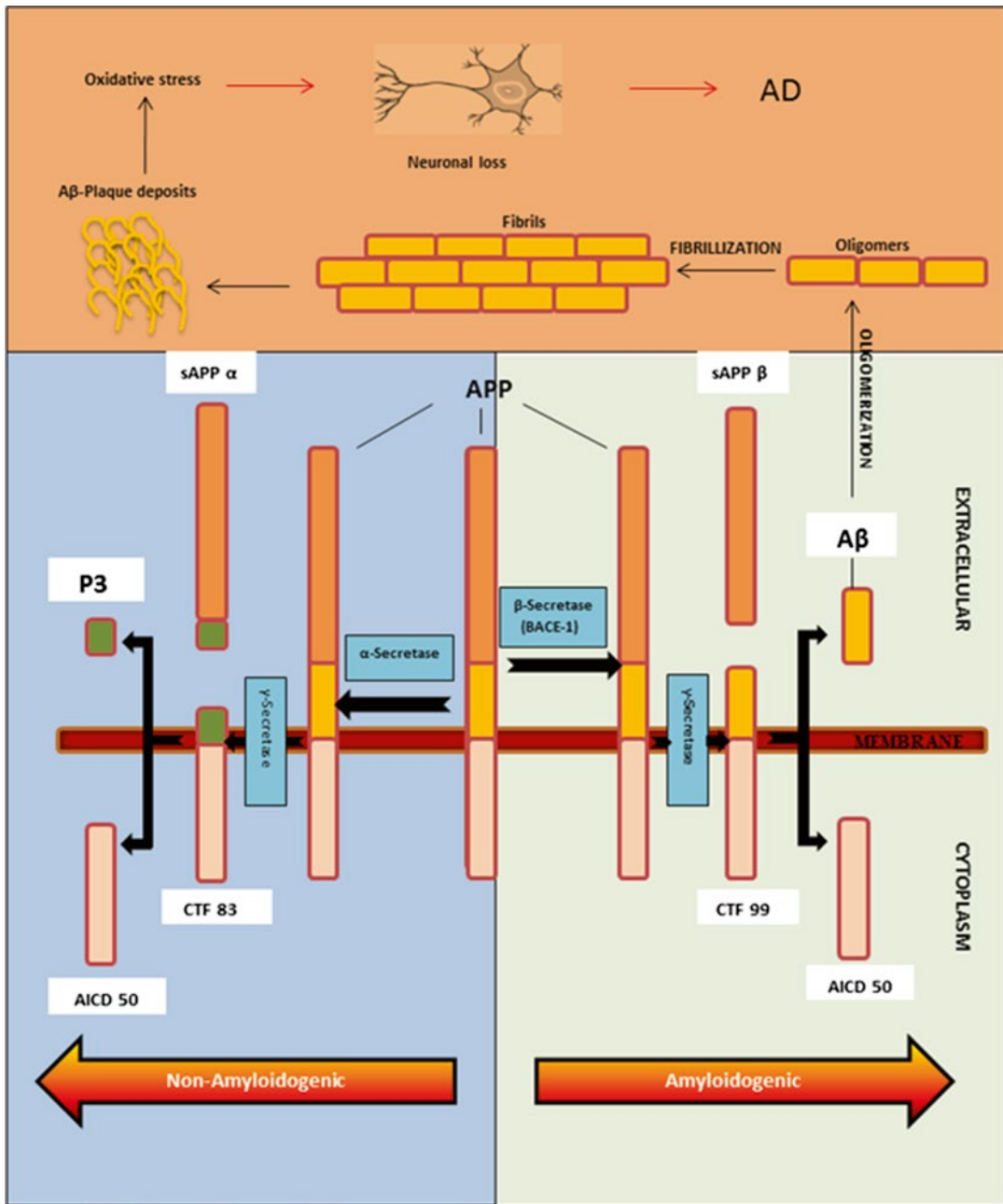


Fig. 1 APP processing leads to the generation of cleavage products via the amyloidogenic and non-amyloidogenic pathways. Both oligomerisation and aggregation of Aβ lead to the formation of senile plaques, a hallmark of AD phenotype. APP processing occurs via two pathways: amyloidogenic and non-amyloidogenic pathway. In the amyloidogenic pathway, β-secretase cleaves APP at the beginning of Aβ domain generating a soluble N-terminus fragment (*sAPPβ*) and amyloidogenic C-terminal fragment of 99 residues (*CTF 99*). Next, γ-secretase acts on CTF 99 and generates Aβ and APP intracellular domain (*AICD 50*). While in the α-secretase-based non-amyloidogenic pathway, α-secretase cleaves within the Aβ domain of APP and generates a soluble

N-terminal fragment (*sAPPα*) and a non-amyloidogenic C-terminal fragment of 83 amino acid residues (*CTF 83*). This C-terminal fragment, i.e. CTF 83, is further cleaved by γ-secretase and generates a non-amyloidogenic peptide (*P3*) and APP intracellular domain (*AICD 50*). The amyloidogenic pathway, which generates the toxic Aβ, occurs in 10 % of individuals who have chances of developing AD in the later life. The generated Aβ(1-40) or Aβ(1-42) may further undergo different conformations including oligomerisation resulting into generation of oligomers which are considered to be the most toxic species of Aβ implicated in AD. Further fibrillisation may take place leading to fibril formation and deposition in the neurons, a characteristic feature of AD

641 amyloid fibrils, A β (1-42) is said to make the
642 fibrils much faster than A β (1-40). Using ion-
643 mobility spectrometry, it was shown that when
644 A β (1-40) and A β (1-42) are present together in a
645 solution, the A β (1-40) and A β (1-42) monomers
646 form dimers, trimers and tetramers. But, when
647 present alone, A β (1-42) tends to form pentamers
648 and hexamers (paranuclei) which on self-
649 association form dodecamers, protofibrils and
650 fibrils. A β (1-40) alone produces oligomer distri-
651 bution up to tetramer level only. This pointed out
652 that when present together, A β (1-40) inhibits the
653 oligomerisation of A β (1-42) and inhibits protofi-
654 bril and fibril formation by A β (1-42). Thus, as
655 A β (1-40) is the predominant species present in
656 the human brain in ~10 times the level of A β (1-
657 42) in a healthy human brain, the former inhibits
658 the oligomerisation of A β (1-42) and prevents the
659 development of AD [102]. Studies have shown
660 that mutations in *APP*, *PS1* and *PS2* may lead to
661 enhanced accumulation of the more toxic A β (1-
662 42) species [101, 103–105].

663 It is reported that some NDAN individuals
664 have significant amounts of amyloid plaques
665 without displaying cognitive decline. A study
666 pointed towards a resistance mechanism contrib-
667 uting towards the maintenance of cognitive func-
668 tion in these individuals. This study showed that
669 oligomeric A β is absent in hippocampal postsyn-
670 apses in NDAN brains. In addition, normal levels
671 of phosphorylated (active) CREB, a transcription
672 factor important for synaptic plasticity, are pres-
673 ent in NDAN individuals advocating for the nor-
674 mal functionality of synapses in these in these
675 individuals [86]. A number of studies have indi-
676 cated that amyloid plaque formation does not
677 correlate with AD pathogenesis [106]. Studies
678 have also shown that deleterious changes associ-
679 ated with AD occur very early before the accu-
680 mulation of amyloid plaques [107]. Accumulating
681 evidences reveal that oligomers, the soluble form
682 of A β , are the more toxic species and associated
683 with cognitive decline in AD, rather than the
684 insoluble fibrillar deposits [108]. Further, differ-
685 ent approaches have been employed which have
686 supported the above findings and implicated sol-
687 uble A β in AD. Hippocampal long-term potentia-
688 tion (LTP) is one such approach, which is

689 correlated with learning and memory, and is
690 shown to be inhibited by synthetic and naturally
691 secreted human A β oligomers. Behavioural stud-
692 ies performed on living wild-type rats showed
693 that rats developed learning and memory defi-
694 ciency after human oligomers were infused in the
695 hippocampus, which were readily observed in
696 morris water maze models [101]. Recently, a pos-
697 sible role of cellular prion protein (PrPc) acting
698 as a neuronal receptor for oligomeric A β was
699 speculated. It was shown that there was no inhibi-
700 tion of LTP after treatment with oligomeric A β in
701 mice lacking PrPc, thus pointing towards the role
702 of PrPc in A β neurotoxicity [109].

703 Oligomeric A β (1-42) is also demonstrated to
704 be involved in inducing toxicity in cholinergic
705 neurons leading to cholinergic dysfunction and
706 progressive basal forebrain cell loss, assumed to
707 be an early event in the pathogenesis of
708 AD. Heinitz et al. showed that on treating SN56.
709 B5.G4 cells with oligomeric A β (1-42), many
710 genes of the ER and GA involved in protein mod-
711 ification and degradation were affected. This
712 indicated a possible role of ER-mediated stress in
713 oligomeric A β (1-42) toxicity in cholinergic neu-
714 rons and leading to cholinergic dysfunction in
715 AD [110]. In line with this, another study by
716 Joerchel et al. showed that SN56.B5.G4 cells
717 when treated with oligomeric A β (1-42) affects
718 the expression of a number of proteins, viz. calre-
719 tulin, MAPK kinase 6c, γ -actin, Rho-GDP dis-
720 sociation inhibitor (Rho-GDI), ubiquitin
721 carboxyl-terminal hydrolase-1 (UCHL-1) and
722 α 6-tubulin, which are known to be affected in
723 the brains of AD patients, thus pointing towards
724 the role of A β in affecting the integrity of the pro-
725 tome in AD [111].

726 The amyloid cascade hypothesis has reached
727 another level of complexity with recent studies
728 revealing the appearance of multiple types of A β
729 oligomers and their role in the pathogenesis of
730 AD [2, 112, 113]. Various studies have recog-
731 nised four endogenously produced A β oligo-
732 meric assemblies, viz. dimers, trimers, A β *56
733 and APFs (annular protofibrils), which may
734 alter neuronal function in human and transgenic
735 mice and have different consequences on neuro-
736 nal survival [114]. In case of AD, soluble A β

737 monomers may form higher-order assemblies
738 ranging from low-molecular weight oligomers
739 (dimers and trimers) to $A\beta^{*56}$, then to APFs and
740 fibrils, which are the primary components of
741 amyloid plaques, characteristic of AD.

742 Taken together, recent studies provide the evi-
743 dence that $A\beta$ oligomers are the more toxic spe-
744 cies than insoluble fibrillar deposits relevant to
745 AD pathology (Fig. 1). Also, soluble monomers
746 can form higher-order assemblies finally leading
747 to fibril formation which is the characteristic
748 component of amyloid plaques. Thus, develop-
749 ment of antibodies against specific oligomeric
750 species can be an effective approach for
751 treating AD.

752 2.4 Role of Mitochondria in AD 753 Pathology

754 The 'Mitochondrial cascade hypothesis' was
755 formulated in 2004, which provides an explana-
756 tion of the aetiology of sporadic AD [115].
757 According to the 'mitochondrial cascade
758 hypothesis', ageing and sporadic AD are two
759 convergent events, and the etiological factors
760 for autosomal dominant and sporadic AD are
761 not the same. Also, mitochondrial dysfunction
762 has been viewed as the common element
763 between autosomal dominant and sporadic AD
764 forms. In addition to sporadic AD, this hypo-
765 thesis also predicts the aetiology of autosomal
766 dominant AD forms. In case of autosomal domi-
767 nant forms, it points out that excessive $A\beta$
768 causes mitochondrial dysfunction and this
769 $A\beta$ -induced dysfunction further initiates histo-
770 pathologies associated with AD. For sporadic
771 AD cases, it is believed that age-related mito-
772 chondrial changes cause mitochondrial dys-
773 function and activate downstream cellular
774 changes as observed in sporadic AD which
775 include processing of APP to $A\beta$, tau phosphor-
776 ylation, synaptic loss and finally neurodegenera-
777 tion [6]. Thus, mitochondrial cascade believes
778 $A\beta$ accumulation as a downstream event in the
779 cascade.

780 Mounting evidences are present which point to
781 an association between ageing and mitochondrial

782 dysfunction. An earlier study pointed out that
783 somatic mutations in mtDNA can lead to prema-
784 ture onset of ageing phenotypes [116]. But it is
785 not known whether the decline in mitochondrial
786 function is a cause or outcome of ageing.

787 Of particular interest, a large number of evi-
788 dences from studies involving experimental mod-
789 els and human samples suggest an association of
790 APP and $A\beta$ with the mitochondria. In particular,
791 a study involving a mouse model showed that $A\beta$,
792 particularly $A\beta$ (1-42), accumulates in the mito-
793 chondria in the presence of a mutant APP gene
794 and there is a decline in the activity of respiratory
795 complexes III and IV. Also, $A\beta$ was shown to
796 accumulate in the mitochondria very early before
797 the extensive extracellular deposition takes place
798 [117]. These point towards the involvement of
799 the mitochondria in the pathogenesis of AD
800 (Fig. 2).

801 In addition, it is reported that age-related
802 accumulation of somatic mutations in mtDNA
803 causes an increase in ROS production in the
804 mitochondria [118, 119]. It is known that oxida-
805 tive stress induces an increased expression of
806 BACE1 [120, 121]. Thus, it is speculated that
807 with advanced age, an increase in ROS levels
808 occurs which increases $A\beta$ levels owing to
809 increased processing of APP by BACE1. Also,
810 this generated $A\beta$ induces an increased produc-
811 tion of free radicals (ROS and RNS), causing
812 decline in mitochondrial function. Thus, a feed-
813 back loop exists in which age-related ROS levels
814 cause increased production of $A\beta$, and this $A\beta$
815 further leads to increased production of ROS,
816 resulting in memory impairment and cognitive
817 decline associated with AD [36].

818 Several evidences point out that $A\beta$ interacts
819 with mitochondrial proteins and increase ROS
820 levels, finally leading to synaptic damage.
821 Mounting evidences show that $A\beta$, in its soluble
822 oligomeric form, disrupts the axonal mitochon-
823 drial transport and disturbs the mitochondrial fis-
824 sion-fusion balance [122, 123]. Mitochondrial
825 trafficking/transport is a phenomenon in which
826 synaptic mitochondria, synthesised in the cell
827 body, are transported down the axon/dendrite to
828 areas of high energy demand, thus serving cellular
829 energy demands [97]. During the transport, the

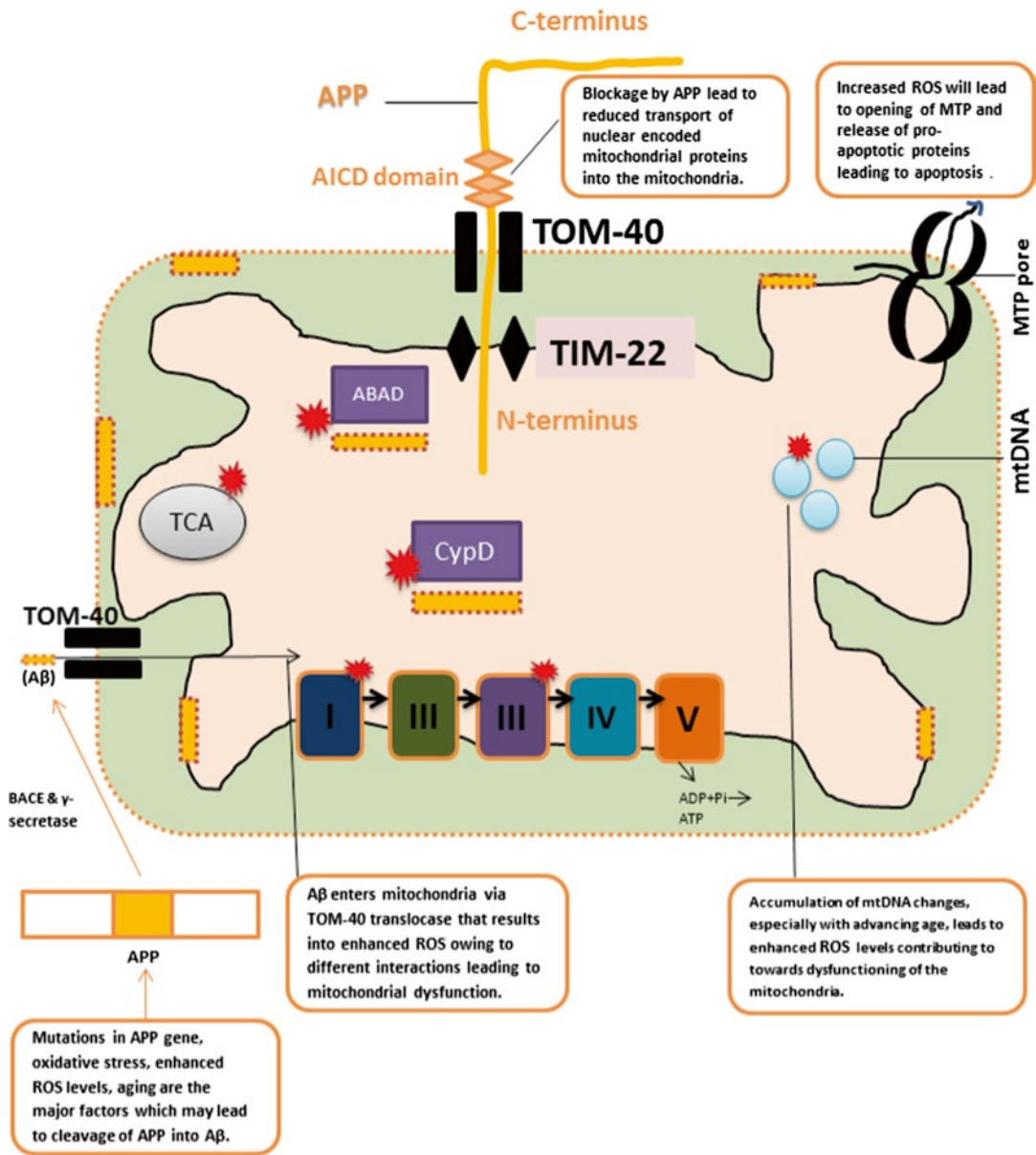


Fig. 2 Aβ induces mitochondrial dysfunction that results in neurodegeneration and AD. A mitochondrion is surrounded by two lipid membranes: outer membrane and inner membrane. The outer membrane is porous, while the inner membrane restricts ionic flow and harbours the electron transport chain (ETC). ETC is composed of complexes I–V and is responsible for the generation of mitochondrial ATP via oxidative phosphorylation. Electron leaks in complexes I and III are responsible for the generation of free radicals in the mitochondria. Components of tricarboxylic acid cycle (TCA), viz. α-ketoglutarate dehydrogenase and beta oxidation, are present in the mitochondrial matrix and generates superoxide radicals. These generated radicals thus cause lipid peroxidation and protein and DNA oxidation. In case of early-onset AD, genetic mutations in *APP*, *PS I* and *PS II* activate beta- and gamma-secretase and lead to increased processing of APP to Aβ. This Aβ is found to accumulate in the outer, inner mitochondrial membrane and the matrix. When associated

with the outer membrane, it causes blockage of the entry of nuclear-encoded mitochondrial proteins. On being localised to inner membrane, it directly induces free radical production, decreases cytochrome oxidase activity, interferes with complex activities and impairs ATP production. Once inside, Aβ interacts with mitochondrial matrix proteins (ABAD and cyclophilin D) which increases the oxidative stress and worsens the mitochondrial damage. In case of late-onset AD, ageing is one of the major factors contributing to increased free radical production owing to mutations in mtDNA. APP is also said to be localised to mitochondrial outer membrane and forms complexes with the translocases of outer (TOM) and inner membrane (TIM). But the importation of C-terminus of APP is blocked due to the AICD domain of APP and thus blocks the mitochondrial pores. This prevents the entry of nuclear-encoded mitochondrial proteins causing impairment of mitochondrial enzyme activities, increased oxidative stress, neuronal damage and cognitive decline

830 mitochondria can encounter each other, leading to
831 fusion (aided by fusion proteins) and subsequently
832 resulting in exchange of mitochondrial content.
833 This is necessary to maintain genome stability as
834 it allows exchange of highly pathogenic mtDNA
835 and helps to maintain mitochondrial function
836 [124]. Mitochondrial fission plays an important
837 role in apoptosis. Unbalanced fission and fusion
838 results in disruption of mitochondrial dynamics,
839 causing mitochondrial fragmentation and contrib-
840 uting to mitochondrial dysfunction [125], which
841 has been speculated to be the underlying
842 mechanism(s) causing synaptic degeneration in
843 AD. Recently, it was shown that both monomers
844 and oligomers of A β interact with mitochondrial
845 fission protein, dynamin-related protein 1 (Drp1)
846 and may be involved in abnormal mitochondrial
847 dynamics causing mitochondrial fragmentation
848 leading to neuronal dysfunction in AD. Also,
849 expression levels of mitochondrial fission
850 genes *Drp1* and *Fis1* (Fission 1) were increased
851 and those of mitochondrial fusion genes *Mfn1*
852 (mitofusin 1), *Mfn2* (mitofusin 2), *Opa1*
853 (optic atrophy 1) and *Tom40* were decreased in
854 AD patients [37]. This study also provided evi-
855 dence that mitochondrial fragmentation may be
856 initiated by interaction of A β with Drp1 causing
857 abnormal mitochondrial dynamics, which
858 increases as AD progresses. Thus, targeting these
859 abnormal interactions can serve to minimise the
860 neuronal damage caused by AD.

861 It has been shown that interaction of A β with
862 A β -binding alcohol dehydrogenase (ABAD) in
863 the mitochondria causes enhanced ROS produc-
864 tion and apoptosis in AD patients and transgenic
865 mice [126]. Some recent studies have implicated
866 mitochondrial permeability transition pore
867 (mPTP) formation in A β -mediated mitochondrial
868 dysfunction [127]. The mPTP consists of
869 cyclophilin D (CypD) in the mitochondrial matrix,
870 voltage-dependent anion channel (VDAC) in outer
871 mitochondrial membrane and adenine nucleotide
872 translocase (ANT) in inner mitochondrial mem-
873 brane. CypD has a role in opening of mPTP by
874 binding with ANT and VDAC after its release
875 from the matrix [38]. In relation to VDAC1, a
876 recent study has shown that interaction of A β and
877 phosphorylated tau with VDAC1 blocks mito-
878 chondrial pore leading to mitochondrial dysfunc-
879 tion in AD patients and transgenic APP mice [12].

880 Another mitochondrial protein CypD is shown to
881 enhance mitochondrial and neuronal stress by
882 interacting with A β . This interaction promotes
883 ROS which leads to recruitment of CypD to the
884 inner mitochondrial membrane and results in
885 opening of mPTP causing cell death [128]. In
886 addition, this study showed that CypD deficiency
887 improved learning and memory in a mouse model
888 of AD. A recent study has provided a new insight
889 into the role of CypD in the disruption of axonal
890 mitochondrial transport [38]. It was shown that
891 depletion of CypD protects the A β -induced axonal
892 mitochondrial transport damage and improves
893 mitochondrial motility and dynamics. An increase
894 in axonal mitochondrial density and bidirectional
895 transport of the axonal mitochondria was observed
896 on CypD depletion. CypD in the presence of A β
897 promoted the opening of mPTP and, thus, dis-
898 rupted Ca²⁺ homeostasis and increased accumula-
899 tion of ROS, further activating P38 MAPK signal
900 transduction pathway, causing synaptic injury. It
901 was also demonstrated that CypD-mediated mPTP
902 blockade improved synaptic function against A β
903 toxicity using CypD-deficient mice. This study
904 suggests a relation between CypD-mediated dis-
905 ruption of axonal mitochondrial trafficking and
906 A β -induced mitochondrial dysfunction leading to
907 synaptic injury, thus speculates a mechanism of
908 mitochondrial dysfunction involved in the patho-
909 genesis of AD (Fig. 2).

910 Another study has shown that age-related
911 accumulation of A β occurs to a larger extent in
912 the synaptic mitochondria as compared to the
913 non-synaptic mitochondria and this accumula-
914 tion in the synaptic mitochondria occurs very
915 early as compared to its accumulation in the non-
916 synaptic mitochondria. This led to altered mito-
917 chondrial transport in murine primary axons
918 [129]. Thus, it can be ascertained that the synap-
919 tic mitochondria are more probable targets of
920 A β -induced oxidative stress. Sirtuin 3 (SIRT3), a
921 deacetylase has an essential role in maintaining
922 mitochondrial function. Studies have highlighted
923 its neuroprotective role and its role in energy
924 homeostasis by maintaining basal ATP levels for
925 survival of the cells [130, 131]. A recent study
926 has shown upregulation of SIRT3 in relation to
927 A β -accumulation in AD patients and transgenic
928 AD mouse model. Also, it was shown that mito-
929 chondrial ROS levels regulated SIRT3 expression

[132]. This speculates that upregulation of SIRT3, seen in AD patients and mouse model, may be due to A β -induced mitochondrial oxidative stress. This suggests that in response to A β -induced oxidative stress in the mitochondria, upregulation of SIRT3 occurs to promote neuronal survival.

Recently, the RanBP9-cofilin pathway has been implicated in AD when it was shown that RanBP9, a scaffolding protein, generates A β and promotes A β -induced neurotoxicity along with activating cofilin, having a key role in regulating actin dynamics and mitochondria-mediated apoptosis in a mouse model of AD pathology [133]. Also, suppression of A β and RanBP9-induced apoptosis by siRNA knockdown of cofilin confirmed the role of RanBP9-cofilin pathway in AD and can be the probable therapeutic target for lowering A β -induced neurotoxicity.

A study by Kopeikina et al. showed that soluble tau species are more toxic than tau aggregates and cause mitochondrial distribution deficiencies in a mouse model of tauopathy and in the human AD brains, possibly due to axonal transport deficiencies resulting in mitochondrial and neuronal dysfunction [134]. This suggests that soluble tau like the oligomeric A β are more toxic than aggregated form and involved in neuronal dysfunction associated with AD.

Poly (ADP-ribose) polymerase-1 (PARP-1), a predominantly nuclear enzyme responsible for genome stability and transcriptional regulation, is thought to be involved in the pathogenesis of AD. Activation of PARP-1 by oxidative stress (induced by A β) is believed to be an early event in the pathogenesis of AD. Enhancement of PARP-1 activity and accumulation of PAR is observed in the brains of AD patients [135]. A recent study has established the role of PARP-1 in microglial activation by its interaction with NF- κ B [136]. Recently, the mitochondrial localisation of PARP-1 and its interaction with mitochondrial protein, mitofilin, has been established [137]. It was shown that in the absence of PARP-1, there is an accumulation of mtDNA damage, suggesting that mitochondrial PARP-1 has a role in mtDNA damage repair/signaling. Overexpression of PARP-1, Bax and p53 and altered mitochondrial function in the presence

of oxidative stress induced by A β has also been shown recently [138]. Additional studies are needed to ascertain the role of PARP-1 and mitochondrial dysfunction in AD pathogenesis.

Taken together, these studies suggest that mitochondrial dysfunction is associated with ageing and AD. Along with A β , age-related accumulation of somatic mutations in mtDNA increases mitochondrial ROS levels leading to a decline in mitochondrial function. Recent studies have pointed out the role of oligomeric A β in the pathogenesis of AD. Oligomeric A β and APP are said to localise to mitochondria, mainly synaptic mitochondria, interact with mitochondrial proteins and disrupt axonal mitochondrial trafficking, causing synaptic injury and cognitive impairment. In relation to this, the use of mitochondria-targeted antioxidants can be seen as important approaches to treat AD.

3 Apurinic/Apyrimidinic Endonuclease (APE1): An Emerging Neuroprotective Enzyme

There are many external and internal agents, which bring human genome under stress and finally bring modification in the genomic stability. These threats are mainly produced internally from mitochondrial electron transport chain (ETC) or externally by different biological, chemical and physical agents like ultraviolet (UV) rays, ionising radiation (IR), chemotherapeutic agents, pollutants and heavy metals [139]. ROS attacks DNA readily and generates a variety of DNA base lesions [140]. DNA damage is a continuous process and $\sim 10^4$ DNA lesions are estimated to be produced in a mammalian genome each day as a result of spontaneous decay, errors in replication and cellular metabolism. To maintain genomic integrity, a cell has an internal regulatory mechanism which maintains DNA damage and repair in a balanced condition. There are two pathways by which cells maintain genome integrity: (i) antioxidants which quench the ROS/RNS, nonenzymatic antioxidants (e.g. α -tocopherol, β -carotene,

1023 lycopene and ascorbic acid) and enzymatic
 1024 antioxidants (e.g. superoxide dismutase (SOD),
 1025 glutathione (GSH), peroxidases and catalase)
 1026 and (ii) DNA repair by different processes. The
 1027 DNA repair system comprises of base excision
 1028 repair (BER), transcription-coupled repair
 1029 (TCR), global genome repair (GGR), mismatch
 1030 repair (MMR), homologous recombination
 1031 (HR) and nonhomologous end joining [NHEJ]
 1032 [141].

1033 In mammals and higher organisms, different
 1034 organs consist of various cell types; some of
 1035 them are dividing while others are nondividing.
 1036 In adults, cell types such as myocytes, adipo-
 1037 cytes, skin cells and neurons are nondividing
 1038 cells, i.e. terminally differentiated [142, 143].
 1039 BER is the major pathway for oxidative DNA
 1040 base damage caused by ROS/RNS as well as for
 1041 abasic (AP) sites and single-strand breaks
 1042 (SSBs). Apurinic/aprimidinic endonuclease
 1043 (APE1) is a primary BER enzyme and responsi-
 1044 ble for repair and removal of AP sites and strand
 1045 breaks [16, 17, 144].

1046 Human *APE gene* (~3 kb in size) is localised
 1047 on chromosome 14q11.2-12 and consists of four
 1048 introns and five exons [145]. The human *APE*
 1049 *cDNA* is about 1.4 kb in length and encompasses
 1050 a coding region of 954 nucleotides and encodes a
 1051 protein comprising of 318 amino acids. APE1 is
 1052 abundant (~10⁵ copies per cell) in eukaryotic
 1053 cells and has a relatively long half-life [~8 h]
 1054 [146]. APE1 is a dual function protein. Its
 1055 C-terminus displays repair activity and its
 1056 N-terminal contains a bipartite nuclear localisa-
 1057 tion signal, NLS [18, 147, 148] and displays
 1058 redox activity responsible for transcriptional regu-
 1059 lation through redox-based mechanisms [18,
 1060 149, 150].

1061 **3.1 Role of APE1 in Oxidative DNA** 1062 **Damage Repair**

1063 The ROS-induced damage to the DNA is impli-
 1064 cated in a number of human diseases including
 1065 neurodegenerative diseases like AD, PD, HD
 1066 and cancers [16, 17, 151]. It is thus very impor-
 1067 tant to repair the ROS-induced DNA damage in

order to maintain the genomic integrity. BER, 1068
 an evolutionarily conserved process, is respon- 1069
 sible for repairing most endogenous lesions like 1070
 oxidised bases, AP sites and SSBs in both 1071
 nuclear DNA and mitochondrial DNA. The 1072
 basic BER pathway involves enzymes, viz. 1073
 DNA glycosylase, APE1, DNA polymerase and 1074
 DNA ligase. APE1 is involved in the repair of 1075
 oxidised base lesions generated in the DNA as a 1076
 result of oxidative damage. Attempts to generate 1077
 APE1-null mice were not successful and lead to 1078
 an early embryonic death [152, 153]. Further 1079
 attempts to generate cell lines from APE1-null 1080
 embryos failed, showing the essentiality of 1081
 APE1 in maintaining cell viability. A study 1082
 pointing towards the role of APE1 in neuronal 1083
 cell survival showed that overexpression of 1084
 APE1 in hippocampal and sensory cells exposed 1085
 to H₂O₂ lead to an increase in cell viability 1086
 [154]. Upregulation of APE1 in cerebral cortical 1087
 region of AD patients was also seen [155]. An 1088
 immunohistochemical study pointing towards 1089
 the role of APE1/Ref-1 in regulating cellular 1090
 response towards oxidative stress showed that 1091
 increased nuclear expression of APE1/Ref-1 is 1092
 present in cerebral cortical regions of AD 1093
 patients [20]. Another study showed the colo- 1094
 calisation of APE1/Ref-1 with Aβ in the senile 1095
 plaques in AD hippocampus [156]. This study 1096
 also showed that varying concentrations of 1097
 Aβ(1-42) regulates APE1/Ref-1 expression, 1098
 thus pointing towards the neuroprotective role 1099
 of APE1/Ref-1 in response to oxidative stress. A 1100
 number of evidences point towards the role of 1101
 cyclin-dependent kinase 5 (Cdk5) in mediating 1102
 neuronal loss. In line with this, it was shown 1103
 that Cdk5 complexes with p35 and phosphory- 1104
 lates APE1 at Thr232, causing reduction in 1105
 APE1's endonuclease activity and leading to 1106
 accumulation of DNA damage and neuronal 1107
 loss [157]. It can be interpreted that APE1 has a 1108
 major role in overcoming the oxidative stress 1109
 and maintaining neuronal cell viability and 1110
 integrity. 1111

1112 **3.1.1 Nuclear BER Pathway**

1113 A number of DNA repair pathways operate in the
 1114 nucleus. Amongst them, BER pathway is the most

1115 versatile repair pathway operating in the nucleus
 1116 in response to oxidative damage for repairing
 1117 alkylated and oxidised DNA lesions, AP sites and
 1118 SSBs. Two models of BER are present: short-
 1119 patch BER (SN-BER) and long-patch BER
 1120 (LP-BER). SN-BER involves removal of a DNA
 1121 lesion and incorporation of a single nucleotide,
 1122 while a patch size of 2–8 nucleotides is associated
 1123 with LP-BER [17, 144, 158]. The choice of the
 1124 pathway depends on factors like type of lesions,
 1125 AP sites and nature of 5' terminus. The first step
 1126 of the BER pathway is recognition and excision of
 1127 a damaged base by DNA glycosylase. Two types
 1128 of DNA glycosylases are present: monofunctional
 1129 and bifunctional. Monofunctional DNA glycosyl-
 [AU5]30 ases (M-DG) include thymine DNA glycosylase
 1131 (TDG), uracil-DNA glycosylase 1 (UDG1) and
 1132 MutY homolog (MUTYH) and excise the sub-
 1133 strate base, e.g. alkylated bases and uracil, gener-
 1134 ating an AP site which is later processed by APE1.
 1135 Bifunctional DNA glycosylases (B-DG) which
 1136 include 8-oxoguanine DNA glycosylase (OGG1)
 1137 and *Nei*-like-1 (NEIL1), *Nei*-like-2 (NEIL2) and
 1138 endonuclease III-like 1 (NTH1) have an addi-
 1139 tional lyase activity specific for oxidised bases
 1140 and incise the DNA backbone 3' to the AP site via
 1141 β or β,γ elimination [144, 159–161]. The second
 1142 step of BER involves processing of the generated
 1143 AP site by APE1 that generates a nick containing
 1144 a 3'OH residue and dRP at 5' end, by cleaving the
 1145 phosphodiester bond 5' to the AP site. During the
 1146 third step of the BER pathway, repair of the AP
 1147 site is catalysed by polymerase (pol) β . If an unal-
 1148 tered group in deoxyribose is present, then pol β
 1149 owing to its dRP lyase activity can carry
 1150 SN-BER. The LP-BER occurs when AP sites are
 1151 further oxidised by ROS and pol β cannot remove
 1152 the 5' blocking groups. 5' flap-endonuclease-1
 1153 (FEN1), part of DNA replication machinery, is
 1154 shown to displace and cleave this 5' blocking
 1155 group along with 4–6 nucleotides as a single-
 1156 stranded DNA flap. PCNA also has a role to play
 1157 by acting as a sliding clamp in LP-BER. The last
 1158 step of BER involves nick sealing by DNA ligase
 1159 which in case of LP-BER involves DNA pol ϵ/δ
 1160 and DNA ligase I, and in case of SN-BER involves
 1161 DNA ligase III α /XRCC1 complex [144, 160].
 1162 PARP-1 is known to modulate the capacity of

1163 BER and efficiently recognise and repair SSBs,
 1164 thus acts as a DNA damage sensor and signal
 1165 transducer [17, 162]. It was shown that A β is
 1166 involved in the activation of PARP-1 through NO
 1167 cascade in adult hippocampus [163]. Another pro-
 1168 tein XRCC1 also acts a SSB sensor protein and
 1169 acts as a scaffold for BER proteins for SSB repair
 1170 [144]. XRCC1 also interacts and stimulates APE1
 1171 [164]. Thus, it can be said that BER pathway is
 1172 indeed a versatile pathway involved in maintaining
 1173 genome integrity and involving a number of
 1174 enzymes and interactions.

3.1.2 Mitochondrial BER Pathway 1175

1176 It is well known that the mitochondria have a
 1177 role in the ageing process. Mitochondrial DNA
 1178 (mtDNA) is more prone to oxidative DNA dam-
 1179 age due to its close proximity to the site of ATP
 1180 production in the inner mitochondrial membrane
 1181 [165]. Thus, DNA damage repair in the mito-
 1182 chondria appears to be very important for main-
 1183 taining proper functioning of the cell, especially
 1184 during ageing. Various studies have shown that
 1185 DNA repair actively takes place in the mitochon-
 1186 dria, which was earlier thought to be present
 1187 only in the nucleus. Recent studies have identi-
 1188 fied new DNA repair enzymes that participate in
 1189 the DNA repair pathways operating in the mito-
 1190 chondria. Amongst the different repair pathways,
 1191 BER is considered to be the major DNA repair
 1192 pathway taking place in the mitochondria
 1193 (Fig. 3). BER in the mitochondria helps to cope
 1194 up with the oxidised DNA lesions generated due
 1195 to the presence of free radicals and thus main-
 1196 tains mtDNA stability. The basic mechanism by
 1197 which mitochondrial BER acts remains the same
 1198 as the nuclear BER, but some specific BER
 1199 enzymes are present in the mitochondria and
 1200 these are coded by nuclear genes [166]. For a
 1201 very long time, it was considered that only
 1202 SN-BER occurs in the mitochondria which
 1203 include removal of a DNA lesion and incorpora-
 1204 tion of a single nucleotide. But now it is believed
 1205 that owing to the rate at which oxidised base
 1206 lesions are generated in mtDNA, LP-BER may
 1207 also take place in the mitochondria [17, 160,
 1208 167, 168]. The first step of the mtBER pathway
 1209 involving recognition of a damaged base is

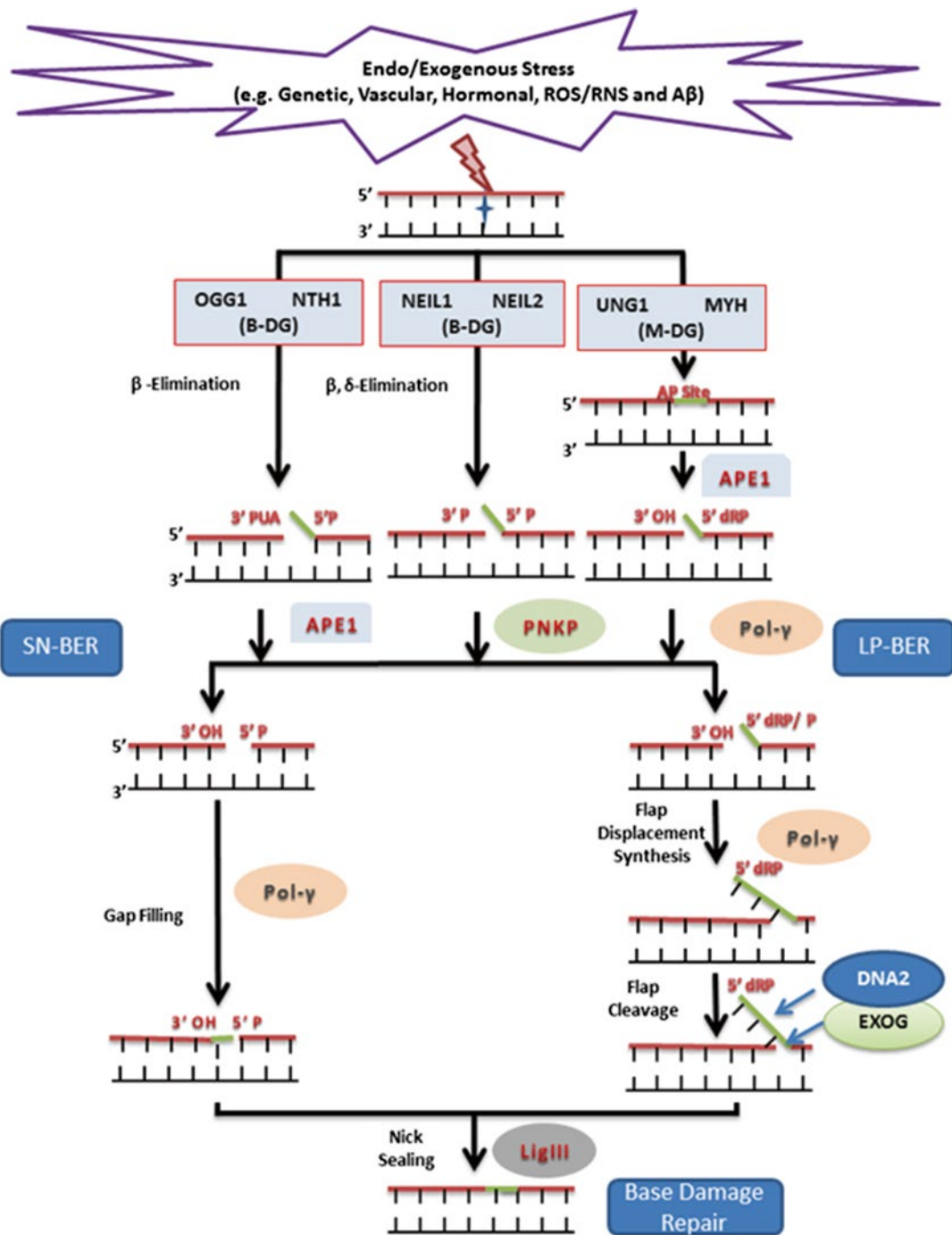


Fig. 3 Mitochondrial BER has more or less similar repair machinery as nuclear BER. DNA damage repair in the mitochondria is believed to be important in maintaining genomic integrity, especially during ageing. The basic mechanism of mitochondrial BER (*mtBER*) remains the same as nuclear-BER pathway, but some specific enzymes are present in the *mtBER* pathway. Both SN-BER and LP-BER are believed to take place in the mitochondria. Two M-DG, i.e. UDG1 and MYH, and four B-DG, viz. OGG1, NTH1, NEIL1 and NEIL2,

are present in the mitochondria. APE1 in the mitochondria is found to be an N-terminal truncated product of APE1. In the mitochondria, DNA poly is the only polymerase both in LP-BER and SN-BER pathways. Ligation of single-strand nick is performed by DNA ligase III. In addition, EXOG is an essential component of BER/SSBR pathway unique to the mitochondria and forms complex with APE1, DNA pol γ and DNA ligase III and is involved in repairing endogenous SSBs in the mtDNA

performed by DNA glycosylase. Two M-DG, i.e. UDG1 and MYH, and four B-DG, viz. OGG1, NTH1, NEIL1 and NEIL2, are present in the mitochondria [169, 170]. Next step of the mtBER pathway involves processing of the AP site by APE1, which is the main AP endonuclease of the mammalian cell, and this mtAPE1 is believed to be an N-terminal truncated product of APE1. It is also shown that deletion of the 33 N-terminal residues increases the specific activity of mtAPE1 by threefold [171]. The next step involves insertion of correct nucleotides by DNA pol γ which is followed by ligation of single-strand nick by DNA ligase III (Fig. 3). This mitochondrial ligase III is derived from *LIG3* gene and is known to be independent of XRCC1 while the nuclear variant of DNA ligase III interacts with XRCC1 [165, 172]. A recent study has shown that EXOG is an essential component of BER/SSBR pathway unique to the mitochondria and forms complex with APE1, DNA pol γ and DNA ligase III and is involved in repairing endogenous SSBs in the mtDNA. Also, it was shown that depletion of EXOG increases ROS levels and induces apoptosis in normal cells [173]. FEN1 is involved in repairing oxidative DNA damage via LP-BER in the mitochondria [174]. Cellular death and embryonic lethality in presence of gamma radiation-induced DNA damage was observed in *FEN1* gene knockout mice [175]. The hDNA2, possessing nuclease, helicase and ATPase activities, is also involved in DNA replication and repair in the mitochondria. The hDNA2 forms a complex with pol γ and stimulates the polymerase activity. It is also involved in RNA primer removal during mtDNA replication. The hDNA2, owing to its nuclease property, can also process flap LP-BER intermediates. This points towards the synergistic roles of FEN1 and hDNA to process the 5' flap intermediates during DNA replication and repair in the mitochondria [176]. Thus, all through these years we have gained knowledge about some of the repair pathways occurring in the mitochondria and identified different repair enzymes present in the mitochondria but much more needs to be understood towards establishing pathophysiology which overtakes these repair processes.

3.2 Role of APE1 in Redox and Transcriptional Regulation

Owing to APE1's N-terminal domain which contains the NLS and redox regulatory domain, APE1/Ref-1 is considered to be an important mammalian redox regulator of transcription. It is identified that Cys65 is the redox active site in APE1/Ref-1 and that Cys93 interacts with Cys65 via disulphide bond formation and thus these two Cys residues contribute to alter the redox state of a number of TFs [177]. A number of studies have shown that APE1/Ref-1 modifies the DNA-binding ability of several TFs, such as activator protein-1 (AP-1), Fos and Jun, NF- κ B, p53, Myb, early growth response-1 (Egr-1), polyoma virus enhancer-binding protein-2 (PEBP-2), activating transcription factor/cAMP response element-binding protein (ATF-CREB), hypoxia-inducible factor (HIF-1 α) and HIF-like factor [18, 149, 178]. The reduction of Cys in the DNA-binding domains of the TFs by APE1/Ref-1 enhances the DNA-binding ability of TFs. It was earlier shown that reduction of the conserved Cys residue in the DNA-binding domain of c-Jun by APE1/Ref-1 enhances the DNA-binding activity of AP-1 in vitro [179]. Also, the ability to reactivate Fos-Jun DNA-binding declines on oxidation of APE1, which can be restored on treatment with thioredoxin, TRX [177]. Thus, it can be said that alterations in the redox state could lead to alterations in gene expression of key cellular signaling and other regulatory proteins. While APE1 is considered as a redox activator of several TFs like AP-1, p53, HIF-1 α , it also acts as a trans-acting factor which causes Ca²⁺-dependent repression of parathyroid hormone (PTH) and renin genes [180, 181]. An increase in extracellular Ca²⁺ causes binding of APE1 to negative Ca²⁺ response element (nCaRE: nCaRE-A and nCaRE-B) in the respective gene promoters causing repression of PTH and renin gene expression. Acetylation of APE1 is also shown to modulate APE1's transcriptional regulatory function. In addition, APE1 interacts stably with other trans-acting factors like HIF 1- α , STAT 3

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1305 and CBP/P300 [18, 153, 182]. Thus, it points
1306 towards the redox-independent functions of
1307 APE1 in regulating transcription.

1308 3.3 Other Functions

1309 Apart from being the major DNA repair enzyme
1310 of the BER pathway and redox activator of sev-
1311 eral TFs, newer studies have shown that APE1
1312 serves some other important functions as dis-
1313 cussed below.

1314 3.3.1 APE1 as Endoribonuclease

1315 For a very long time, RNA decay in eukaryotes
1316 was considered to be an exoribonucleolytic pro-
1317 cess, while in prokaryotes it was considered to
1318 be an endoribonucleolytic process. But numer-
1319 ous evidences in the recent past have demon-
1320 strated that endoribonucleases also have a
1321 significant role in eukaryotic RNA metabolism
1322 and contribute to RNA turnover in eukaryotes
1323 [183]. Recently, APE1 was identified as an
1324 endoribonuclease that cleaves within the UA and
1325 CA dinucleotides of *c-myc* (a proto-oncogene)
1326 mRNA and regulates the *c-myc* mRNA levels [7,
1327 147]. It was shown that APE1 knockdown in
1328 HeLa cells led to increased *c-myc* mRNA levels
1329 and its half-life [147]. In line with this, a study
1330 identified the active site of APE1 and found that
1331 common active site is shared for endoribonucle-
1332 ase and nuclease activities of APE1 but the
1333 mechanisms of cleavage of RNA and DNA are
1334 not identical [184]. Thus, the role of APE1 in
1335 controlling the levels and turnover of other
1336 mRNAs in the neuronal as well as other cell
1337 types need to be understood.

1338 3.3.2 Maintenance of Cellular 1339 Homeostasis

1340 Maintenance of proper cellular redox balance
1341 is an essential prerequisite for proper function-
1342 ing of biological systems. An increase in the
1343 ROS/RNS levels beyond the normal physio-
1344 logic limits could disturb the redox homeosta-
1345 sis leading to cell death and disease
1346 development. To cope up with the increased
1347 oxidative stress build-up in the cell, different

enzymatic/nonenzymatic antioxidant systems 1348
are present. APE1/Ref-1 is known to act as an 1349
important redox regulator of the cell which 1350
helps in maintaining proper levels of ROS/ 1351
RNS for cell survival and proliferation. An ear- 1352
lier study showed that APE1/Ref-1 helps in 1353
regulating oxidative stress by inhibiting ROS 1354
production and NF- κ B activation by modulat- 1355
ing the activation of rac1 GTPase and inhibits 1356
apoptosis [185, 186]. Overexpression of APE1/ 1357
Ref-1 was shown to increase SH-SY5Y cell 1358
viability following exposure to H₂O₂ [150]. A 1359
recent study of Mantha et al. has identified sev- 1360
eral key neuronal proteins those are involved in 1361
various cellular functions are interacting with 1362
APE1 in response to A β (25-35)-induced stress 1363
in PC12 and SH-SY5Y cells [187]. Thus, it can 1364
be interpreted that APE1/Ref-1 has a role in 1365
providing protection against oxidative stress 1366
and helps to maintain cellular redox balance. 1367

4 Importance of Phytochemicals in Modulating Functions of APE1/Ref-1 Towards AD Therapeutics

Human beings have used plant extracts for cen- 1373
turies for treating various types of ailments. For 1374
the last few years, scientists are trying to figure 1375
out the active ingredients present in the plant 1376
extracts responsible for the specific action and 1377
decipher the molecular mechanism(s) by which 1378
these phytochemicals exert their action (Fig. 4). 1379
Phytochemicals like resveratrol, isoflavones, 1380
curcumin, decursin, EGCG, L-carnitine and 1381
Ganoderma lucidum extract have shown potent 1382
properties against neuronal disorders. In addi- 1383
tion, some of them have also shown their effect 1384
on modulation of APE1/Ref-1 repair as well as 1385
redox activity in relation to cancer. Limited 1386
studies warrant additional studies to see how 1387
these phytochemicals may affect APE1/Ref-1 1388
functions in neurodegenerative diseases like 1389
AD. The following sections describe these phy- 1390
tochemicals as having potential therapeutic 1391
effect against AD. 1392

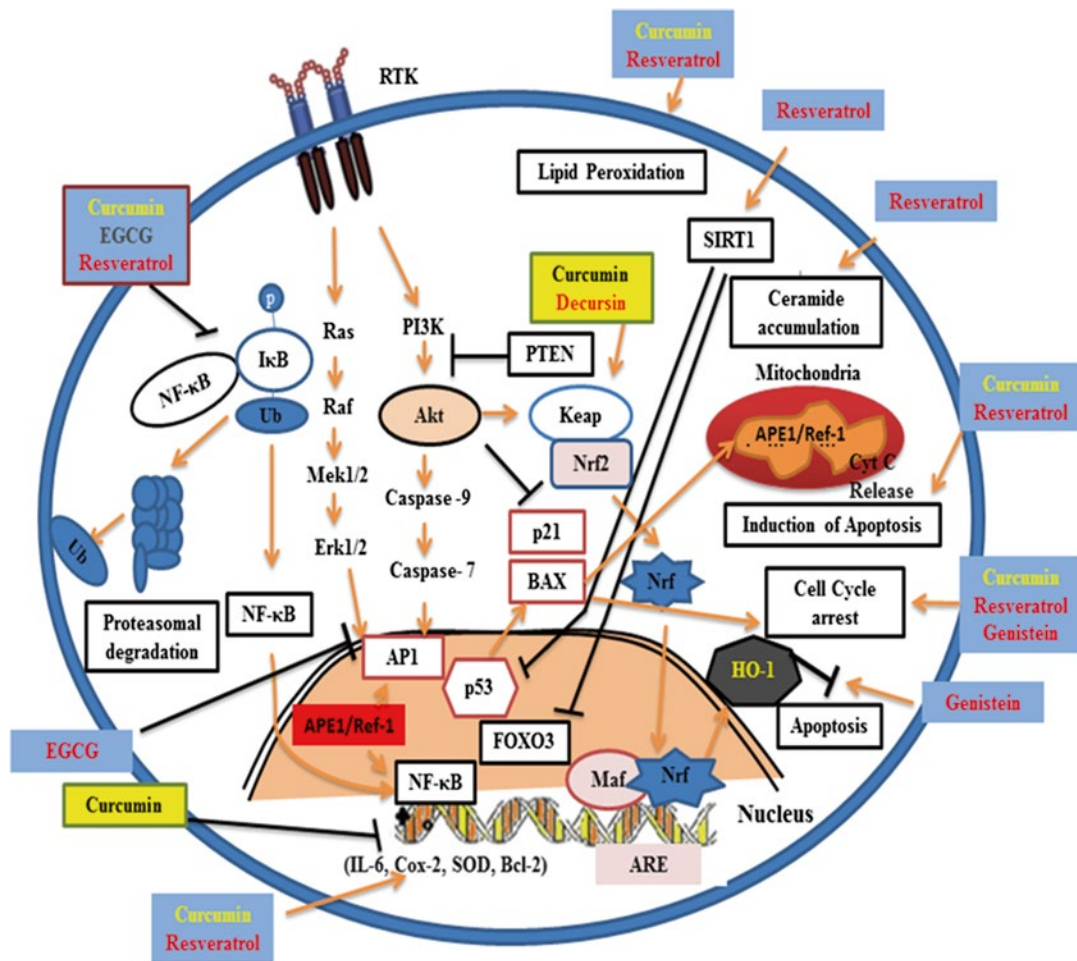


Fig. 4 Phytochemicals regulate different cellular interactions and modulates APE1/Ref-1 activity towards AD therapeutics. The phytochemical resveratrol triggers apoptosis through ceramide accumulation. Resveratrol activates SIRT1 and inhibits the transcription regulator p53 and FOXO3. Curcumin and decursin activate Nrf and rescue cell from oxidative stress.

EGCG is an active polyphenol in green tea and inhibits multiple signal transduction pathways, including AP-1 and NF-κB, whereas curcumin and resveratrol activate different cellular factors like IL-6, Cox-2, SOD and Bcl-2. Curcumin, genistein and resveratrol also induce apoptosis and cell cycle arrest via functionally activated p53

1393 **4.1 Resveratrol**

1394 Resveratrol (3,5,4'-trihydroxy-*trans*-stilbene) is
 1395 a phytoalexin and a polyphenolic compound
 1396 found in the seeds and wine made from grape
 1397 cultivars which provides natural protection to the
 1398 plant against environmental stresses such as UV
 1399 radiation and fungal infections. Resveratrol is the
 1400 possible explanation for the French Paradox.
 1401 According to French Paradox, France has a low
 1402 rate of coronary heart disease (CHD) in spite of

high intake of saturated fats, thus presents a situ-
 ation which is paradoxical when compared with
 other countries having comparable diet rich in
 saturated fats and subsequently high CHD [188].
 Wine intake is highest in France and studies have
 pointed out that drinking red wine confers cardio-
 protection and this is attributable to resveratrol
 present in it along with other polyphenols [189,
 190], thus explaining the paradox. Therefore, res-
 veratrol has assumed a great importance over
 time. The protective abilities of resveratrol have

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1414 been attributable to its antioxidant properties
1415 [191]. Several lines of studies have shown that
1416 drinking red wine also confers neuroprotection
1417 and reduces the incidence of neurological dis-
1418 eases like AD [192, 193]. It has been shown that
1419 resveratrol exerts protective effects against
1420 A β -induced neurotoxicity in rat hippocampal
1421 cells with the involvement of PKC [194].
1422 Resveratrol showed anti-apoptotic effect and
1423 interference in cell cycle progression in SH-SY5Y
1424 neuroblastoma cells [195]. The anti-A β potential
1425 of resveratrol in clearing A β via a mechanism
1426 involving activation of proteasome was also iden-
1427 tified [196]. In addition, resveratrol oligomers
1428 from *V. amurensis* were shown to rescue
1429 A β -mediated oxidative stress in PC12 cells by
1430 inhibiting ROS production [197]. Resveratrol
1431 was found to stimulate NO production and reduce
1432 the oxidative stress after a focal cerebral isch-
1433 aemia (FCI) injury in rats [198]. Downregulation
1434 of iNOS and enhancement of HO-1 expression
1435 by resveratrol rescues the A β -induced neurotox-
1436 icity [199]. Upregulation of iNOS is associated
1437 with A β levels [200], indicating a connection
1438 between iNOS and A β in the progression of
1439 AD. Resveratrol is also shown to be a SIRT-1
1440 activator protecting the neuroblastoma cells from
1441 oxidative damage caused by A β [201].

1442 SIRT-1 has an important role in maintaining
1443 genome integrity through regulation of BER
1444 pathway. An increase in association of APE1
1445 with XRCC1 under genotoxic stress is reported,
1446 while the knockdown of SIRT-1 decreases this
1447 association. Resveratrol has been shown to pro-
1448 mote binding of APE1 to XRCC1 by a mecha-
1449 nism involving activation of SIRT-1 [202].
1450 Resveratrol is also shown to regulate the redox
1451 activity of APE1/Ref-1 and is identified as a
1452 potent APE1/Ref-1 inhibitor [24]. In this study,
1453 an increase in expression of Ref-1 was seen in
1454 human melanoma cells which may be partly due
1455 to mitochondrial dysfunction owing to high ROS
1456 levels and presence of oxidised melanin in these
1457 cells. Overexpressing Ref-1 led to increase in
1458 basal NF- κ B transcription activities. In addition,
1459 in response to APE1/Ref-1 antibody, reduced
1460 AP-1 and NF- κ B DNA-binding activities were
1461 observed. Thus, resveratrol seems to act as an

1462 APE1/Ref-1 inhibitor upregulating AP-1 and
1463 NF- κ B DNA-binding activities, highlighting its
1464 anti-melanoma potential [24]. In a recent finding,
1465 it was shown that resveratrol mitigates the AlCl₃-
1466 induced direct neuroinflammation in rats [203].
1467 Also, an increase in APE1 level and decrease in
1468 β -secretase and A β levels were observed. In addi-
1469 tion, a decrease in expression of TNF- α , IL-6 and
1470 iNOS in the rat brain was seen on treatment with
1471 resveratrol, thus revealing the anti-inflammatory
1472 effects of resveratrol [203]. Taken together, these
1473 findings suggest resveratrol as a potent phyto-
1474 chemical for treating oxidative stress-induced
1475 mitochondrial dysfunction and inflammation in
1476 neurodegenerative diseases like AD and which
1477 might alter the APE1/Ref-1 function to mediate
1478 neuronal cell viability to counter AD.

1479 4.2 Curcumin

1480 Curcumin is the main active flavonoid derived from
1481 the rhizome of *Curcuma longa* (Zingiberaceae).
1482 Curcumin has potent anti-inflammatory property
1483 due to its antioxidant activity resulting in the scav-
1484 enging of the ROS generated inside the body under
1485 stress conditions [204]. Curcumin owing to its anti-
1486 oxidant and anti-inflammatory action suppresses
1487 the oxidative damage and decreases amyloid depo-
1488 sition [205].

1489 Curcumin acts as a strong metal chelator and
1490 has the ability to repress the inhibition of DG,
1491 NEIL1 caused by divalent metals like Cu and Fe
1492 in SH-SY5Y neuroblastoma cells [206, 207].
1493 Curcumin acts as a potential therapeutic agent
1494 owing to its two effects – reduction of oxidative
1495 stress and acting as a metal chelator [160, 207].
1496 Curcumin is shown to increase the heme oxygen-
1497 ase1 (HO-1) expression in cultured hippocampal
1498 neurons in response to glucose oxidase (GO)-
1499 mediated oxidative damage [208]. Curcumin has
1500 also shown to reduce the formation of A β and
1501 decrease plaque burden in transgenic AD mice
1502 [209]. Moreover, curcumin has a strong ability to
1503 cross blood-brain barrier (BBB) and shown to
1504 reduce aggregation of A β (1-40) and cause disag-
1505 gregation of A β (1-40). In addition, curcumin pre-
1506 vented A β (1-42) oligomer formation and toxicity,

1507 making it an effective molecule for prevention
 1508 and treatment of AD [210]. Thus, this curry spice
 1509 has a great potential in alleviating oxidative stress
 1510 and improving cognitive decline in AD.

1511 4.3 Decursin

1512 Decursin (D) and decursinol angelate (DA) are
 1513 the major coumarins present in the roots of
 1514 *Angelica gigas* Nakai (Umbelliferae). The roots
 1515 of *Angelica gigas* Nakai have been used in tradi-
 1516 tional Korean medicine for treating anaemia and
 1517 as a sedative and an anodyne agent [211]. Many
 1518 reports highlight the antitumour [211], antibacte-
 1519 rial [211], anti-nematodal [212] and antioxidant
 1520 [213] properties of *Angelica gigas* Nakai, mainly
 1521 due to the presence of D and DA. A study demon-
 1522 strated the anti-amnesic property of D which res-
 1523 cued the impairment induced by scopolamine
 1524 through the inhibition of acetylcholinesterase
 1525 (AChE) in the hippocampus of treated mice
 1526 [211]. Decursin was shown to cross the BBB
 1527 [212], thus showing a potential to intervene the
 1528 CNS to treat disorders like AD. The neuroprotec-
 1529 tive role of D and DA in rescuing the glutamate-
 1530 induced oxidative stress in primary cortical cells
 1531 was highlighted in a study [214]. Another study
 1532 showed the neuroprotective ability of D and DA
 1533 and its role in nuclear factor erythroid 2-related
 1534 factor (Nrf2) activation and elevation of antioxi-
 1535 dant levels in rescuing A β -mediated oxidative
 1536 stress in PC12 cells [213]. Both D and DA were
 1537 shown to inhibit A β fibrillation. This study indi-
 1538 cated that D and DA can be utilised as an impor-
 1539 tant antioxidant to help reduce the oxidative
 1540 stress induced by A β in AD. In a recent finding, it
 1541 was shown that in response to A β (23-35)-induced
 1542 oxidative stress, treatment with D leads to
 1543 decreased ROS levels and activation of mitogen-
 1544 activated protein kinases (MAPK) signal path-
 1545 ways, leading to Nrf2 activation and upregulation
 1546 of HO-1 expression, thus protecting the PC12
 1547 cells from A β -mediated neurotoxicity [215].
 1548 Taken together, these findings suggest that D and
 1549 DA can protect neurons from A β -mediated oxi-
 1550 dative stress. Further studies are needed to show
 1551 the potential of D and DA in modulating APE1/

Ref-1's functions to limit neurodegeneration and
 increase cell survival in AD. 1552
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4.4 Soy Isoflavones 1554

Soy isoflavones are the major flavonoids found 1555
 in soybean, which have been a traditional food in 1556
 Asia for a very long time. Apart from isofla- 1557
 vones, soy is also rich in phytic acid, trypsin 1558
 inhibitors and saponins [216]. But soy isofla- 1559
 vones have dragged attention in the recent past 1560
 due to its numerous health benefits particularly 1561
 its neuroprotective effects. Soy isoflavones are 1562
 also referred to as phytoestrogens due to their 1563
 beneficial effects on estrogenic problems [217]. 1564
 Soy isoflavones include genistein, daidzein and 1565
 glycitein [218]. An earlier study showed that 1566
 genistein could attenuate the oxidative stress 1567
 induced by A β (25-35) and reduce the ROS levels 1568
 and inhibit cell apoptosis possibly through Nrf/ 1569
 HO-1 signal pathway in PC12 cells [219]. 1570
 Another study showed that genistein improves 1571
 the short-term spatial memory in rats by mitigat- 1572
 ing A β (1-40)-induced impairment via an estro- 1573
 genic pathway [219]. Soy isoflavones suppressed 1574
 the production of inflammatory cytokines and 1575
 downregulated NF- κ B activity, which was 1576
 induced by A β (1-42) and improved the learning 1577
 and memory impairment in rats [220]. Another 1578
 study showed that isoflavones, specifically 1579
 genistein and glycitein, have an anti-fibrillisa- 1580
 tion, anti-oligomerisation and fibril-destabilising 1581
 potential on A β (1-40) and A β (1-42) in vitro and 1582
 that glycitein, in particular, binds directly to A β 1583
 monomers, oligomers and fibrils and exhibit 1584
 highest affinity for A β (25-35) [221]. Thus, iso- 1585
 flavones can be employed towards effective ther- 1586
 apy to directly target amyloid assemblies for the 1587
 treatment of AD. In addition, genistein showed 1588
 neuroprotection and increased cell viability and 1589
 protein kinase C (PKC) activity in PC12 cells 1590
 which were treated with A β (25-35) and this 1591
 involved PKC signaling pathway, which is 1592
 known to regulate neuronal survival in AD [222]. 1593
 In addition, downregulation of *PS1*, involved in 1594
 A β generation, by treatment with genistein was 1595
 shown [223]. A recent finding showed that soy 1596

1597 isoflavones reduced the oxidative stress in the
 1598 mitochondria induced by A β (1-42) in the rat
 1599 brain and increased the mitochondrial membrane
 1600 potential (MMP) and antioxidant function [217].
 1601 As a result, isoflavones help to maintain redox
 1602 balance in the brain. Together, these findings
 1603 show that isoflavones with potential can improve
 1604 mitochondrial function and maintain redox bal-
 1605 ance for neuronal survival.

1606 **4.5 (-)-Epigallocatechin-3-Gallate** 1607 **(EGCG)**

1608 (-)-Epigallocatechin-3-gallate (EGCG) iso-
 1609 lated from the leaves of green tea (*Camellia*
 1610 *sinensis*) and a type of catechin [224]. It has a
 1611 number of beneficial health effects owing to its
 1612 neuroprotective, anticarcinogenic and anti-
 1613 inflammatory property [225, 226]. The con-
 1614 sumption of green tea and incidence of
 1615 dementia, AD and PD are inversely correlated
 1616 [227, 228]. Numerous animal model studies
 1617 have suggested that EGCG exerts neuroprotec-
 1618 tive effects against age-related cognitive decline
 1619 and neurodegenerative diseases. An earlier
 1620 study using in vitro and in vivo models has
 1621 shown that EGCG elevates the levels of soluble
 1622 APP- α (an N-terminal cleavage product) and
 1623 promotes the cleavage of α -C-terminal frag-
 1624 ment of APP. This shows that EGCG promotes
 1625 α -secretase activity leading to decreased A β
 1626 levels and plaque formation [229, 230]. EGCG
 1627 is shown to bind to the β -sheet-rich aggregates
 1628 and bring about a conformational change
 1629 remodelling mature α -synuclein and A β fibrils
 1630 into smaller amorphous nontoxic protein aggre-
 1631 gates and reduce cellular toxicity [231]. Another
 1632 in vivo study involving passive avoidance and
 1633 water maze tests showed that EGCG reduces
 1634 the A β (1-42)-induced memory dysfunction
 1635 dose-dependently and suppresses the activities
 1636 of β - and γ -secretase. In addition, an inhibition
 1637 in the activation of extracellular signal-regu-
 1638 lated protein kinase (ERK) and NF- κ B by
 1639 EGCG was observed in the A β (1-42)-injected
 1640 mouse brains [232]. EGCG has also emerged as
 1641 a mitochondrial restorative compound which

was demonstrated to restore MMP, ROS levels
 and ATP levels in a double-transgenic mouse
 model of AD. Thus, EGCG was shown to lessen
 the A β -induced mitochondrial dysfunction,
 which is implicated during the onset and pro-
 gression of AD [230]. These studies point out
 that EGCG, owing to its anti-amyloidogenic
 and mitochondrial restorative property, has a
 tremendous potential in AD therapy.

1651 **4.6 L-Carnitine**

L-carnitine is a derivative of the amino acid,
 lysine. Its name is derived from the fact that it
 was first isolated from meat (*carnus*). Acetyl
 L-carnitine (ALCAR), an L-carnitine ester of
 acetic acid, crosses the BBB and modifies ace-
 tylcholine production in the brain [233].
 ALCAR is involved in regulation of mitochon-
 drial energetics and oxidative stress associated
 with ageing [234]. An earlier study pointed
 towards induction of HO-1 and upregulation of
 Nrf-2, a redox-sensitive TFs, on treating astro-
 cytes with ALCAR [235]. ALCAR was also
 shown to increase the synthesis of nerve growth
 factor receptors (NGFR) in PC12 cells and thus
 elicits neurite outgrowth by stimulating NGF
 uptake in these cells [236]. ALCAR is a physi-
 ological activator of the mitochondrial fatty acid
 metabolism and has been reported to improve
 cognitive deficits in aged animals and to slow
 deterioration in AD patients [237]. A study
 showed that ALCAR promotes α -secretase
 activity and physiological APP metabolism by
 facilitating the delivery of ADAM10 to the post-
 synaptic compartment regulating α -secretase
 activity towards APP, leading to release of a
 non-amyloidogenic product [238]. A study by
 Abdul et al. showed that ALCAR displayed neu-
 roprotective effect towards A β (1-42)-induced
 oxidative stress in cortical neurons by upregu-
 lating the levels of glutathione (GSH) and heat
 shock proteins [HSPs] [239]. Thus, ALCAR
 displays neuroprotection and modulates mito-
 chondrial function and oxidative stress, thus has
 a potential and can be employed in AD therapy
 upon further studies.

1687 4.7 Ganoderma Lucidum

1688 *G. lucidum* is a medicinal fungus used clinically
 1689 in many Asian countries for health and longevity.
 1690 A study showed the neuroprotective effect of
 1691 *G. lucidum* in which the extract induced the neuronal
 1692 differentiation of PC12 cells and prevented
 1693 NGF-dependent PC12 neurons from apoptosis.
 1694 This effect was thought to be mediated by the
 1695 activation of ERK and CREB signaling pathways
 1696 that maintained the survival of the NGF-
 1697 dependent neurons [240]. An earlier study by
 1698 Pillai et al. had demonstrated that an aqueous
 1699 extract of *G. lucidum* protected against the
 1700 radiation-induced nuclear DNA damage [241].
 1701 *G. lucidum* polysaccharides (GLP) was shown to
 1702 reduce the expression of Caspase-3 and FasL
 1703 leading to improved cognition and learning ability
 1704 in A β (25-35)-injected mice [242]. Another
 1705 study provided evidence that *G. lucidum*
 1706 increased the non-amyloidogenic protein secretion,
 1707 i.e. sAPP α secretion, in SH-SY5Y cells
 1708 involving phosphatidylinositol 3 kinase (PI3K)
 1709 and ERK signaling pathways [243]. A study
 1710 pointing towards the antioxidant properties of
 1711 *G. lucidum* has shown that the activities of heart
 1712 TCA enzymes and mitochondrial complex (I-IV)
 1713 improved on treating aged mice with an ethanolic
 1714 extract of *G. lucidum* [244]. In line with this,
 1715 another study showed that *G. lucidum* elevated
 1716 the activities of mitochondrial dehydrogenases,
 1717 i.e. succinate dehydrogenase (SDH), malate
 1718 dehydrogenase (MDH), α -ketoglutarate dehydro-
 1719 genase (α -KGDH) and pyruvate dehydrogenase
 1720 (PDH), as well as complex I and II activities in
 1721 the mitochondria of aged Wistar rat brains. Also,
 1722 the level of lipid peroxidation was decreased
 1723 in the *G. lucidum*-treated rats [245]. A recent
 1724 in vivo study involving Sprague-Dawley rats
 1725 showed that *G. lucidum* spore (GLS) improved
 1726 mitochondrial functioning, alleviated oxidative
 1727 stress and protected the hippocampal neurons
 1728 from apoptosis, improving cognition in these
 1729 rats [86]. Another recent study showed that
 1730 *G. lucidum* promoted neurite outgrowth in differ-
 1731 entiating N2a cells [246]. Thus, *G. lucidum* seems
 1732 to have a great therapeutic importance in reviving
 1733 brain and cognitive health in AD patients.

1734 Although some of the phytochemicals [14640]
 1735 described here are not studied directly with rela-
 1736 tion to APE1/Ref-1's functions, their beneficial
 1737 effects as discussed further suggest testing them
 1738 to understand their role in modulating repair,
 1739 redox and other newly discovered roles of APE1/
 1740 Ref-1 towards neuronal cell survival. It is a pre-
 1741 requisite for the neuronal cell to counter the oxi-
 1742 dative stress responses elicited by different agents
 1743 and mechanisms as discussed in this review and,
 1744 further, APE1-/Ref-1-mediated intervention
 1745 along with phytochemicals, thus, emerges a new
 1746 field of study to tackle the AD.

5 Conclusions and Future Perspectives

1747
 1748
 1749 AD is a disabling and debilitating disease affecting
 1750 millions worldwide and is projected to affect many
 1751 more. The pathological hallmarks of the disease are
 1752 known from a very long time, but the molecular
 1753 mechanism(s) underlying the AD is not known to
 1754 date. Researchers have tried to understand the vari-
 1755 ous factors responsible for the progression of this
 1756 fatal disease. Some risk factors have been associ-
 1757 ated with the disease. These are mutations in the
 1758 *APP*, *PS1* and *PS2* genes, which are responsible for
 1759 the accumulation of A β , the main culprit, in the
 1760 neurons leading to development of early-onset
 1761 AD. A significant number of studies pointing out
 1762 that A β oligomers are the more toxic species rather
 1763 than the insoluble fibrillar deposits. Other risk fac-
 1764 tors for AD include the presence of *APOE* ϵ 4 allele.
 1765 Ageing is the greatest risk factor for AD. In the
 1766 recent past, studies have implicated oxidative stress
 1767 and mitochondrial dysfunction in the pathogenesis
 1768 of AD. It is also observed that mitochondrial dys-
 1769 function occurs very early in AD pathogenesis.
 1770 Studies have pointed out that accumulation of
 1771 somatic mtDNA mutations over time causes
 1772 genome instability and mitochondrial dysfunction.
 1773 It is well established that A β causes oxidative
 1774 stress. Recent studies have shown that A β and APP
 1775 localise to the mitochondria, interact with mito-
 1776 chondrial proteins, increase ROS/RNS levels and
 1777 cause mitochondrial dysfunction. Recent studies
 1778 have provided evidence that A β accumulates more

1779 in the synaptic terminals of neurons and interferes
 1780 with the axonal mitochondrial transport, leading to
 1781 synaptic damage and cognitive decline associated
 1782 with AD. During the mitochondrial trafficking,
 1783 fusion process occurs which is essential for
 1784 exchange of pathogenic mtDNA. Unbalanced
 1785 fusion/fission has been implicated in various neu-
 1786 rodegenerative diseases like AD. BER is the pre-
 1787 dominant pathway responsible for repairing
 1788 oxidised base lesions in the nucleus as well as in
 1789 the mitochondria, with APE1 being the central
 1790 repair enzyme of this pathway. Importance of
 1791 APE1/Ref-1 for the cell can be discerned by the
 1792 fact that attempts to generate APE1-null mice
 1793 failed as it led to their early embryonic death.
 1794 APE1/Ref-1 has been shown to play a major role in
 1795 overcoming the oxidative stress and maintaining
 1796 neuronal cell viability and integrity owing to vari-
 1797 ous roles played by it, viz. as a redox and transcrip-
 1798 tional regulator, as an endoribonuclease and as a
 1799 regulator of cellular homeostasis. Phytochemicals
 1800 like soy isoflavones, resveratrol and curcumin have
 1801 been shown to modulate APE1/Ref-1 activity both
 1802 in vitro and in vivo. In addition to these, decursin,
 1803 L-carnitine, *Ganoderma lucidum* and EGCG have
 1804 shown to lower the oxidative stress induced by A β
 1805 in various studies. Thus, these phytochemicals
 1806 have a potential to reduce the oxidative stress and
 1807 modulate functions of APE1/Ref-1 and can be used
 1808 as an effective approach to treat AD by protecting
 1809 APE1/Ref-1's functions.

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Author Queries

Chapter No.: 13 0002180271

Queries	Details Required	Author's Response
AU1	Please check if edit to sentence starting "Thus, these studies..." is okay.	
AU2	Please check if edit to sentence starting "Mutations in APP..." is okay.	
AU3	Please check if edit to sentence starting "In order to..." is okay.	
AU4	Please check if edit to sentence starting "Overexpression of PARP-1..." is okay.	
AU5	Please check if edit to sentence starting "Monofunctional DNA glycosylases..." is okay.	
AU6	Please check if edit to sentence starting "Two M-DG, i.e..." is okay.	
AU7	Please check if edit to sentence starting "Two M-DG, i.e..." is okay.	
AU8	Please check if "(-)-Epigallocatechin" should be changed to "Epigallocatechin" throughout text.	
AU9	Please check if edit to sentence starting "In line with..." is okay.	
AU10	Please check if edit to sentence starting "Although some of..." is okay.	
AU11	References [24, 207], [147, 187], [150, 180], [144, 160] and [18, 149] were found to be similar in the manuscript. Hence, repeated reference have been deleted from the list and the rest renumbered. Please check if appropriate.	